

INTERNALISING MODIFIED REALISABILITY IN CONSTRUCTIVE TYPE THEORY

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ABSTRACT. A modified realisability interpretation of infinitary logic is formalised and proved sound in constructive type theory (CTT). The logic considered subsumes first order logic. The interpretation makes it possible to extract programs with simplified types and to incorporate and reason about them in CTT.

1. MODIFIED REALISABILITY

Modified realisability interpretation is a well-known method for giving constructive interpretation of some intuitionistic logical system into a simple type structure [Tro73]. The method is used, for instance, in Minlog and Coq for extracting programs from proofs (cf. [Sch04] and [Let04]). These programs are to a large extent free from the computationally irrelevant parts that might be present in programs arising from direct interpretations into constructive type theory. The realisability interpretation requires a separate proof of correctness, which is usually left unformalised.

In this note we present a completely formalised modified realisability interpretation carried out in the proof support system Agda [Coq00]. We shall here use what is called *modified realisability with truth* which has the property that anything realised is also true in the system (Theorem 1.2). One difference from usual interpretations as in Minlog is that the logic interpreted goes beyond first order logic: it is a (constructively) infinitary logic, which arises naturally from the type-theoretic notion of universe. Our extension to infinitary logic seems to be a novel result.

Agda is based on Martin-Löf constructive type theory [ML98] with an infinite hierarchy of universes $\#0 = \text{Set}$, $\#1 = \text{Type}$, $\#2 = \text{Kind}$, $\#3, \dots$. Each of these universes is closed under the formation of generalised inductive data types. We define in Agda an inductive type SP of propositions, so called *simple propositions*, by induction: for each small type A (i.e. a member of Set) an atomic proposition $\text{atom}(A) : \text{SP}$ is introduced; SP contains \perp and is closed under propositional connectives (\wedge , \vee , \rightarrow) and for any small type A and any propositional function $P : A \rightarrow \text{SP}$ the quantified propositions $\forall(A, P)$ and $\exists(A, P)$ belong to SP . There is an obvious homomorphic embedding Tp of SP into the small types defined by $\text{Tp}(\perp) = \emptyset$, $\text{Tp}(\text{atom}(A)) = A$, $\text{Tp}(P \vee Q) = \text{Tp}(P) + \text{Tp}(Q)$, $\text{Tp}(P \wedge Q) =$

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$\mathsf{Tp}(P) \times \mathsf{Tp}(Q)$, $\mathsf{Tp}(P \rightarrow Q) = \mathsf{Tp}(P) \rightarrow \mathsf{Tp}(Q)$, $\mathsf{Tp}(\forall(A, P)) = (\Pi x : A)\mathsf{Tp}(P(x))$ and $\mathsf{Tp}(\exists(A, P)) = (\Sigma x : A)\mathsf{Tp}(P(x))$. We shall sometimes write $(\forall x : A)P(x)$ for $\forall(A, P)$ etc.

The simple propositions may be realised by terms from a simplified type structure. All atomic propositions will be realised by the unique element \mathbf{elt} of the unit type Un . Define another homomorphism Cr (for *crude type*) from SP to small types by letting

$$\begin{aligned} \mathsf{Cr}(\perp) &= \mathsf{Un} \\ \mathsf{Cr}(\mathsf{atom}(A)) &= \mathsf{Un} \\ \mathsf{Cr}(P \wedge Q) &= \mathsf{Cr}(P) \times \mathsf{Cr}(Q) \\ \mathsf{Cr}(P \vee Q) &= \mathsf{Cr}(P) + \mathsf{Cr}(Q) \\ \mathsf{Cr}(P \rightarrow Q) &= \mathsf{Cr}(P) \rightarrow \mathsf{Cr}(Q) \\ \mathsf{Cr}(\forall(A, P)) &= (\Pi x : A)\mathsf{Cr}(P(x)) \\ \mathsf{Cr}(\exists(A, P)) &= (\Sigma x : A)\mathsf{Cr}(P(x)). \end{aligned}$$

The only difference from Tp is thus in the translation of absurdity and atoms. We note that a crude type may still be a dependent type, if the simple proposition is truly infinitary. For example, this is the case with $\mathsf{Cr}(\exists(A, P))$, if $A = N$ and $P(0) = \top$, $P(S(n)) = Q(n) \wedge P(n)$.

Another variant of the crude type map Cr' will be employed in Theorem 1.7 below, which is defined as Cr , except that

$$\mathsf{Cr}'(\exists(A, P)) = \mathsf{Un} + (\Sigma x : A)\mathsf{Cr}'(P(x)).$$

The unit type appearing in the disjoint sum ensures that the type is never empty, which is crucial for interpreting the full absurdity axiom.

The modified realisability $\mathsf{MR}(S, r)$ of a simple proposition $S : \mathsf{SP}$ by an element of crude type $r : \mathsf{Cr}(S)$ is defined as a small proposition (or small type) by the following recursion on S . (We use the identification of propositions and types for small types, so that \wedge and \vee are used interchangeably with \times and $+$, respectively.)

$$\begin{aligned} \mathsf{MR}(\perp, r) &= \perp \\ \mathsf{MR}(\mathsf{atom}(P), r) &= P \\ \mathsf{MR}(A \wedge B, r) &= \mathsf{MR}(A, r.1) \wedge \mathsf{MR}(B, r.2) \\ \mathsf{MR}(A \vee B, \mathsf{inl}(s)) &= \mathsf{MR}(A, s) \\ \mathsf{MR}(A \vee B, \mathsf{inr}(t)) &= \mathsf{MR}(B, t) \\ \mathsf{MR}(A \rightarrow B, r) &= (\mathsf{Tp}(A) \rightarrow \mathsf{Tp}(B)) \\ &\quad \wedge (\Pi s : \mathsf{Cr}(A))(\mathsf{MR}(A, s) \rightarrow \mathsf{MR}(B, r(s))) \\ \mathsf{MR}(\forall(A, P), r) &= (\Pi x : A)\mathsf{MR}(P(x), r(x)) \\ \mathsf{MR}(\exists(A, P), r) &= \mathsf{MR}(P(r.1), r.2). \end{aligned}$$

Here $r.1$ and $r.2$ denote the first and second projections.

Remark 1.1. The above constructions work in many different type-theoretic settings. What is needed is a type universe U closed under Π , Σ , $+$ and containing basic types

Un and \emptyset . Moreover the inductive construction SP_U should be made relative to U instead of Set . Then

$$\text{Tp}_U : \text{SP}_U \rightarrow U \quad \text{Cr}_U : \text{SP}_U \rightarrow U$$

are defined by recursion on SP_U similarly to the above, and so is

$$\text{MR}_U : (\Pi s : \text{SP}_U)(\text{Cr}_U(s) \rightarrow U).$$

The following correctness, or conservativity, result states that each simple proposition, which is realised, is also true in the standard interpretation.

Theorem 1.2. *For any $S : \text{SP}$ and $r : \text{Cr}(S)$, if $\text{MR}(S, r)$ then $\text{Tp}(S)$.*

Proof. The proof goes by induction on S . For $S = \perp$ or $S = \text{atom}(A)$ the result is immediate. For $S = A \rightarrow B$ we took care to define realisability so that this is direct as well. Here are two examples of the inductive step.

Suppose $\text{MR}(A \vee B, r)$. If $r = \text{inl}(s)$, then $\text{MR}(A, s)$ is true. By the inductive hypothesis, we get $\text{Tp}(A)$ and hence also $\text{Tp}(A \vee B)$. The argument for $r = \text{inr}(t)$ is similar.

Assume $\text{MR}(\forall(A, P), r)$. Let $a \in A$. Then $\text{MR}(P(a), r(a))$, and so by the inductive hypothesis $\text{Tp}(P(a))$. Since a was arbitrary we have actually $\text{Tp}(\forall(A, P))$. \square

As a corollary there is an extraction theorem for $\forall\exists$ -formulae:

Corollary 1.3. *For small types A and B and a simple proposition $P(x, y)$ where $x : A$ and $y : B$, let*

$$S = (\forall x : A)(\exists y : B)P(x, y).$$

If $\text{MR}(S, r)$ for some r , then there is some $f : A \rightarrow B$ such that $\text{Tp}(P(x, f(x)))$ for all $x : A$.

Thereby the program f extracted also satisfies its specification $\text{Tp}(P(x, f(x)))$ within type theory. For $P(x, y) = \text{atom}(R(x, y))$ this is equivalent to $R(x, f(x))$.

Remark 1.4. Note the difference in the \forall -case from usual interpretations, which go from theories to theories [Tro73]. It is not required that $\text{Tp}(\Pi(A, P))$ is added to the condition, since this follows from the correctness theorem in the present internalised version.

We present an intuitionistic infinitary propositional logic IPC_∞^- in type theory in which quantifiers are understood as infinitary versions of conjunction and disjunction. The system has a restriction on the absurdity axiom to atomic formulae.

$$\begin{array}{c} A \vdash A \\ A \vdash \text{atom}(P), \text{ for any inhabited } P \\ A \wedge B \vdash A \quad A \wedge B \vdash B \\ \perp \vdash \text{atom}(P) \\ A \vdash A \vee B \quad B \vdash A \vee B \\ \frac{A \wedge B \vdash C}{A \vdash B \rightarrow C} \\ \frac{A \vdash P(t) \quad (t : S)}{A \vdash \forall(S, P)} \\ \frac{P(t) \vdash A \quad (t : S)}{\exists(S, P) \vdash A} \end{array} \qquad \begin{array}{c} \frac{A \vdash B \quad B \vdash C}{A \vdash C} \\ \frac{C \vdash A \quad C \vdash B}{C \vdash A \wedge B} \\ \frac{A \vdash C \quad B \vdash C}{A \vee B \vdash C} \\ \frac{A \vdash B \rightarrow C}{A \wedge B \vdash C} \\ \frac{A \vdash \forall(S, P) \quad t : S}{A \vdash P(t)} \\ \frac{\exists(S, P) \vdash A \quad t : S}{P(t) \vdash A} \end{array}$$

Remark 1.5. Note in particular that the existential quantifier is of the weak kind, as in first order logic. For $S = \emptyset$ each $\exists(S, P)$ works as absurdity constant. However, if we wish to avoid empty sets as types of realisers, the restricted absurdity axiom $\perp \vdash \text{atom}(P)$ should be used. The full absurdity rule can be derived from the restricted one, for those propositions which do not include quantification over empty sets. By this procedure we can in principle extract simply typed programs as in Minlog.

We say that a sequent $A \vdash B$ is *MR-realised*, if there is some r such that $\text{MR}(A \rightarrow B, r)$ is true. A rule is *realised* if whenever all the sequents above the rule bar are realised, then so is the sequent below the bar.

Theorem 1.6. *The axioms and rules of the system IPC_{∞}^{-} are MR-realised.*

To strengthen the weak absurdity axiom to the full axiom

$$\perp \vdash A$$

where $A : \text{SP}$ may be arbitrary, we use the crude type map Cr' instead and introduce MR' . This is defined recursively as MR apart from the case for the existential quantifier:

$$\begin{aligned} \text{MR}'(\exists(S, P), \text{inl}(s)) &= \perp \\ \text{MR}'(\exists(S, P), \text{inr}(t)) &= \text{MR}'(P(t.1), t.2). \end{aligned}$$

Theorem 1.2 and Corollary 1.3 now go through with MR' and Cr' in place of MR and Cr .

The proof of soundness of the logical rules and axioms is similar as for Theorem 1.6, with the exception for the verification of the absurdity rule, and the left existential rule. This requires a special device. Namely a function which to each $P : \text{SP}$ assigns an element, called $\text{element}(P)$, of $\text{Cr}'(P)$ is necessary. This function is defined straightforwardly by recursion on P . Some key clauses are

$$\begin{aligned} \text{element}(\exists(A, P)) &= \text{inl}(\text{elt}) \\ \text{element}(\forall(A, P)) &= \lambda x. \text{element}(P(x)) \\ \text{element}(A \vee B) &= \text{inl}(\text{element}(A)). \end{aligned}$$

Observe that no such element need to exist when employing the first definition of Cr , e.g. in the case $\text{Cr}(\exists(\emptyset, P)) = (\Sigma x : \emptyset) \text{Cr}(P(x))$.

Theorem 1.7. *The axioms and rules of the full system IPC_{∞} (IPC_{∞}^{-} and the full absurdity axiom) are MR' -realised.*

We mention some useful mathematical axioms that are realisable:

Lemma 1.8. *For each propositional function $P : \mathbb{N} \rightarrow \text{SP}$ the induction scheme*

$$P(0) \wedge (\forall x : \mathbb{N})[P(x) \rightarrow P(S(x))] \rightarrow (\forall x : \mathbb{N})P(x)$$

is both MR-realised and MR' -realised.

Lemma 1.9. *For any binary propositional function $P : A \times B \rightarrow \mathbf{SP}$ the type-theoretic choice principle*

$$(\forall x : A)(\exists y : B)P(x, y) \rightarrow (\exists g : A \rightarrow B)(\forall x : A)P(x, g(x))$$

is MR-realizable. In case B is inhabited, the principle is MR'-realizable as well.

Proof. The non-trivial part is to prove the second statement. Suppose $b_0 : B$ and $r : \mathbf{Cr}'(S)$ and $p : \mathbf{MR}'(S, r)$, where $S = (\forall x : A)(\exists y : B)P(x, y)$. Define an auxiliary operation $f(x, w) : (\Sigma y : B)\mathbf{Cr}'(P(x, y))$ where $x : A$ and $w : \mathbf{Cr}'((\exists y : B)P(x, y))$, by cases

$$\begin{aligned} f(x, \text{inl}(u)) &= \langle b_0, \text{element}(P(x, b_0)) \rangle \\ f(x, \text{inr}(y)) &= y. \end{aligned}$$

The realiser k for the implication is now given by

$$k(r) = \langle \lambda x. f(x, r(x)).1, \lambda x. f(x, r(x)).2 \rangle$$

To prove it is a realiser, use \perp -elimination for the case $r(x) = \text{inl}(u)$. \square

The following result is often useful to verify realisability.

Lemma 1.10. *If the \mathbf{Tp} -translation of the proposition*

$$(\forall x_1 : A_1) \cdots (\forall x_n : A_n)[Q(x_1, \dots, x_n) \rightarrow P(x_1, \dots, x_n)]$$

is true and P is atomic or \perp , then the proposition is MR-realised as well as MR'-realised.

Proof. The realising function is trivial for such a proposition: $(\lambda x_1) \cdots (\lambda x_n)(\lambda r)\mathbf{elt}$. Theorem 1.2, a special property of modified realisability with truth, is necessary here. \square

Many stronger “transfer principles” are possible to establish. See [BBS02] for further results and references.

2. AN EXAMPLE

We test the formalisation and extraction procedure on a simple example, which is due to Berger and Schwichtenberg. The extracted function computes Fibonacci numbers efficiently by “memoization.”

A binary predicate G on natural numbers is given. From the axioms

$$\text{(Ax1)} \quad G(0, 0)$$

$$\text{(Ax2)} \quad G(1, 1)$$

$$\text{(Ax3)} \quad (\forall m, k, \ell)[G(m, k) \wedge G(S(m), \ell) \rightarrow G(S(S(m)), k + \ell)].$$

one derives by induction and intuitionistic logic the proposition

$$\text{(P)} \quad (\forall x)(\exists k, \ell)G(x, k) \wedge G(S(x), \ell).$$

Thus there is some realiser f so that

$$\mathbf{MR}(\text{Ax1} \ \& \ \text{Ax2} \ \& \ \text{Ax3} \vdash \text{P}, f).$$

The extracted program p (which is `fib_prog` in the Appendix) for computing the Fibonacci sequence is then given by

$$p(x) = f(nc, x).1$$

where nc (`nocontent` in the Appendix) is the trivial realiser for `Ax1 & Ax2 & Ax3`. After a normalisation process one gets the program:

```

p x =
(case x of {
  (zero) -> t;
  (succ x') -> h x' g (rec
    (\(z::Nat) -> C)
    x'
    t
    (\(x'':Nat) -> \(y::C) -> h x'' g y));}) .1

```

where

```

C = Sigma Nat (\(k::Nat) -> Sigma Nat (\(l::Nat) -> Unit))
h v p q = <q.2.1;
  <case q.2.1 of {(zero) -> q.1;
    (succ u) -> succ (q.1 + u);
  }
  ;<q.2.2.2; e>>>
t = <zero; <succ zero; <e;e>>>
g = \(x,y,z::Nat) -> \(h,j::Unit) -> e
e = elt@_

```

Remark 2.1. Note that all truly dependent types have disappeared. The type C is really the type $\mathbb{N} \times (\mathbb{N} \times \text{Un})$.

The normalised program has been computed using the partial normalisation procedure of Agda on selected subexpressions, and was thus not completely automatic. We also introduced the abbreviations C, h, t, g, e by hand. Some syntactical sugar for lambda expressions and pairs is added.

3. THE FORMALISATION

The formalisation have been carried out in Agda/IAgda (version 2003-08-09) with the aid of the graphical user interface Alfa. The relevant files are available at the URL

www.math.uu.se/~palmgren/modif

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