

THE INDEPENDENCE OF MARKOV'S PRINCIPLE IN TYPE THEORY

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ABSTRACT. In this paper, we show that Markov's principle is not derivable in dependent type theory with natural numbers and one universe. One way to prove this would be to remark that Markov's principle does not hold in a sheaf model of type theory over Cantor space, since Markov's principle does not hold for the generic point of this model [CMR17]. Instead we design an extension of type theory, which intuitively extends type theory by the addition of a generic point of Cantor space. We then show the consistency of this extension by a normalization argument. Markov's principle does not hold in this extension, and it follows that it cannot be proved in type theory.

INTRODUCTION

Markov's principle has a special status in constructive mathematics. One way to formulate this principle is that if it is impossible that a given algorithm does not terminate, then it does terminate. It is equivalent to the fact (Post's theorem) that if a set of natural number and its complement are both computably enumerable, then this set is decidable [TvD88, Ch4]. This form is often used in recursion theory. This principle was first formulated by Markov, who called it "Leningrad's principle", and founded a branch of constructive mathematics around this principle [Mar95].

This principle is also equivalent to the fact that if a given real number is *not* equal to 0 then this number is *apart* from 0 (that is this number is $< -r$ or $> r$ for some rational number $r > 0$). On this form, it was explicitly *refuted* by Brouwer in intuitionistic mathematics, who gave an example of a real number (well defined intuitionistically) which is not equal to 0, but also not apart from 0. (The motivation of Brouwer for this example was to show the necessity of using *negation* in intuitionistic mathematics [Bro75].) The idea of Brouwer can be represented formally using topological models [vD78].

In a neutral approach to mathematics, such as Bishop's [Bis67], Markov's principle is simply left undecided. We also expect to be able to prove that Markov's principle is *not* provable in formal system in which we can express Bishop's mathematics. For instance,

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Kreisel [Kre59] introduced *modified realizability* to show that Markov’s principle is not derivable in the formal system HA^ω . Similarly, one would expect that Markov’s principle is *not* derivable in Martin-Löf type theory [ML72], but, as far as we know, such a result has not been established yet.¹

We say that a statement A is *independent* of some formal system if A cannot be derived in that system. A statement in the formal system of Martin-Löf type theory (MLTT) is represented by a closed type. A statement/type A is derivable if it is inhabited by some term t (written $MLTT \vdash t : A$). This is the so-called propositions-as-types principle. Correspondingly we say that a statement A (represented as a type) is independent of MLTT if there is no term t such that $MLTT \vdash t : A$.

The main result of this paper is to show that Markov’s principle is independent of Martin-Löf type theory.²

The main idea for proving this independence is to follow Brouwer’s argument. We want to extend type theory with a “generic” infinite sequence of 0 and 1 and establish that it is both absurd that this generic sequence is never 0, but also that we cannot show that it *has to* take the value 0. To add such a generic sequence is exactly like adding a *Cohen real* [Coh63] in forcing extension of set theory. A natural attempt for doing this will be to consider a *topological model* of type theory (sheaf model over Cantor space), extending the work [vD78] to type theory. However, while it is well understood how to represent universes in *presheaf* model [HS99], it has turned out to be surprisingly difficult to represent universes in *sheaf* models, see [XE16], [Str05]. Also see [CMR17] for a possible solution. Our approach is here instead a purely *syntactical* description of a forcing extension of type theory (refining previous work of [CJ10]), which contains a formal symbol for the generic sequence and a proof that it is absurd that this generic sequence is never 0, together with a *normalization* theorem, from which we can deduce that we *cannot* prove that this generic sequence has to take the value 0. Since this formal system is an extension of type theory, the independence of Markov’s principle follows.

As stated in [KN01], which describes an elegant generalization of this principle in type theory, Markov’s principle is an important technical tool for proving termination of computations, and thus can play a crucial role if type theory is extended with general recursion as in [CS87].

This paper is organized as follows. We first describe the rules of the version of type theory we are considering. This version can be seen as a simplified version of type theory as represented in the system Agda [Nor07], and in particular, contrary to the work [CJ10], we allow η -conversion, and we express conversion as *judgment*. Markov’s principle can be formulated in a natural way in this formal system. We describe then the forcing extension of type theory, where we add a Cohen real. For proving normalization, we follow Tait’s computability method [Tai67, ML72], but we have to consider an extension of this with a computability *relation* in order to interpret the conversion judgment. This can be seen as a forcing extension of the technique used in [AS12]. Using this computability argument, it is then possible to show that we cannot show that the generic sequence has to take the value 0.

¹The paper [HO93] presents a model of the calculus of constructions using the idea of modified realizability, and it seems possible to use also this technique to interpret the type theory we consider and prove in this way the independence of Markov’s principle.

²Some authors define independence in the stronger sense “A statement is independent of a formal system if neither the statement nor its negation is provable in the system”, e.g. [Kun80]. We will also establish the independence of Markov’s principle in this stronger sense.

We end by a refinement of this method, giving a consistent extension of type theory where the *negation* of Markov's principle is provable.

1. TYPE THEORY AND FORCING EXTENSION

The syntax of our type theory is given by the grammar:

$$t, u, A, B := x \mid \text{rec}_{\mathbf{N}_0}(\lambda x.A) \mid \text{rec}_{\mathbf{N}_1}(\lambda x.A) t \mid \text{rec}_{\mathbf{N}_2}(\lambda x.A) t u \mid \text{rec}_{\mathbf{N}}(\lambda x.A) t u \\ \mid \mathbf{U} \mid \mathbf{N} \mid \mathbf{N}_0 \mid \mathbf{N}_1 \mid \mathbf{N}_2 \mid \mathbf{0} \mid \mathbf{1} \mid \mathbf{S} t \mid \Pi(x:A)B \mid \lambda x.t \mid t u \mid \Sigma(x:A)B \mid (t, u) \mid t.1 \mid t.2$$

We use the notation \bar{n} as a short hand for the term $\mathbf{S}^n \mathbf{0}$, where \mathbf{S} is the successor constructor. We will use the symbol $:=$ for definitional equality in the metatheory.

1.1. Type system. We describe a type theory with one universe à la Russell, natural numbers, functional extensionality and surjective pairing, hereafter referred to as MLTT.³

Natural numbers:

$$\frac{\Gamma \vdash}{\Gamma \vdash \mathbf{N}} \quad \frac{\Gamma \vdash}{\Gamma \vdash \mathbf{0}:\mathbf{N}} \quad \frac{\Gamma \vdash n:\mathbf{N}}{\Gamma \vdash \mathbf{S}n:\mathbf{N}} \quad \frac{\Gamma \vdash n = m:\mathbf{N}}{\Gamma \vdash \mathbf{S}n = \mathbf{S}m:\mathbf{N}} \\ \frac{\Gamma, x:\mathbf{N} \vdash F \quad \Gamma \vdash a_0:F[0] \quad \Gamma \vdash g:\Pi(x:\mathbf{N})(F[x] \rightarrow F[\mathbf{S}x])}{\Gamma \vdash \text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g:\Pi(x:\mathbf{N})F} \\ \frac{\Gamma, x:\mathbf{N} \vdash F \quad \Gamma \vdash a_0:F[0] \quad \Gamma \vdash g:\Pi(x:\mathbf{N})(F[x] \rightarrow F[\mathbf{S}x])}{\Gamma \vdash \text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g \mathbf{0} = a_0:F[0]} \\ \frac{\Gamma, x:\mathbf{N} \vdash F \quad \Gamma \vdash a_0:F[0] \quad \Gamma \vdash n:\mathbf{N} \quad \Gamma \vdash g:\Pi(x:\mathbf{N})(F[x] \rightarrow F[\mathbf{S}x])}{\Gamma \vdash \text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g (\mathbf{S}n) = g n (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g n):F[\mathbf{S}n]} \\ \frac{\Gamma, x:\mathbf{N} \vdash F = G \quad \Gamma \vdash a_0 = b_0:F[0] \quad \Gamma \vdash g = h:\Pi(x:\mathbf{N})(F[x] \rightarrow F[\mathbf{S}x])}{\Gamma \vdash \text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g = \text{rec}_{\mathbf{N}}(\lambda x.G) b_0 h:\Pi(x:\mathbf{N})F}$$

Booleans:

$$\frac{\Gamma \vdash}{\Gamma \vdash \mathbf{N}_2} \quad \frac{\Gamma \vdash}{\Gamma \vdash \mathbf{0}:\mathbf{N}_2} \quad \frac{\Gamma \vdash}{\Gamma \vdash \mathbf{1}:\mathbf{N}_2} \quad \frac{\Gamma, x:\mathbf{N}_2 \vdash F \quad \Gamma \vdash a_0:F[0] \quad \Gamma \vdash a_1:F[1]}{\Gamma \vdash \text{rec}_{\mathbf{N}_2}(\lambda x.F) a_0 a_1:\Pi(x:\mathbf{N}_2)F} \\ \frac{\Gamma, x:\mathbf{N}_2 \vdash F \quad \Gamma \vdash a_0:F[0] \quad \Gamma \vdash a_1:F[1]}{\Gamma \vdash \text{rec}_{\mathbf{N}_2}(\lambda x.F) a_0 a_1 \mathbf{0} = a_0:F[0]} \quad \frac{\Gamma, x:\mathbf{N}_2 \vdash F \quad \Gamma \vdash a_0:F[0] \quad \Gamma \vdash a_1:F[1]}{\Gamma \vdash \text{rec}_{\mathbf{N}_2}(\lambda x.F) a_0 a_1 \mathbf{1} = a_1:F[1]} \\ \frac{\Gamma, x:\mathbf{N}_2 \vdash F = G \quad \Gamma \vdash a_0 = b_0:F[0] \quad \Gamma \vdash a_1 = b_1:F[1]}{\Gamma \vdash \text{rec}_{\mathbf{N}_2}(\lambda x.F) a_0 a_1 = \text{rec}_{\mathbf{N}_2}(\lambda x.G) b_0 b_1:\Pi(x:\mathbf{N}_2)F}$$

Unit Type:

$$\frac{\Gamma \vdash}{\Gamma \vdash \mathbf{N}_1} \quad \frac{\Gamma \vdash}{\Gamma \vdash \mathbf{0}:\mathbf{N}_1} \quad \frac{\Gamma, x:\mathbf{N}_1 \vdash F \quad \Gamma \vdash a:F[0]}{\Gamma \vdash \text{rec}_{\mathbf{N}_1}(\lambda x.F) a:\Pi(x:\mathbf{N}_1)F} \\ \frac{\Gamma, x:\mathbf{N}_1 \vdash F \quad \Gamma \vdash a:F[0]}{\Gamma \vdash \text{rec}_{\mathbf{N}_1}(\lambda x.F) a \mathbf{0} = a:F[0]} \quad \frac{\Gamma, x:\mathbf{N}_1 \vdash F = G \quad \Gamma \vdash a = b:F[0]}{\Gamma \vdash \text{rec}_{\mathbf{N}_1}(\lambda x.F) a = \text{rec}_{\mathbf{N}_1}(\lambda x.G) b:\Pi(x:\mathbf{N}_1)F}$$

³This is a type system similar to Martin-löf's [ML72] except that we have η -conversion and surjective pairing.

Empty type:

$$\frac{\Gamma \vdash}{\Gamma \vdash \mathbf{N}_0} \quad \frac{\Gamma, x: \mathbf{N}_0 \vdash F}{\Gamma \vdash \text{rec}_{\mathbf{N}_0}(\lambda x.F): \Pi(x: \mathbf{N}_0)F} \quad \frac{\Gamma, x: \mathbf{N}_0 \vdash_p F = G}{\Gamma \vdash \text{rec}_{\mathbf{N}_0}(\lambda x.F) = \text{rec}_{\mathbf{N}_0}(\lambda x.G): \Pi(x: \mathbf{N}_0)F}$$

Dependent functions:

$$\frac{\Gamma \vdash F \quad \Gamma, x: F \vdash G}{\Gamma \vdash \Pi(x: F)G} \quad \frac{\Gamma \vdash F = H \quad \Gamma, x: F \vdash G = E}{\Gamma \vdash \Pi(x: F)G = \Pi(x: H)E} \quad \frac{\Gamma, x: F \vdash t: G}{\Gamma \vdash \lambda x.t: \Pi(x: F)G}$$

$$\frac{\Gamma \vdash g: \Pi(x: F)G \quad \Gamma \vdash a: F}{\Gamma \vdash g a: G[a]} \quad \frac{\Gamma \vdash g: \Pi(x: F)G \quad \Gamma \vdash u = v: F}{\Gamma \vdash g u = g v: G[u]}$$

$$\frac{\Gamma \vdash h = g: \Pi(x: F)G \quad \Gamma \vdash u: F}{\Gamma \vdash h u = g u: G[u]}$$

$$\frac{\Gamma, x: F \vdash t: G \quad \Gamma \vdash a: F}{\Gamma \vdash (\lambda x.t)a = t[a]: G[a]} \quad \frac{\Gamma \vdash h: \Pi(x: F)G \quad \Gamma \vdash g: \Pi(x: F)G \quad \Gamma, x: F \vdash h x = g x: G[x]}{\Gamma \vdash h = g: \Pi(x: F)G}$$

Dependent pairs:

$$\frac{\Gamma \vdash F \quad \Gamma, x: F \vdash G}{\Gamma \vdash \Sigma(x: F)G} \quad \frac{\Gamma \vdash F = H \quad \Gamma, x: F \vdash G = E}{\Gamma \vdash \Sigma(x: F)G = \Sigma(x: H)E} \quad \frac{\Gamma, x: F \vdash G \quad \Gamma \vdash a: F \quad \Gamma \vdash b: G[a]}{\Gamma \vdash (a, b): \Sigma(x: F)G}$$

$$\frac{\Gamma \vdash t: \Sigma(x: F)G}{\Gamma \vdash t.1: F} \quad \frac{\Gamma \vdash t: \Sigma(x: F)G}{\Gamma \vdash t.2: G[t.1]} \quad \frac{\Gamma, x: F \vdash G \quad \Gamma \vdash t: F \quad \Gamma \vdash u: G[t]}{\Gamma \vdash (t, u).1 = t: F}$$

$$\frac{\Gamma, x: F \vdash G \quad \Gamma \vdash t: F \quad \Gamma \vdash u: G[t]}{\Gamma \vdash (t, u).2 = u: G[t]} \quad \frac{\Gamma \vdash t = u: \Sigma(x: F)G}{\Gamma \vdash t.1 = u.1: F} \quad \frac{\Gamma \vdash t = u: \Sigma(x: F)G}{\Gamma \vdash t.2 = u.2: G[t.1]}$$

$$\frac{\Gamma \vdash t: \Sigma(x: F)G \quad \Gamma \vdash u: \Sigma(x: F)G \quad \Gamma \vdash t.1 = u.1: F \quad \Gamma \vdash t.2 = u.2: G[t.1]}{\Gamma \vdash t = u: \Sigma(x: F)G}$$

Universe:

$$\frac{\Gamma \vdash}{\Gamma \vdash \mathbf{U}} \quad \frac{\Gamma \vdash F: \mathbf{U}}{\Gamma \vdash F} \quad \frac{\Gamma \vdash F = G: \mathbf{U}}{\Gamma \vdash F = G} \quad \frac{\Gamma \vdash}{\Gamma \vdash \mathbf{N}_0: \mathbf{U}} \quad \frac{\Gamma \vdash}{\Gamma \vdash \mathbf{N}_1: \mathbf{U}} \quad \frac{\Gamma \vdash}{\Gamma \vdash \mathbf{N}_2: \mathbf{U}} \quad \frac{\Gamma \vdash}{\Gamma \vdash \mathbf{N}: \mathbf{U}}$$

$$\frac{\Gamma \vdash F: \mathbf{U} \quad \Gamma, x: F \vdash G: \mathbf{U}}{\Gamma \vdash \Pi(x: F)G: \mathbf{U}} \quad \frac{\Gamma \vdash F = H: \mathbf{U} \quad \Gamma, x: F \vdash G = E: \mathbf{U}}{\Gamma \vdash \Pi(x: F)G = \Pi(x: H)E: \mathbf{U}}$$

$$\frac{\Gamma \vdash F: \mathbf{U} \quad \Gamma, x: F \vdash G: \mathbf{U}}{\Gamma \vdash \Sigma(x: F)G: \mathbf{U}} \quad \frac{\Gamma \vdash F = H: \mathbf{U} \quad \Gamma, x: F \vdash G = E: \mathbf{U}}{\Gamma \vdash \Sigma(x: F)G = \Sigma(x: H)E: \mathbf{U}}$$

Congruence:

$$\frac{\Gamma \vdash F}{\Gamma \vdash F = F} \quad \frac{\Gamma \vdash F = G}{\Gamma \vdash G = F} \quad \frac{\Gamma \vdash F = G \quad \Gamma \vdash G = H}{\Gamma \vdash F = H} \quad \frac{\Gamma \vdash t: F}{\Gamma \vdash t = t: F} \quad \frac{\Gamma \vdash t = u: F}{\Gamma \vdash u = t: F}$$

$$\frac{\Gamma \vdash t = u: F \quad \Gamma \vdash u = v: F}{\Gamma \vdash t = v: F} \quad \frac{\Gamma \vdash t: F \quad \Gamma \vdash F = G}{\Gamma \vdash t: G} \quad \frac{\Gamma \vdash t = u: F \quad \Gamma \vdash F = G}{\Gamma \vdash t = u: G}$$

$$\frac{\Gamma \vdash a: A \quad \Gamma \vdash A = B}{\Gamma \vdash a: B} \quad \frac{\Gamma \vdash a = b: A \quad \Gamma \vdash A = B}{\Gamma \vdash a = b: B}$$

The following four rules are admissible in the this type system [AS12], we consider them as rules of our type system:

$$\frac{\Gamma \vdash a:A}{\Gamma \vdash A} \quad \frac{\Gamma \vdash a=b:A}{\Gamma \vdash a:A} \quad \frac{\Gamma, x:F \vdash G \quad \Gamma \vdash a=b:F}{\Gamma \vdash G[a]=G[b]} \quad \frac{\Gamma, x:F \vdash t:G \quad \Gamma \vdash a=b:F}{\Gamma \vdash t[a]=t[b]:G[a]} \quad \frac{\Gamma \vdash A=B}{\Gamma \vdash A}$$

1.2. Markov's principle. Markov's principle can be represented in type theory by the type

$$\text{MP} := \Pi(h:\mathbb{N} \rightarrow \mathbb{N}_2)[\neg\neg(\Sigma(x:\mathbb{N}) \text{lsZero}(hx)) \rightarrow \Sigma(x:\mathbb{N}) \text{lsZero}(hx)]$$

where $\text{lsZero}:\mathbb{N}_2 \rightarrow \mathbb{U}$ is defined by $\text{lsZero} := \lambda y.\text{rec}_{\mathbb{N}_2}(\lambda x.\mathbb{U}) \mathbb{N}_1 \mathbb{N}_0 y$.

Note that $\text{lsZero}(hn)$ is inhabited when $hn = 0$ and empty when $hn = 1$. Thus $\Sigma(x:\mathbb{N}) \text{lsZero}(hx)$ is inhabited if there is n such that $hn = 0$.

We remark that in the presence of propositional truncation $\| \cdot \|$, Markov's principle can be alternatively formulated with weak (propositional) existential $\exists(x:A)B := \| \Sigma(x:A)B \|$. However, the two formulations are logically equivalent [Uni13, Exercise 3.19].

The main result of this paper is the following:

Theorem 1.1. *There is no term t such that $\text{MLTT} \vdash t:\text{MP}$.*

An *extension* of MLTT is given by introducing new objects, judgment forms and derivation rules. This means in particular that any judgment valid in MLTT is valid in the extension. A *consistent* extension is one in which the type \mathbb{N}_0 is uninhabited.

To show Theorem 1.1 we will form a consistent extension of MLTT with a new constant $\vdash f:\mathbb{N} \rightarrow \mathbb{N}_2$. We will then show that $\neg\neg(\Sigma(x:\mathbb{N}) \text{lsZero}(fx))$ is derivable while $\Sigma(x:\mathbb{N}) \text{lsZero}(fx)$ is not derivable. Thus showing that MP is not derivable in this extension and consequently not derivable in MLTT.

While this is sufficient to establish independence in the sense of non-derivability of MP, to establish the independence of MP in the stronger sense one also needs to show that $\neg\text{MP}$ is not derivable in MLTT. This can be achieved by reference to the work of Aczel [Acz99] where it is shown that MLTT extended with $\vdash \text{dne}:\Pi(A:\mathbb{U})(\neg\neg A \rightarrow A)$ is consistent. Since $h:\mathbb{N} \rightarrow \mathbb{N}_2, x:\mathbb{N} \vdash \text{lsZero}(hx):\mathbb{U}$ we have $h:\mathbb{N} \rightarrow \mathbb{N}_2 \vdash \Sigma(x:\mathbb{N}) \text{lsZero}(hx):\mathbb{U}$. If we let $T(h) := \Sigma(x:\mathbb{N}) \text{lsZero}(hx)$ we get that $h:\mathbb{N} \rightarrow \mathbb{N}_2 \vdash \text{dne } T(h):\neg\neg T(h) \rightarrow T(h)$. By λ abstraction we have $\vdash \lambda h.\text{dne } T(h):\text{MP}$. We can then conclude that there is no term t such that $\text{MLTT} \vdash t:\neg\text{MP}$.

Finally, we will refine the result of Theorem 1.1 by building a consistent extension of MLTT where $\neg\text{MP}$ is derivable.

1.3. Forcing extension. A *condition* p is a graph of a partial finite function from \mathbb{N} to $\{0,1\}$. We denote by \emptyset the empty condition. We write $p(n) = b$ when $(n,b) \in p$. We say q *extends* p (written $q \leq p$) if p is a subset of q . A condition can be thought of as a basic compact open in Cantor space $2^{\mathbb{N}}$. Two conditions p and q are *compatible* if $p \cup q$ is a condition and we write pq for $p \cup q$. If $n \notin \text{dom}(p)$ we write $p(n \mapsto 0)$ for $p \cup \{(n,0)\}$ and $p(n \mapsto 1)$ for $p \cup \{(n,1)\}$. We define the notion of *partition* corresponding to the notion of finite covering of a compact open in Cantor space.

Definition 1.2. We write $p \triangleleft S$ to say that S is a partition of p and we define it inductively as follows:

- (1) $p \triangleleft \{p\}$.

(2) If $n \notin \text{dom}(p)$ and $p(n \mapsto 0) \triangleleft S_0$ and $p(n \mapsto 1) \triangleleft S_1$ then $p \triangleleft S_0 \cup S_1$.

Note that if $p \triangleleft S$ then any $q \in S$ and $r \in S$ are incompatible unless $q = r$. If moreover $s \leq p$ then $s \triangleleft \{sq \mid q \in S \text{ compatible with } s\}$.

We extend the given type theory by annotating the judgments with conditions, i.e. replacing each judgment $\Gamma \vdash J$ in the given type system with a judgment $\Gamma \vdash_p J$.

In addition, we add the locality rule:
$$\text{LOC} \frac{\Gamma \vdash_{p_1} J \ \dots \ \Gamma \vdash_{p_n} J}{\Gamma \vdash_p J} p \triangleleft \{p_1, \dots, p_n\}$$

We add a term f for the generic point along with the introduction and conversion rules:

$$\text{f-I} \frac{\Gamma \vdash_p}{\Gamma \vdash_p f : \mathbb{N} \rightarrow \mathbb{N}_2} \quad \text{f-EVAL} \frac{\Gamma \vdash_p}{\Gamma \vdash_p f \bar{n} = p(n) : \mathbb{N}_2} n \in \text{dom}(p)$$

We add a term w and the rule:

$$\text{w-TERM} \frac{\Gamma \vdash_p}{\Gamma \vdash_p w : \neg\neg(\Sigma(x : \mathbb{N}) \text{IsZero}(f x))}$$

Since w inhabits the type $\neg\neg(\Sigma(x : \mathbb{N}) \text{IsZero}(f x))$, our goal is then to show that no term inhabits the type $\Sigma(x : \mathbb{N}) \text{IsZero}(f x)$.

It follows directly from the description of the forcing extension that:

Lemma 1.3. *If $\Gamma \vdash J$ in standard type theory then $\Gamma \vdash_\emptyset J$.*

Note that if $q \leq p$ and $\Gamma \vdash_p J$ then $\Gamma \vdash_q J$ (monotonicity). A statement A (represented as a closed type) is derivable in this extension if $\vdash_\emptyset t : A$ for some t , which implies $\vdash_p t : A$ for all p .

Similarly to [CJ10] we can state a conservativity result for this extension. Let $\vdash g : \mathbb{N} \rightarrow \mathbb{N}_2$. We say that g is compatible with a condition p if g is such that $\vdash g \bar{n} = b : \mathbb{N}_2$ whenever $(n, b) \in p$ and $\vdash g \bar{n} = 0 : \mathbb{N}_2$ otherwise. We write n_g for the smallest natural number such that $g \bar{n}_g = 0$. Let $v_g : \neg\neg(\Sigma(x : \mathbb{N}) \text{IsZero}(g x))$ be the term given by $v_g := \lambda x. x(\bar{n}_g, 0) : \neg\neg(\Sigma(y : \mathbb{N}) \text{IsZero}(g y))$. To see that v_g is well typed, note that by design $\Gamma \vdash g \bar{n}_g = 0 : \mathbb{N}_2$ thus $\Gamma \vdash \text{IsZero}(g \bar{n}_g) = \mathbb{N}_1$ and $\Gamma \vdash (\bar{n}_g, 0) : \Sigma(x : \mathbb{N}) \text{IsZero}(g x)$. We have then $\Gamma, x : \neg(\Sigma(y : \mathbb{N}) \text{IsZero}(g y)) \vdash x(\bar{n}_g, 0) : \mathbb{N}_0$, thus $\Gamma \vdash \lambda x. x(\bar{n}_g, 0) : \neg\neg(\Sigma(y : \mathbb{N}) \text{IsZero}(g y))$.

Lemma 1.4 (Conservativity). *Let $\vdash g : \mathbb{N} \rightarrow \mathbb{N}_2$ be compatible with some condition p . If $\Gamma \vdash_p J$ then $\Gamma[g/f, v_g/w] \vdash J[g/f, v_g/w]$, i.e. by replacing f with g then w with v_g we obtain a valid judgment in standard type theory. In particular, if we have $\Gamma \vdash_\emptyset J$ where neither f nor w occur in Γ or J then $\Gamma \vdash J$ is a valid judgment in standard type theory.*

Proof. We show that whenever the statement holds for the premise of a typing rule it holds for the conclusion. The statement will then follow by induction on the derivation tree of $\Gamma \vdash_p J$.

For the standard rules the proof is straightforward. For (f-EVAL) we have $(f \bar{n})[g/f, v_g/w] := g \bar{n}$ and since g is compatible with p we have $\Gamma[g/f, v_g/w] \vdash g \bar{n} = p(n) : \mathbb{N}_2$ whenever $n \in \text{dom}(p)$. For (w-TERM) we have

$$\begin{aligned} (w : \neg\neg(\Sigma(x : \mathbb{N}) \text{IsZero}(f x)))[g/f, v_g/w] &:= (w : \neg\neg(\Sigma(x : \mathbb{N}) \text{IsZero}(g x)))[v_g/w] \\ &:= v_g : \neg\neg(\Sigma(x : \mathbb{N}) \text{IsZero}(g x)). \end{aligned}$$

For (LOC) the statement follows from the observation that when g is compatible with p and $p \triangleleft S$ then g is compatible with exactly one $q \in S$. From $\Gamma \vdash_q J$ by IH we get $\Gamma[g/f, v_g/w] \vdash J[g/f, v_g/w]$. \square

2. A SEMANTICS OF THE FORCING EXTENSION

In this section we outline a semantics for the forcing extension given in the previous section. We will interpret the judgments of type theory by computability predicates and relations defined by reducibility to computable weak head normal forms.

2.1. Reduction rules. We extend the β, ι conversion with $f \bar{n} \rightarrow_p b$ whenever $(n, b) \in p$. To ease the presentation of the proofs and definitions we introduce *evaluation contexts* following [WF94].

$$\mathbb{E} ::= [] \mid \mathbb{E} u \mid \mathbb{E}.1 \mid \mathbb{E}.2 \mid \mathbb{S} \mathbb{E} \mid f \mathbb{E} \\ \text{rec}_{N_0}(\lambda x.C) \mathbb{E} \mid \text{rec}_{N_1}(\lambda x.C) a \mathbb{E} \mid \text{rec}_{N_2}(\lambda x.C) a_0 a_1 \mathbb{E} \mid \text{rec}_N(\lambda x.C) c_z g \mathbb{E}$$

An expression $\mathbb{E}[e]$ is then the expression resulting from replacing the hole $[]$ by e . We have the following reduction rules:

$$\frac{}{\text{rec}_{N_1}(\lambda x.C) c \ 0 \rightarrow c} \quad \frac{}{\text{rec}_{N_2}(\lambda x.C) c_0 \ c_1 \ 0 \rightarrow c_0} \quad \frac{}{\text{rec}_{N_2}(\lambda x.C) c_0 \ c_1 \ 1 \rightarrow c_1} \\ \frac{}{\text{rec}_N(\lambda x.C) c_z \ g \ 0 \rightarrow c_z} \quad \frac{}{\text{rec}_N(\lambda x.C) c_z \ g \ (\mathbb{S} \bar{k}) \rightarrow g \bar{k} (\text{rec}_N(\lambda x.C) c_z \ g \ \bar{k})} \\ \frac{}{(\lambda x.t) a \rightarrow t[a/x]} \quad \frac{}{(u, v).1 \rightarrow u} \quad \frac{}{(u, v).2 \rightarrow v} \\ \frac{e \rightarrow_p e'}{e \rightarrow_p e'} \quad \frac{k \in \text{dom}(p)}{f \bar{k} \rightarrow_p p(k)} \quad \frac{e \rightarrow_p e'}{\mathbb{E}[e] \rightarrow_p \mathbb{E}[e']}$$

Note that we reduce under \mathbb{S} . Also note that the relation \rightarrow is monotone, that is if $q \leq p$ and $t \rightarrow_p u$ then $t \rightarrow_q u$. In the following we will show that \rightarrow is also local, i.e. if $p \triangleleft S$ and $t \rightarrow_q u$ for all $q \in S$ then $t \rightarrow_p u$.

Lemma 2.1. *If $m \notin \text{dom}(p)$ and $t \rightarrow_{p(m \mapsto 0)} u$ and $t \rightarrow_{p(m \mapsto 1)} u$ then $t \rightarrow_p u$.*

Proof. By induction on the derivation of $t \rightarrow_{p(m \mapsto 0)} u$. If $t \rightarrow_{p(m \mapsto 0)} u$ is derived by (f-RED) then $t := f \bar{k}$ and $u := p(m \mapsto 0)(k)$ for some $k \in \text{dom}(p(m \mapsto 0))$. But since we also have a reduction $f \bar{k} \rightarrow_{p(m \mapsto 1)} u$, we have $p(m \mapsto 1)(k) := u := p(m \mapsto 0)(k)$ which could only be the case if $k \in \text{dom}(p)$. Thus we have a reduction $f \bar{k} \rightarrow_p u := p(k)$. If on the other hand we have a derivation $t \rightarrow u$, then we have $t \rightarrow_p u$ directly. If the derivation $t \rightarrow_{p(m \mapsto 0)} u$ has the form $\mathbb{E}[e] \rightarrow_{p(m \mapsto 0)} \mathbb{E}[e']$ then we have also $\mathbb{E}[e] \rightarrow_{p(m \mapsto 1)} \mathbb{E}[e']$. Hence, $e \rightarrow_{p(m \mapsto 0)} e'$ and $e \rightarrow_{p(m \mapsto 1)} e'$. By IH $e \rightarrow_p e'$, thus $\mathbb{E}[e] \rightarrow_p \mathbb{E}[e']$. \square

Lemma 2.2. *Let $q \leq p$. If $t \rightarrow_q u$ then $t \rightarrow_p u$ or t has the form $\mathbb{E}[f \bar{m}]$ for some $m \in \text{dom}(q) \setminus \text{dom}(p)$.*

Proof. By induction on the derivation of $t \rightarrow_q u$. If the reduction $t \rightarrow_q u$ has the form $f \bar{k} \rightarrow_q q(k)$ then either $k \notin \text{dom}(p)$ and the statement follows or $k \in \text{dom}(p)$ and we have $t \rightarrow_p u$. If on the other hand we have $t \rightarrow u$ then $t \rightarrow_p u$ immediately. If $t \rightarrow_q u$ has the form $\mathbb{E}[e] \rightarrow_q \mathbb{E}[e']$ then $e \rightarrow_q e'$ and the statement follows by induction. \square

Corollary 2.3. *Let $t \rightarrow_{p(m \mapsto 0)} u$ and $t \rightarrow_{p(m \mapsto 1)} v$ for some $m \notin \text{dom}(p)$. If $u := v$ then $t \rightarrow_p u$; otherwise, t has the form $\mathbb{E}[f \bar{m}]$.*

Define $p \vdash t \rightarrow u : A$ to mean $t \rightarrow_p u$ and $\vdash_p t = u : A$ and write $p \vdash A \rightarrow B$ for $p \vdash A \rightarrow B : \mathbb{U}$.

Note that it holds that if $p \vdash t \rightarrow u : \Pi(x:F)G$ and $\vdash a : F$ then $p \vdash t a \rightarrow u a : G[a]$ and if $p \vdash t \rightarrow u : \Sigma(x:F)G$ then $p \vdash t.1 \rightarrow u.1 : F$ and $p \vdash t.2 \rightarrow u.2 : G[t.1]$.

We define a closure for this relation as follows:

$$\frac{\frac{\frac{\vdash_p t : A}{p \vdash t \rightarrow^* t : A} \quad \frac{p \vdash t \rightarrow u : A}{p \vdash t \rightarrow^* u : A}}{\vdash_p A} \quad \frac{p \vdash t \rightarrow u : A \quad p \vdash u \rightarrow^* v : A}{p \vdash t \rightarrow^* v : A}}{\frac{\frac{\vdash_p A}{p \vdash A \rightarrow^* A} \quad \frac{p \vdash A \rightarrow B}{p \vdash A \rightarrow^* B}}{p \vdash A \rightarrow^* C} \quad \frac{p \vdash A \rightarrow B \quad p \vdash B \rightarrow^* C}{p \vdash A \rightarrow^* C}}$$

A term t is *in* p -whnf if whenever $p \vdash t \rightarrow^* u : A$ then $t := u$.

A whnf is *canonical* if it has one of the forms:

$$0, 1, \bar{n}, \lambda x.t, (a, b), f, w, \mathbf{N}_0, \mathbf{N}_1, \mathbf{N}_2, \mathbf{N}, \mathbf{U}, \Pi(x:F)G, \Sigma(x:F)G, \\ \text{rec}_{\mathbf{N}_0}(\lambda x.C), \text{rec}_{\mathbf{N}_1}(\lambda x.C) a, \text{rec}_{\mathbf{N}_2}(\lambda x.C) a_0 a_1, \text{rec}_{\mathbf{N}}(\lambda x.C) c_z g$$

A p -whnf is *proper* if it is canonical or it is of the form $\mathbb{E}[f \bar{k}]$ for $k \notin \text{dom}(p)$.

A canonical p -whnf has no further reduction at any $q \leq p$. A non-canonical proper p -whnf, i.e. of the form $\mathbb{E}[f \bar{k}]$ for $k \notin \text{dom}(p)$, have further reduction at some $q \leq p$, namely when $k \in \text{dom}(q)$.

We have the following corollaries to Lemma 2.1 and Corollary 2.3.

Corollary 2.4. *Let $m \notin \text{dom}(p)$. Let $p(m \mapsto 0) \vdash t \rightarrow u : A$ and $p(m \mapsto 1) \vdash t \rightarrow v : A$. If $u := v$ then $p \vdash t \rightarrow u : A$; otherwise t has the form $\mathbb{E}[f \bar{m}]$.*

Corollary 2.5. *Let $p \triangleleft S$ and $q \vdash t \rightarrow u : A$ for all $q \in S$. We have $p \vdash t \rightarrow u : A$.*

Proof. By induction on S . If $S := \{p\}$ the the statement follows. Assume the statement holds for $p(m \mapsto 0) \triangleleft S_0$ and $p(m \mapsto 1) \triangleleft S_1$ and let $S := S_0 \cup S_1$. By IH, $p(m \mapsto 0) \vdash t \rightarrow u : A$ and $p(m \mapsto 1) \vdash t \rightarrow u : A$. From Lemma 2.1, $t \rightarrow_p u$. Since $\vdash_{p(m \mapsto 0)} t = u : A$ and $\vdash_{p(m \mapsto 1)} t = u : A$, then $\vdash_p t = u : A$. Thus $p \vdash t \rightarrow u : A$. \square

Note that if $q \leq p$ and $p \vdash t \rightarrow^* u : A$ then $q \vdash t \rightarrow^* u : A$. However if $p(m \mapsto 0) \vdash t \rightarrow^* u : A$ and $p(m \mapsto 1) \vdash t \rightarrow^* u : A$ it is not necessarily the case that $p \vdash t \rightarrow^* u : A$. E.g. we have that $\{(m, 0)\} \vdash \text{rec}_{\mathbf{N}_2}(\lambda x.\mathbf{N}) \bar{n} \bar{n} (f \bar{m}) \rightarrow^* \bar{n} : \mathbf{N}$ and $\{(m, 1)\} \vdash \text{rec}_{\mathbf{N}_2}(\lambda x.\mathbf{N}) \bar{n} \bar{n} (f \bar{m}) \rightarrow^* \bar{n} : \mathbf{N}$ but it is *not* true that $\emptyset \vdash \text{rec}_{\mathbf{N}_2}(\lambda x.\mathbf{N}) \bar{n} \bar{n} (f \bar{m}) \rightarrow^* \bar{n} : \mathbf{N}$.

For a closed term $\vdash_p t : A$, we say that t has a p -whnf if $p \vdash t \rightarrow^* u : A$ and u is in p -whnf. If u is canonical, respectively proper, we say that t has a canonical, respectively proper, p -whnf.

Since the reduction relation is deterministic we have:

Lemma 2.6. *A term $\vdash_p t : A$ has at most one p -whnf.*

Corollary 2.7. *Let $\vdash_p t : A$ and $m \notin \text{dom}(p)$. If t has proper $p(m \mapsto 0)$ -whnf and a proper $p(m \mapsto 1)$ -whnf then t has a proper p -whnf.*

Proof. Let $p(m \mapsto 0) \vdash t \rightarrow^* u : A$ and $p(m \mapsto 1) \vdash t \rightarrow^* v : A$ with u in proper $p(m \mapsto 0)$ -whnf and v in proper $p(m \mapsto 1)$ -whnf. If $t := u$ or $t := v$ then t is already in proper p -whnf. Alternatively we have reductions $p(m \mapsto 0) \vdash t \rightarrow u_1 : A$ and $p(m \mapsto 1) \vdash t \rightarrow v_1 : A$. By

Corollary 2.4 either t is in proper p -whnf or $u_1 := v_1$ and $p \vdash t \rightarrow u_1 : A$. It then follows by induction that u_1 , and thus t , has a proper p -whnf. \square

2.2. Computability predicate and relation. We define inductively a forcing relation $p \Vdash A$ to express that a type A is computable at p . Mutually by recursion we define relations $p \Vdash a : A$ (a computable of type A at p), $p \Vdash A = B$ (A and B are computably equal at p), and $p \Vdash a = b : A$ (a is computably equal to b of type A at p). The definition fits the generalized mutual induction-recursion schema [Dyb00]⁴.

The following rules have an implicit (hidden) premise $\vdash_p A$

$$\begin{array}{c}
\text{F}_{\mathbf{N}_0} \frac{p \vdash A \rightarrow^* \mathbf{N}_0}{p \Vdash A} \quad \text{F}_{\mathbf{N}_1} \frac{p \vdash A \rightarrow^* \mathbf{N}_1}{p \Vdash A} \quad \text{F}_{\mathbf{N}_2} \frac{p \vdash A \rightarrow^* \mathbf{N}_2}{p \Vdash A} \quad \text{F}_{\mathbf{N}} \frac{p \vdash A \rightarrow^* \mathbf{N}}{p \Vdash A} \quad \text{F}_{\mathbf{U}} \frac{}{p \Vdash \mathbf{U}} \\
p \vdash A \rightarrow^* \Pi(x:F)G \\
\text{F}_{\Pi} \frac{p \Vdash F \quad \forall q \leq p (q \Vdash a : F \Rightarrow q \Vdash G[a]) \quad \forall q \leq p (q \Vdash a = b : F \Rightarrow q \Vdash G[a] = G[b])}{p \Vdash A} \\
p \vdash A \rightarrow^* \Sigma(x:F)G \\
\text{F}_{\Sigma} \frac{p \Vdash F \quad \forall q \leq p (q \Vdash a : F \Rightarrow q \Vdash G[a]) \quad \forall q \leq p (q \Vdash a = b : F \Rightarrow q \Vdash G[a] = G[b])}{p \Vdash A} \\
\text{F}_{\text{Loc}} \frac{p \vdash A \rightarrow^* \mathbb{E}[\bar{k}] \quad k \notin \text{dom}(p) \quad p(k \mapsto 0) \Vdash A \quad p(k \mapsto 1) \Vdash A}{p \Vdash A}
\end{array}$$

- (1) Assuming $p \Vdash A$ by $\text{F}_{\mathbf{N}_0}$
 - (a) Assuming $p \Vdash B$ then $p \Vdash A = B$ if
 - (i) $p \vdash B \rightarrow^* \mathbf{N}_0$.
 - (ii) $p \vdash B \rightarrow^* \mathbb{E}[\bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$.
 - (b) $p \not\Vdash t : A$ for all t .
 - (c) $p \not\Vdash t = u : A$ for all t and u .
- (2) Assuming $p \Vdash A$ by $\text{F}_{\mathbf{N}_1}$
 - (a) Assuming $p \Vdash B$ then $p \Vdash A = B$ if
 - (i) $p \vdash B \rightarrow^* \mathbf{N}_1$.
 - (ii) $p \vdash B \rightarrow^* \mathbb{E}[\bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$.
 - (b) $p \Vdash t : A$ if
 - (i) $p \vdash t \rightarrow^* \mathbf{0} : A$
 - (ii) $p \vdash t \rightarrow^* \mathbb{E}[\bar{k}] : A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t : A$ and $p(k \mapsto 1) \Vdash t : A$.
 - (c) Assuming $p \Vdash t : A$ and $p \Vdash u : A$ then $p \Vdash t = u : A$ if
 - (i) $p \vdash t \rightarrow^* \mathbf{0} : A$ and $p \vdash u \rightarrow^* \mathbf{0} : A$.
 - (ii) $p \vdash t \rightarrow^* \mathbf{0} : A$ and $p \vdash u \rightarrow^* \mathbb{E}[\bar{k}] : A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$.
 - (iii) $p \vdash t \rightarrow^* \mathbb{E}[\bar{k}] : A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$.
- (3) Assuming $p \Vdash A$ by $\text{F}_{\mathbf{N}_2}$

⁴However, for the canonical proof below we actually need something weaker than an inductive-recursive definition (arbitrary fixed-point instead of *least* fixed-point), reflecting the fact that the universe is defined in an open way [ML72].

- (a) Assuming $p \Vdash B$ then $p \Vdash A = B$ if
 - (i) $p \vdash B \rightarrow^* \mathbf{N}_2$.
 - (ii) $p \vdash B \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$.
- (b) $p \Vdash t : A$ if
 - (i) $p \vdash t \rightarrow^* b : A$ for some $b \in \{0, 1\}$.
 - (ii) $p \vdash t \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t : A$ and $p(k \mapsto 1) \Vdash t : A$.
- (c) Assuming $p \Vdash t : A$ and $p \Vdash u : A$ then $p \Vdash t = u : A$ if
 - (i) $p \vdash t \rightarrow^* b : A$ and $p \vdash u \rightarrow^* b : A$ for some $b \in \{0, 1\}$.
 - (ii) $p \vdash t \rightarrow^* b : A$, $b \in \{0, 1\}$ and $p \vdash u \rightarrow^* \mathbb{E}[f \bar{k}] : A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$.
 - (iii) $p \vdash t \rightarrow^* \mathbb{E}[f \bar{k}] : A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$.
- (4) Assuming $p \Vdash A$ by $F_{\mathbf{N}}$
 - (a) Assuming $p \Vdash B$ then $p \Vdash A = B$ if
 - (i) $p \vdash B \rightarrow^* \mathbf{N}$.
 - (ii) $p \vdash B \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$.
 - (b) $p \Vdash t : A$ if
 - (i) $p \vdash t \rightarrow^* \bar{n} : A$.
 - (ii) $p \vdash t \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t : A$ and $p(k \mapsto 1) \Vdash t : A$.
 - (c) Assuming $p \Vdash t : A$ and $p \Vdash u : A$ then $p \Vdash t = u : A$ if
 - (i) $p \vdash t \rightarrow^* \bar{n} : A$ and $p \vdash u \rightarrow^* \bar{n} : A$.
 - (ii) $p \vdash t \rightarrow^* \bar{n} : A$ and $p \vdash u \rightarrow^* \mathbb{E}[f \bar{k}] : A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$.
 - (iii) $p \vdash t \rightarrow^* \mathbb{E}[f \bar{k}] : A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$.
- (5) Assuming $p \Vdash A$ by F_{Π} (Let $p \vdash A \rightarrow^* \Pi(x : F)G$).
 - (a) Assuming $p \Vdash B$ and $\vdash_p A = B$ then $p \Vdash A = B$ if
 - (i) $p \vdash B \rightarrow^* \Pi(x : H)E$ and $p \Vdash F = H$ and $\forall q \leq p(q \Vdash a : F \Rightarrow q \Vdash G[a] = E[a])$.
 - (ii) $p \vdash B \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$.
 - (b) Assuming $\vdash_p t : A$ then $p \Vdash t : A$ if

$$\forall q \leq p(q \Vdash a : F \Rightarrow q \Vdash t a : G[a]) \text{ and } \forall q \leq p(q \Vdash a = b : F \Rightarrow q \Vdash t a = t b : G[a]).$$
 - (c) Assuming $p \Vdash t : A$ and $p \Vdash u : A$ and $\vdash_p t = u : A$ then $p \Vdash t = u : A$ if

$$\forall q \leq p(q \Vdash a : F \Rightarrow q \Vdash t a = u a : G[a])$$
- (6) Assuming $p \Vdash A$ by F_{Σ} (Let $p \vdash A \rightarrow^* \Sigma(x : F)G$).
 - (a) Assuming $p \Vdash B$ and $\vdash_p A = B$ then $p \Vdash A = B$ if
 - (i) $p \vdash B \rightarrow^* \Sigma(x : H)E$ and $p \Vdash F = H$ and $\forall q \leq p(q \Vdash a : F \Rightarrow q \Vdash G[a] = E[a])$.
 - (ii) $p \vdash B \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$.
 - (b) Assuming $\vdash_p t : A$ then $p \Vdash t : A$ if

$$p \Vdash t.1 : F \text{ and } p \Vdash t.2 : G[t.1]$$
 - (c) Assuming $p \Vdash t : A$ and $p \Vdash u : A$ and $\vdash_p t = u : A$ then $p \Vdash t = u : A$ if

$$p \Vdash t.1 = u.1 : F \text{ and } p \Vdash t.2 = u.2 : G[t.1]$$
- (7) Assuming $p \Vdash A$ by $F_{\mathbf{U}}$ (i.e. $A := \mathbf{U}$).
 - (a) Assuming $p \Vdash B$ then $p \Vdash A = B$ if $B := \mathbf{U}$

- (b) Assuming $\vdash_p L : A$ then $p \Vdash L : A$ if
- (i) $p \vdash L \rightarrow^* M$ with $M \in \{\mathbf{N}_0, \mathbf{N}_1, \mathbf{N}_2, \mathbf{N}\}$
 - (ii) $p \vdash L \rightarrow^* \Pi(x:F)G$ and $p \Vdash F : A$ and $\forall q \leq p (q \Vdash a : F \Rightarrow q \Vdash G[a] : A)$ and $\forall q \leq p (q \Vdash a = b : F \Rightarrow q \Vdash G[a] = G[b] : A)$.
 - (iii) $p \vdash L \rightarrow^* \Sigma(x:F)G$ and $p \Vdash F : A$ and $\forall q \leq p (q \Vdash a : F \Rightarrow q \Vdash G[a] : A)$ and $\forall q \leq p (q \Vdash a = b : F \Rightarrow q \Vdash G[a] = G[b] : A)$
 - (iv) $p \vdash L \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash L : A$ and $p(k \mapsto 1) \Vdash L : A$.
- (c) Assuming $p \Vdash L : A$ and $p \Vdash P : A$ and $\vdash_p L = P : A$ then $p \Vdash L = P : A$ if
- (i) $p \vdash L \rightarrow^* M$ and $p \vdash P \rightarrow^* M$ for $M \in \{\mathbf{N}_0, \mathbf{N}_1, \mathbf{N}_2, \mathbf{N}\}$.
 - (ii) $p \vdash L \rightarrow^* \Pi(x:F)G$ and $p \vdash P \rightarrow^* \Pi(x:H)E$ and $p \Vdash F = H : A$ and $\forall q \leq p (q \Vdash a : F \Rightarrow q \Vdash G[a] = E[a] : A)$
 - (iii) $p \vdash L \rightarrow^* \Sigma(x:F)G$ and $p \vdash P \rightarrow^* \Sigma(x:H)E$ and $p \Vdash F = H : A$ and $\forall q \leq p (q \Vdash a : F \Rightarrow q \Vdash G[a] = E[a] : A)$
 - (iv) $p \vdash L \rightarrow^* M$ with M in canonical p -whnf and $p \vdash P \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash L = P : A$ and $(k \mapsto 1) \Vdash L = P : A$.
 - (v) $p \vdash L \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash L = P : A$ and $(k \mapsto 1) \Vdash L = P : A$.
- (8) Assuming $p \Vdash A$ by F_{Loc} (i.e. $p \vdash A \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A$ and $p(k \mapsto 1) \Vdash A$).
- (a) Assuming $p \Vdash B$ and $\vdash_p A = B$ then $p \Vdash A = B$ if $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$.
 - (b) Assuming $\vdash_p t : A$ then $p \Vdash t : A$ if $p(k \mapsto 0) \Vdash t : A$ and $p(k \mapsto 1) \Vdash t : A$.
 - (c) Assuming $p \Vdash t : A$ and $p \Vdash u : A$ and $\vdash_p t = u : A$ then $p \Vdash t = u : A$ if $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$.

Note from the definition that when $p \Vdash A = B$ then $p \Vdash A$ and $p \Vdash B$, when $p \Vdash a : A$ then $p \Vdash A$ and when $p \Vdash a = b : A$ then $p \Vdash a : A$ and $p \Vdash b : A$. It follows also from the definition that $\vdash_p J$ whenever $p \Vdash J$.

The clause (F_{Loc}) gives semantics to *variable types*. For example, if $p := \{(0, 0)\}$ and $q := \{(0, 1)\}$ the type $R := \text{rec}_{\mathbf{N}_2}(\lambda x. \mathbf{U}) \mathbf{N}_1 \mathbf{N} (f \mathbf{0})$ has reductions $p \vdash R \rightarrow^* \mathbf{N}_1$ and $q \vdash R \rightarrow^* \mathbf{N}$. Thus $p \Vdash R$ and $q \Vdash R$ and since $\emptyset \triangleleft \{p, q\}$ we have $\emptyset \Vdash R$.

Lemma 2.8. *If $p \Vdash A$ then there is a partition $p \triangleleft S$ where A has a canonical q -whnf for all $q \in S$. If $p \Vdash A = B$ then there is a partition $p \triangleleft S$ where A and B have similar canonical q -whnf for all $q \in S$, i.e. $q \vdash A \rightarrow^* A'$ and $q \vdash B \rightarrow^* B'$ where (A', B') is of the form $(\mathbf{N}_0, \mathbf{N}_0)$, $(\mathbf{N}_1, \mathbf{N}_1)$, $(\mathbf{N}_2, \mathbf{N}_2)$, (\mathbf{N}, \mathbf{N}) , (\mathbf{U}, \mathbf{U}) , $(\Pi(x:F)G, \Pi(x:H)E)$, or $(\Sigma(x:F)G, \Sigma(x:H)E)$.*

Proof. The statement follows from the definition by induction on the derivation of $p \Vdash A$ \square

Corollary 2.9. *Let $p \triangleleft S$. If $q \Vdash A$ for all $q \in S$ then A has a proper p -whnf.*

Proof. Follows from Lemma 2.8 and Corollary 2.7 by induction. \square

Lemma 2.10. *Let $p \vdash A \rightarrow^* M$ with $M \in \{\mathbf{N}_1, \mathbf{N}_2, \mathbf{N}\}$. If $p \Vdash t : A$ then there is a partition $p \triangleleft S$ where t has a canonical q -whnf for all $q \in S$. If $p \Vdash t = u : A$ then there is a partition $p \triangleleft S$ where t and u have the same canonical q -whnf for each $q \in S$.*

Proof. Follows from the definition. \square

The rest of this section is dedicated to proving the following theorem:

Theorem 2.11. *The following hold for the forcing relation*

- (1) *Monotonicity:* If $q \leq p$ and $p \Vdash J$ then $q \Vdash J$.
- (2) *Locality:* If $p \triangleleft S$ and $q \Vdash J$ for all $q \in S$ then $p \Vdash J$.
- (3) *Reflexivity:* If $p \Vdash A$ then $p \Vdash A = A$ and if $p \Vdash a : A$ then $p \Vdash a = a : A$.
- (4) *Symmetry:* If $p \Vdash A = B$ then $p \Vdash B = A$ and if $p \Vdash a = b : A$ then $p \Vdash b = a : A$.
- (5) *Transitivity:* If $p \Vdash A = B$ and $p \Vdash B = C$ then $p \Vdash A = C$ and if $p \Vdash a = b : A$ and $p \Vdash b = c : A$ then $p \Vdash a = c : A$.
- (6) *Extensionality:* If $p \Vdash A = B$ then if $p \Vdash a : A$ then $p \Vdash a : B$ and if $p \Vdash a = b : A$ then $p \Vdash a = b : B$.

In the premise of any forcing $p \Vdash J$ there are a number of typing judgments. Since the type system satisfy the properties listed in Theorem 2.11 we will largely ignore these typing judgments in the proofs.

Lemma 2.12. *If $p \Vdash A$ and $q \leq p$ then $q \Vdash A$.*

Proof. Let $p \Vdash A$ and $q \leq p$. By induction on the derivation of $p \Vdash A$

- (1) (Derivation by \mathbf{N}) Let $p \vdash A \rightarrow^* \mathbf{N}$. Since the reduction is monotone $q \vdash A \rightarrow^* \mathbf{N}$, thus $q \Vdash A$.
The statement follows similarly when $p \Vdash A$ holds by $\mathbf{F}_{\mathbf{N}_0}$, $\mathbf{F}_{\mathbf{N}_1}$, $\mathbf{F}_{\mathbf{N}_2}$, $\mathbf{F}_{\mathbf{N}}$ or $\mathbf{F}_{\mathbf{U}}$.
- (2) (Derivation by \mathbf{F}_{Π} .) Let $p \vdash A \rightarrow^* \Pi(x : F)G$. From the premise $p \Vdash F$, by IH, it follows that $q \Vdash F$. From $\forall r \leq p(r \Vdash a : F \Rightarrow r \Vdash G[a])$ and $\forall r \leq p(r \Vdash a = b : F \Rightarrow r \Vdash G[a] = G[b])$ it follows directly that $\forall s \leq q(s \Vdash a : F \Rightarrow s \Vdash G[a])$ and $\forall s \leq q(s \Vdash a = b : F \Rightarrow s \Vdash G[a] = G[b])$. Hence $q \Vdash A$.
The statement follows similarly when $p \Vdash A$ holds by \mathbf{F}_{Σ}
- (3) (Derivation by \mathbf{F}_{Loc} .) Let $p \vdash A \rightarrow^* \mathbb{E}[f \bar{m}]$, $m \notin \text{dom}(p)$. If $m \in \text{dom}(q)$ then $q \leq p(m \mapsto b)$ for some $b \in \{0, 1\}$. Since $p(m \mapsto b) \Vdash A$ with a derivation strictly smaller than the derivation of $p \Vdash A$ then by IH $q \Vdash A$. Alternatively, $q \vdash A \rightarrow^* \mathbb{E}[f \bar{m}]$ but then $q(m \mapsto b) \leq p(m \mapsto b)$. By IH we have $q(m \mapsto 0) \Vdash A$ and $q(m \mapsto 1) \Vdash A$ and thus $q \Vdash A$. \square

Lemma 2.13. *If $p \Vdash A = B$ and $q \leq p$ then $q \Vdash A = B$.*

Proof. Let $p \Vdash A = B$ and $q \leq p$. We have then that $p \Vdash A$ and $p \Vdash B$. By Lemma 2.12 we have that $q \Vdash A$ and $q \Vdash B$. By induction on the derivation of $p \Vdash A$

- (1) Let $p \Vdash A$ by \mathbf{F}_{Loc} , i.e. $p \vdash A \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$. By induction on the derivation of $p \Vdash A = B$. If $k \in \text{dom}(q)$ then $q \leq p(k \mapsto b)$ for some $b \in \{0, 1\}$. Since the derivation of $p(k \mapsto b) \Vdash A = B$ is strictly smaller than that of $p \Vdash A = B$, by IH $q \Vdash A = B$. Otherwise, $k \notin \text{dom}(q)$ and $q \vdash A \rightarrow^* \mathbb{E}[f \bar{k}]$ and since $q(k \mapsto b) \leq p(k \mapsto b)$, by IH, $q(k \mapsto 0) \Vdash A = B$ and $q(k \mapsto 1) \Vdash A = B$. By the definition $q \Vdash A = B$.
- (2) Let $p \Vdash A$ by $\mathbf{F}_{\mathbf{N}}$ (i.e. $p \vdash A \rightarrow^* \mathbf{N}$). By induction on the derivation of $p \Vdash A = B$
 - (a) Let $p \vdash B \rightarrow^* \mathbf{N}$. We have directly that $q \Vdash A = B$ by monotonicity of the reduction.
 - (b) Let $p \vdash B \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$.
The statement then follows similarly to (1).

The statement follows similarly when $p \Vdash A$ holds by $\mathbf{F}_{\mathbf{N}_0}$, $\mathbf{F}_{\mathbf{N}_1}$, $\mathbf{F}_{\mathbf{N}_2}$.

- (3) Let $p \vdash A \rightarrow^* \Pi(x : F)G$. By induction on the derivation of $p \Vdash A = B$

- (a) Let $p \vdash B \rightarrow^* \Pi(x:HE)$ and $p \Vdash F = H$ and $\forall r \leq p (r \Vdash a:F \Rightarrow r \Vdash G[a] = E[a])$. By IH $q \Vdash F = H$. Directly we have $\forall s \leq q (s \Vdash a:F \Rightarrow s \Vdash G[a] = E[a])$. Thus $q \Vdash A = B$.
- (b) Let $p \vdash B \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$. The statement then follows similarly to (1).

The statement follows similarly when $p \Vdash A$ holds by F_Σ .

- (4) (Derivation by F_U) We have then that $B := U$ and thus $q \Vdash A = B$. □

Lemma 2.14. *If $p \Vdash t:A$ and $q \leq p$ then $q \Vdash t:A$.*

Proof. Let $p \Vdash t:A$ and $q \leq p$. From the definition we have that $p \Vdash A$. From Lemma 2.12 we have that $q \Vdash A$. By induction on the derivation of $p \Vdash t:A$.

- (1) Let $p \Vdash t:A$ by F_N . By induction on the derivation of $p \Vdash t:A$.
 - (a) Let $p \vdash t \rightarrow^* \bar{n}:A$. Then $q \vdash t \rightarrow^* \bar{n}:A$ and $q \Vdash t:A$.
 - (b) Let $p \vdash t \rightarrow^* \mathbb{E}[f \bar{k}]:A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t:A$ and $p(k \mapsto 1) \Vdash t:A$. If $k \in \text{dom}(q)$ then $q \leq p(k \mapsto b)$ for some $b \in \{0,1\}$, since the derivation of $p(k \mapsto b) \Vdash t:A$ is strictly smaller than the derivation $p \Vdash t:A$, by IH, $q \Vdash t:A$. Otherwise, $k \notin \text{dom}(q)$ and $q \vdash t \rightarrow^* \mathbb{E}[f \bar{k}]:A$. But $q(k \mapsto b) \leq p(k \mapsto b)$ and by IH $q(k \mapsto 0) \Vdash t:A$ and $q(k \mapsto 1) \Vdash t:A$. By the definition $q \Vdash t:A$.

The statement follows similarly when $p \Vdash A$ is derived by F_{N_1} or F_{N_2} .

- (2) Let $p \Vdash A$ by F_U . The statement follows similarly to Lemma 2.12.
- (3) Let $p \Vdash A$ by F_Π and let $p \vdash A \rightarrow^* \Pi(x:F)G$. From $\forall r \leq p (r \Vdash a:F \Rightarrow r \Vdash ta:G[a])$ and $\forall r \leq p (r \Vdash a=b:F \Rightarrow r \Vdash ta=tb:G[a])$ we have directly that $\forall s \leq q (s \Vdash a:F \Rightarrow s \Vdash ta:G[a])$ and $\forall s \leq q (s \Vdash a=b:F \Rightarrow s \Vdash ta=tb:G[a])$. Thus $q \Vdash t:A$.
- (4) Let $p \Vdash A$ by F_Σ and let $p \vdash A \rightarrow^* \Sigma(x:F)G$. By induction on the derivation of $p \Vdash t:A$. From $p \Vdash t.1:F$ and $p \Vdash t.2:G[t.1]$, by IH, $q \Vdash t.1:F$ and $q \Vdash t.2:G[t.1]$, thus $q \Vdash t:A$.
- (5) Let $p \Vdash A$ by F_{Loc} and let $p \vdash A \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A$ and $p(k \mapsto 1) \Vdash A$. By induction on the derivation of $p \Vdash t:A$. If $k \in \text{dom}(q)$ then $q \leq p(k \mapsto b)$ for some $b \in \{0,1\}$ from the premise $p(k \mapsto 0) \Vdash t:A$ and $p(k \mapsto 1) \Vdash t:A$, by IH, $q \Vdash t:A$. Otherwise, $k \notin \text{dom}(q)$ and $q \vdash A \rightarrow^* \mathbb{E}[f \bar{k}]$ (i.e. $q \Vdash A$ by F_{Loc}). Since $q(k \mapsto b) \leq p(k \mapsto b)$, by the IH, $q(k \mapsto 0) \Vdash t:A$ and $q(k \mapsto 1) \Vdash t:A$. By the definition $q \Vdash t:A$. □

Lemma 2.15. *If $p \Vdash t = u:A$ and $q \leq p$ then $q \Vdash t = u:A$.*

Proof. Let $p \Vdash t = u:A$ and $q \leq p$. We have then that $p \Vdash A$, $p \Vdash t:A$, and $p \Vdash u:A$. By Lemma 2.12 $q \Vdash A$. By Lemma 2.14 $q \Vdash t:A$ and $q \Vdash u:A$. By induction on the derivation $p \Vdash t = u:A$.

- (1) Let $p \Vdash t = u:A$ by F_N . By induction on the derivation of $p \Vdash t = u:A$.
 - (a) Let $p \vdash t \rightarrow^* \bar{n}:A$ and $p \vdash u \rightarrow^* \bar{n}:A$. By monotonicity of reduction $q \Vdash t = u:A$.
 - (b) Let $p \vdash t \rightarrow^* \bar{n}:A$ and $p \vdash t \rightarrow^* \mathbb{E}[f \bar{k}]:A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t = u:A$ and $p(k \mapsto 1) \Vdash t = u:A$. If $k \in \text{dom}(q)$ then $q \leq p(k \mapsto b)$ for some $b \in \{0,1\}$, by IH, $q \Vdash t = u:A$. Otherwise, $q \vdash t \rightarrow^* \mathbb{E}[f \bar{k}]:A$. But $q(k \mapsto b) \leq p(k \mapsto b)$ and by IH $q(k \mapsto 0) \Vdash t = u:A$ and $q(k \mapsto 1) \Vdash t = u:A$. By the definition $q \Vdash t = u:A$.
 - (c) Let $p \vdash t \rightarrow^* \mathbb{E}[f \bar{k}]:A$, $k \notin \text{dom}(p)$. The statement follows similarly to (1b).

The statement follows similarly for when $p \Vdash A$ holds by F_{N_1} or F_{N_2} .

- (2) Let $p \Vdash t = u:A$ by F_U . The statement follows by a proof similar to that of Lemma 2.13.
- (3) Let $p \Vdash t = u:A$ by F_Π and let $p \vdash t = u:A \rightarrow^* \Pi(x:F)G$. From $\forall r \leq p (r \Vdash a:F \Rightarrow r \Vdash ta = ua:G[a])$ we have directly that $\forall s \leq q (s \Vdash a:F \Rightarrow s \Vdash ta = ua:G[a])$. Hence $q \Vdash t = u:A$.

- (4) Let $p \Vdash A$ by F_Σ and let $p \vdash A \rightarrow^* \Sigma(x : F)G$. By induction on the derivation of $p \Vdash t = u : A$. From $p \Vdash t.1 = u.1 : F$ and $p \Vdash t.2 = u.2 : G[t.1]$, by IH we have $q \Vdash t.1 = u.1 : F$ and $q \Vdash t.2 = u.2 : G[t.1]$, thus $q \Vdash t = u : A$.
- (5) Let $p \Vdash A$ by F_{Loc} and let $p \vdash A \rightarrow^* \mathbb{E}[f \bar{k}], k \notin \text{dom}(p)$. By induction on the derivation of $p \Vdash t = u : A$. If $k \in \text{dom}(q)$ then the statement follows by IH. If $k \notin \text{dom}(q)$ then $q \vdash A \rightarrow^* \mathbb{E}[f \bar{k}]$ (i.e. $q \Vdash A$ by F_{Loc}) and since $q(k \mapsto b) \leq p(k \mapsto b)$, by IH, $q(k \mapsto 0) \Vdash t = u : A$ and $q(k \mapsto 1) \Vdash t = u : A$. Hence $q \Vdash t = u : A$. \square

We collect the results of Lemmas 2.12, 2.14, 2.15, and 2.13 in the following corollary.

Corollary 2.16 (Monotonicity). *If $p \Vdash J$ and $q \leq p$ then $q \Vdash J$.*

We write $\Vdash J$ when $\emptyset \Vdash J$. By monotonicity $\Vdash J$ iff $p \Vdash J$ for all p .

Lemma 2.17. *Let $p \Vdash A$ and $p \Vdash B$. If $p(m \mapsto 0) \Vdash A = B$ and $p(m \mapsto 1) \Vdash A = B$ then $p \Vdash A = B$.*

Proof. By induction on the derivation of $p \Vdash A$.

- (1) Let $p \Vdash A$ by $F_{\mathbb{N}}$. By induction on the derivation of $p \Vdash B$
- (a) If $p \Vdash B$ by $F_{\mathbb{N}}$ then $p \Vdash A = B$ immediately.
 - (b) If $p \Vdash B$ by F_{Loc} . The statement follows similarly to (4) below.
- The statement follows similarly when $p \Vdash A$ is derived by $F_{\mathbb{N}_0}, F_{\mathbb{N}_1}$ and $F_{\mathbb{N}_2}$.
- (2) Let $p \Vdash A$ by F_{Π} and let $p \vdash A \rightarrow^* \Pi(x : F)G$. By induction on the derivation of $p \Vdash B$
- (a) Let $p \Vdash B$ by F_{Π} and let $p \vdash B \rightarrow^* \Pi(x : H)E$. Since $p \Vdash A$ and $p \Vdash B$ we have $p \Vdash F$ and $p \Vdash H$. From the premise $p(m \mapsto 0) \Vdash F = H$ and $p(m \mapsto 1) \Vdash F = H$ and by IH $p \Vdash F = H$.
Let $q \leq p$ and $q \Vdash a : F$. If $q \leq p(m \mapsto b)$ for some $b \in \{0, 1\}$ then $q \Vdash G[a] = E[a]$. Otherwise, since $q(m \mapsto b) \leq p(m \mapsto b)$, by monotonicity $q(m \mapsto 0) \Vdash G[a] = E[a]$ and $q(m \mapsto 1) \Vdash G[a] = E[a]$. From $p \Vdash A$ we have that $q \Vdash G[a]$ and from $p \Vdash B$ we have that $q \Vdash E[a]$. By IH $q \Vdash G[a] = E[a]$. We thus have $p \Vdash A = B$.
 - (b) Let $p \Vdash B$ by F_{Loc} . The statement then follows similarly to (4) below.
- The statement follows similarly when $p \Vdash A$ is derived by F_Σ .
- (3) If $p \Vdash A$ by F_{\cup} then $A := B := \cup$ and $p \Vdash A = B$.
- (4) If $p \Vdash A$ by F_{Loc} . Let $p \vdash A \rightarrow^* \mathbb{E}[f \bar{k}], k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A$ and $p(k \mapsto 1) \Vdash A$.
- (a) If $k = m$ then we have $p \Vdash A = B$ by the definition.
 - (b) If $k \neq m$. By monotonicity $p(k \mapsto b) \Vdash A$ and $p(k \mapsto b) \Vdash B$ and $p(k \mapsto b)(m \mapsto 0) \Vdash A = B$ and $p(k \mapsto b)(m \mapsto 1) \Vdash A = B$ for all $b \in \{0, 1\}$. The derivation of $p(k \mapsto b) \Vdash A$ is strictly smaller than the derivation of $p \Vdash A$. By IH we have $p(k \mapsto 0) \Vdash A = B$ and $p(k \mapsto 1) \Vdash A = B$. By the definition $p \Vdash A = B$. \square

Lemma 2.18. *If $p(m \mapsto 0) \Vdash A$ and $p(m \mapsto 1) \Vdash A$ for some $m \notin \text{dom}(p)$ then $p \Vdash A$.*

Proof. The proof is by induction on the derivations $p(m \mapsto 0) \Vdash A$. Note that from $p(m \mapsto 0) \Vdash A$ and $p(m \mapsto 1) \Vdash A$ we have that A has proper $p(m \mapsto 0)$ -whnf and $p(m \mapsto 1)$ -whnf and by Corollary 2.9 A has a proper p -whnf.

- (1) Let $p(m \mapsto 0) \Vdash A$ by $F_{\mathbb{N}}$
- (a) If A has a canonical p -whnf then $p \vdash A \rightarrow^* \mathbb{N}$ and $p \Vdash A$.
 - (b) Otherwise, $p \vdash A \rightarrow^* \mathbb{E}[\bar{k}], k \notin \text{dom}(p)$. Since A has a canonical $p(m \mapsto 0)$ -whnf we have that $k = m$ and by the definition we have $p \Vdash A$ by F_{Loc}
- The statement follows similarly when $p(m \mapsto 0) \Vdash A$ holds by $F_{\mathbb{N}_0}, F_{\mathbb{N}_1}$ or $F_{\mathbb{N}_2}$.

- (2) Let $p(m \mapsto 0) \Vdash A$ by F_{Π}
- (a) If A has a canonical p -whnf then $p \vdash A \rightarrow^* \Pi(x:F)G$. From $p(m \mapsto 0) \Vdash A$ and $p(m \mapsto 1) \Vdash A$ we have $p(m \mapsto 0) \Vdash F$ and $p(m \mapsto 1) \Vdash F$. By IH $p \Vdash F$. Let $q \leq p$
 - (i) If $m \in \text{dom}(q)$ then $q \leq p(m \mapsto b)$ for some $b \in \{0, 1\}$, then $(q \Vdash a:F \Rightarrow q \Vdash G[a])$ and $(q \Vdash a=b:F \Rightarrow q \Vdash G[a] = G[b])$.
 - (ii) If $m \notin \text{dom}(q)$ then $q \triangleleft \{q(m \mapsto 0), q(m \mapsto 1)\}$. Let $q \Vdash a:F$, by monotonicity $q(m \mapsto 0) \Vdash a:F$ and $q(m \mapsto 1) \Vdash a:F$. Since $q(m \mapsto b) \leq p(m \mapsto b)$, by the definition $q(m \mapsto 0) \Vdash G[a]$ and $q(m \mapsto 1) \Vdash G[a]$ and by IH $q \Vdash G[a]$. Let $q \Vdash a=b:F$, by monotonicity $q(m \mapsto 0) \Vdash a=b:F$ and $q(m \mapsto 1) \Vdash a=b:F$. But then $q(m \mapsto 0) \Vdash G[a]$ and $q(m \mapsto 1) \Vdash G[a]$ and $q(m \mapsto 0) \Vdash G[b]$ and $q(m \mapsto 1) \Vdash G[b]$ and $q(m \mapsto 0) \Vdash G[a] = G[b]$ and $q(m \mapsto 1) \Vdash G[a] = G[b]$. By Lemma 2.17 we have $q \Vdash G[a] = G[b]$.
- The statement follows similarly when $p(m \mapsto 0) \Vdash A$ holds by F_{Σ} .
- (3) Let $p(m \mapsto 0) \Vdash A$ by F_{\cup} then $A := \cup$ and $p \Vdash A$.
- (4) Let $p(m \mapsto 0) \Vdash A$ by F_{Loc} . Since A doesn't have a canonical $p(m \mapsto 0)$ -whnf A doesn't have a canonical p -whnf. Since A has a proper p -whnf we have $p \vdash A \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$.
- (a) If $k = m$ then by the definition we have $p \Vdash A$ by F_{Loc}
 - (b) If $k \neq m$ then $p(m \mapsto b) \vdash A \rightarrow^* \mathbb{E}[f \bar{k}]$. Hence $p(m \mapsto 1) \Vdash A$ by F_{Loc} . We have then $p(m \mapsto 0)(k \mapsto 0) \Vdash A$ and $p(m \mapsto 0)(k \mapsto 1) \Vdash A$ and $p(m \mapsto 1)(k \mapsto 0) \Vdash A$ and $p(m \mapsto 1)(k \mapsto 1) \Vdash A$. By IH $p(k \mapsto 0) \Vdash A$ and $p(k \mapsto 1) \Vdash A$. By the definition $p \Vdash A$. \square

Lemma 2.19. *If $p(m \mapsto 0) \Vdash A = B$ and $p(m \mapsto 1) \Vdash A = B$ for $m \notin \text{dom}(p)$ then $p \Vdash A = B$.*

Proof. By the definition $p(m \mapsto 0) \Vdash A$ and $p(m \mapsto 1) \Vdash A$ and $p(m \mapsto 0) \Vdash B$ and $p(m \mapsto 1) \Vdash B$. By Lemma 2.18 $p \Vdash A$ and $p \Vdash B$. By Lemma 2.17 $p \Vdash A = B$. \square

Lemma 2.20.

- (1) *If $p(m \mapsto 0) \Vdash t:A$ and $p(m \mapsto 1) \Vdash t:A$ for some $m \notin \text{dom}(p)$ then $p \Vdash t:A$.*
- (2) *If $p(m \mapsto 0) \Vdash t = u:A$ and $p(m \mapsto 1) \Vdash t = u:A$ for some $m \notin \text{dom}(p)$ then $p \Vdash t = u:A$.*

Proof. We prove the two statements mutually by induction.

- (1) From $p(m \mapsto 0) \Vdash t:A$ and $p(m \mapsto 1) \Vdash t:A$ we have $p(m \mapsto 0) \Vdash A$ and $p(m \mapsto 1) \Vdash A$ and by Lemma 2.18 $p \Vdash A$. By induction on the derivation of $p \Vdash A$.
 - (a) Let $p \Vdash A$ by $F_{\mathbb{N}}$. Since t has proper $p(m \mapsto 0)$ -whnf and $p(m \mapsto 1)$ -whnf. By Lemma 2.7 t has a proper p -whnf. By induction on the derivation of $p(m \mapsto 0) \Vdash t:A$.
 - (i) Let $p(m \mapsto 0) \vdash t \rightarrow^* \bar{n}:A$
 - (A) If t has a canonical p -whnf then $p \vdash t \rightarrow^* \bar{n}:A$ and $p \Vdash t:A$ directly.
 - (B) Otherwise, $p \vdash t \rightarrow^* \mathbb{E}[f \bar{k}]:A$, $k \notin \text{dom}(p)$. But then we have that $k = m$ and by the definition $p \Vdash t:A$.
 - (ii) Let $p(m \mapsto 0) \vdash t \rightarrow^* \mathbb{E}[f \bar{k}]:A$, $k \notin \text{dom}(p(m \mapsto 0))$ and $p(m \mapsto 0)(k \mapsto 0) \Vdash t:A$ and $p(m \mapsto 0)(k \mapsto 1) \Vdash t:A$. By monotonicity $p(m \mapsto 1)(k \mapsto 0) \Vdash t:A$ and $p(m \mapsto 1)(k \mapsto 1) \Vdash t:A$. By IH $p(k \mapsto 0) \Vdash t:A$ and $p(k \mapsto 1) \Vdash t:A$ and by the definition $p \Vdash t:A$.

The statement follows similarly when $p \Vdash A$ by $F_{\mathbb{N}_1}$ and $F_{\mathbb{N}_2}$.

- (b) Let $p \Vdash A$ by F_{Π} and $p \vdash A \rightarrow^* \Pi(x:F)G$. Let $q \leq p$. If $q \leq p(m \mapsto b)$ then we have directly $q \Vdash a:F \Rightarrow q \Vdash ta:G[a]$ and $q \Vdash a=b:F \Rightarrow q \Vdash ta=tb:G[a]$. Otherwise, we have $q(m \mapsto b) \leq p(m \mapsto b)$. Let $q \Vdash a:F$. By monotonicity $q(m \mapsto 0) \Vdash a:F$ and $q(m \mapsto 1) \Vdash a:F$ and we have $q(m \mapsto 0) \Vdash ta:G[a]$ and $q(m \mapsto 1) \Vdash ta:G[a]$. By IH we have $q \Vdash ta:G[a]$. Let $q \Vdash a=b:F$. By monotonicity $q(m \mapsto 0) \Vdash a=b:F$ and $q(m \mapsto 1) \Vdash a=b:F$ and we have $q(m \mapsto 0) \Vdash ta=tb:G[a]$ and $q(m \mapsto 1) \Vdash ta=tb:G[a]$. By IH (2) $q \Vdash ta=tb:G[a]$. Thus we have $p \Vdash t:A$.
- (c) Let $p \Vdash A$ by F_{Σ} and let $p \vdash A \rightarrow^* \Sigma(x:F)G$. We have $p(m \mapsto 0) \Vdash t.1:F$ and $p(m \mapsto 1) \Vdash t.1:F$ and $p(m \mapsto 0) \Vdash t.2:G[t.1]$ and $p(m \mapsto 1) \Vdash t.2:G[t.1]$. By IH $p \Vdash t.1:F$ and $p \Vdash t.2:G[t.1]$. Thus $p \Vdash t:A$.
- (d) Let $p \Vdash A$ by F_{\cup} . The statement then follows similarly to Lemma 2.18.
- (e) Let $p \Vdash A$ by F_{Loc} and let $p \vdash A \rightarrow^* \mathbb{E}[f\bar{k}], k \notin \text{dom}(p)$. If $k = m$ then by the definition $p \Vdash t:A$. If $k \neq m$ then by monotonicity $p(k \mapsto 0)(m \mapsto 0) \Vdash t:A$ and $p(k \mapsto 0)(m \mapsto 1) \Vdash t:A$ and $p(k \mapsto 1)(m \mapsto 0) \Vdash t:A$ and $p(k \mapsto 1)(m \mapsto 1) \Vdash t:A$. By IH $p(k \mapsto 0) \Vdash t:A$ and $p(k \mapsto 1) \Vdash t:A$. By the definition $p \Vdash t:A$.
- (2) From $p(m \mapsto 0) \Vdash t = u:A$ and $p(m \mapsto 1) \Vdash t = u:A$ we have $p(m \mapsto 0) \Vdash t:A$ and $p(m \mapsto 1) \Vdash t:A$ and $p(m \mapsto 0) \Vdash u:A$ and $p(m \mapsto 1) \Vdash u:A$ and $p(m \mapsto 0) \Vdash A$ and $p(m \mapsto 1) \Vdash A$. By Lemma 2.18 $p \Vdash A$. By induction on the derivation of $p \Vdash A$.
- (a) Let $p \Vdash A$ by F_{N} . By (1a) we have $p \Vdash t:A$. By induction on the derivation of $p \Vdash t:A$.
- (i) If $p \vdash t \rightarrow^* \bar{n}:A$. By induction on the derivation of $p \Vdash u:A$
- (A) If u has a canonical p -whnf then $p \vdash u \rightarrow^* \bar{n}:A$ and $p \Vdash t = u:A$.
- (B) Otherwise, $p \vdash u \rightarrow^* \mathbb{E}[f\bar{k}]:A, k \notin \text{dom}(p)$. If $k = m$ then by the definition $p \Vdash t = u:A$. If $k \neq m$ then by monotonicity $p(k \mapsto 0)(m \mapsto 0) \Vdash t = u:A$ and $p(k \mapsto 0)(m \mapsto 1) \Vdash t = u:A$ and $p(k \mapsto 1)(m \mapsto 0) \Vdash t = u:A$ and $p(k \mapsto 1)(m \mapsto 1) \Vdash t = u:A$. By IH, $p(k \mapsto 0) \Vdash t = u:A$ and $p(k \mapsto 1) \Vdash t = u:A$. By the definition $p \Vdash t = u:A$.
- (ii) If $p \vdash t \rightarrow^* \mathbb{E}[f\bar{k}]:A, k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash t:A$ and $p(k \mapsto 1) \Vdash t:A$. The statement follows similarly to (2(a)iB).
- The statement follows similarly when $p \Vdash A$ holds by F_{N_1} and F_{N_2} .
- (b) Let $p \Vdash A$ by F_{Π} and $p \vdash A \rightarrow^* \Pi(x:F)G$. By (1b) we have $p \Vdash t:A$ and $p \Vdash u:A$. Let $q \leq p$. If $q \leq p(m \mapsto b)$ for some $b \in \{0,1\}$ then we have $q \Vdash a:F \Rightarrow q \Vdash ta=ua:G[a]$. Otherwise, we have $q(m \mapsto b) \leq p(m \mapsto b)$. Let $q \Vdash a:F$. By monotonicity $q(m \mapsto 0) \Vdash a:F$ and $q(m \mapsto 1) \Vdash a:F$ and we have $q(m \mapsto 0) \Vdash ta=ua:G[a]$ and $q(m \mapsto 1) \Vdash ta=ua:G[a]$. By IH we have $q \Vdash ta=ua:G[a]$. Thus $p \Vdash t = u:A$.
- (c) Let $p \Vdash A$ by F_{Σ} and let $p \vdash A \rightarrow^* \Sigma(x:F)G$. By (2c) $p \Vdash t:A$ and $p \Vdash u:A$. We have $p(m \mapsto 0) \Vdash t.1 = u.1:F$ and $p(m \mapsto 1) \Vdash t.1 = u.1:F$ and $p(m \mapsto 0) \Vdash t.2 = u.2:G[t.1]$ and $p(m \mapsto 1) \Vdash t.2 = u.2:G[t.1]$. By IH $p \Vdash t.1 = u.1:F$. Since we have $p(m \mapsto 0) \Vdash u.2:G[t.1]$ and $p(m \mapsto 1) \Vdash u.2:G[t.1]$ then by IH(1) $p \Vdash u.2:G[t.1]$. By IH we have $p \Vdash t.2 = u.2:G[t.1]$. Thus we have $p \Vdash t = u:A$.
- (d) Let $p \Vdash A$ by F_{\cup} . The statement then follows similarly to Lemma 2.19.
- (e) Let $p \Vdash A$ by F_{Loc} and let $p \vdash A \rightarrow^* \mathbb{E}[f\bar{k}], k \notin \text{dom}(p)$. By (1e) $p \Vdash t:A$ and $p \Vdash u:A$. If $k = m$ then by the definition $p \Vdash t = u:A$. If $k \neq m$ then by monotonicity $p(k \mapsto 0)(m \mapsto 0) \Vdash t = u:A$ and $p(k \mapsto 0)(m \mapsto 1) \Vdash t = u:A$

and $p(k \mapsto 1)(m \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1)(m \mapsto 1) \Vdash t = u : A$. By IH $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$. By the definition $p \Vdash t = u : A$. \square

Corollary 2.21 (Locality). *If $p \triangleleft S$ and $q \Vdash J$ for all $q \in S$ then $p \Vdash J$.*

Proof. Follows from Lemma 2.18, Lemma 2.20, and Lemma 2.19 by induction. \square

Lemma 2.22. *Let $p \Vdash A = B$.*

- (a) *If $p \Vdash t : A$ then $p \Vdash t : B$ and if $p \Vdash u : B$ then $p \Vdash u : A$.*
- (b) *If $p \Vdash t = u : A$ then $p \Vdash t = u : B$ and if $p \Vdash v = w : B$ then $p \Vdash v = w : A$.*

Proof. By induction on the derivations of $p \Vdash A$, $p \Vdash B$ and $p \Vdash A = B$

(1) Let $p \Vdash A \rightarrow^* \mathbb{N}$ and $p \Vdash B \rightarrow^* \mathbb{N}$

- (a) Let $p \Vdash t : A$. By Lemma 2.10 there is a partition $p \triangleleft S$ where for each $q \in S$ $q \Vdash t \rightarrow^* \bar{n} : A$ for some $n \in \mathbb{N}$. But then $q \Vdash t \rightarrow^* \bar{n} : B$ and $q \Vdash t : B$. By locality $p \Vdash t : B$. Similarly if $p \Vdash u : B$ then $p \Vdash u : A$.
- (b) Let $p \Vdash t = u : A$ then there is a partition $p \triangleleft S$ where for each $q \in S$ $q \Vdash t \rightarrow^* \bar{n} : A$ and $q \Vdash u \rightarrow^* \bar{n} : A$ for some $n \in \mathbb{N}$. But then $q \Vdash t \rightarrow^* \bar{n} : B$ and $q \Vdash u \rightarrow^* \bar{n} : B$ and $q \Vdash t = u : B$. By locality $p \Vdash t = u : B$. Similarly $p \Vdash v = w : A$ whenever $p \Vdash v = w : B$

(2) Let $p \Vdash A \rightarrow^* \Pi(x:F)G$ and $p \Vdash B \rightarrow^* \Pi(x:H)E$.

- (a) Let $p \Vdash t : A$ and $q \leq p$. Let $q \Vdash a : H$. From $p \Vdash A = B$ we get $p \Vdash F = H$ and by monotonicity $q \Vdash F = H$. By IH $q \Vdash a : F$. Thus we have $q \Vdash ta : G[a]$. Since $q \Vdash G[a] = E[a]$, by IH $q \Vdash ta : E[a]$. Similarly if $q \Vdash a = b : H$ by monotonicity $q \Vdash a = b : F$ and by IH $q \Vdash a = b : F$. Thus $q \Vdash ta = tb : G[a]$ and since $q \Vdash G[a] = E[a]$, by IH $q \Vdash ta = tb : E[a]$. Similarly $p \Vdash u : A$ when $p \Vdash u : B$.
- (b) Let $p \Vdash t = u : A$ and $q \leq p$. Let $q \Vdash a : H$. Similarly to the above we get $q \Vdash ta = ua : E[a]$. Thus showing $q \Vdash t = u : B$. Similarly we have $q \Vdash v = w : A$ when $q \Vdash v = w : B$

(3) Let $p \Vdash A \rightarrow^* \Sigma(x:F)G$ and $p \Vdash B \rightarrow^* \Sigma(x:H)E$.

- (a) Let $p \Vdash t : A$. We have $p \Vdash t.1 : F$ and $p \Vdash t.2 : G[t.1]$. From $p \Vdash A = B$ we get $p \Vdash F = H$ and by IH $p \Vdash t.1 : H$. From $p \Vdash A = B$ we get $p \Vdash G[t.1] = E[t.1]$ and by IH $p \Vdash t.2 : E[t.1]$. Thus $p \Vdash t : B$. Similarly we have $p \Vdash u : A$ when $p \Vdash u : B$.
- (b) Let $p \Vdash t = u : A$. We have $p \Vdash t.1 = u.1 : F$ and $p \Vdash t.2 = u.2 : G[t.1]$. From $p \Vdash A = B$ we get $p \Vdash F = H$ and by IH $p \Vdash t.1 = u.1 : H$. From $p \Vdash A = B$ we get $p \Vdash G[t.1] = E[t.1]$ and by IH $p \Vdash t.2 = u.2 : E[t.1]$. Thus $p \Vdash t = u : B$. Similarly we have $p \Vdash v = w : A$ when $p \Vdash v = w : B$.

(4) If either A or B does not reduce to a canonical p -whnf then by Lemma 2.8 we have a partition $p \triangleleft S$ where for each $q \in S$ both A and B have canonical whnf and we can show the statement for each $q \in S$ by the above. By locality the statement follows for p . \square

Immediately from the definition we have

Lemma 2.23. *If $p \Vdash A$ then $p \Vdash A = A$.*

Lemma 2.24. *If $p \Vdash A = B$ then $p \Vdash B = A$.*

Proof. If both A and B have canonical p -whnf then the statement follows by induction from the definition and Lemma 2.22. Otherwise, by Lemma 2.8 we have a partition $p \triangleleft S$ where both A and B have canonical q -whnf for all $q \in S$. By monotonicity $q \Vdash A = B$ and it follows by the above that $q \Vdash B = A$. By locality $p \Vdash B = A$. \square

Lemma 2.25. *If $p \Vdash A = B$ and $p \Vdash B = C$ then $p \Vdash A = C$.*

Proof. Let $p \Vdash A = B$ and $p \Vdash B = C$. We then have that $p \Vdash A$, $p \Vdash B$ and $p \Vdash C$. Thus A , B and C have proper p -whnf. If any of these proper p -whnf is not canonical then by Lemma 2.8 we can find a partition $p \triangleleft S$ where all three have canonical q -whnf for all $q \in S$. By monotonicity $q \Vdash A = B$ and $q \Vdash B = C$ for all $q \in S$. If we can then show that $q \Vdash A = C$ for all $q \in S$ then by locality we will have $p \Vdash A = C$. Thus we can assume w.l.o.g that A , B and C have canonical p -whnf.

By induction on the derivations of $p \Vdash A$,

- (1) Let $p \Vdash A$ by F_N . Since by assumption B has a canonical p -whnf and $p \Vdash A = B$ then $p \vdash B \rightarrow^* N$. Similarly $p \vdash C \rightarrow^* N$ and we have $p \Vdash A = C$

The statement follows similarly when $p \Vdash A$ holds by F_{N_0} , F_{N_1} and F_{N_2} .

- (2) Let $p \Vdash A$ by F_Π and $p \vdash A \rightarrow^* \Pi(x : F)G$. From $p \Vdash A = B$ and since by assumption B has a canonical p -whnf we have $p \vdash B \rightarrow^* \Pi(x : H)E$ and $p \Vdash F = H$ and $\forall q \leq p (q \Vdash a : F \Rightarrow q \Vdash G[a] = E[a])$. Since $p \Vdash B = C$ and by assumption C has a canonical p -whnf we have $p \vdash C \rightarrow^* \Pi(x : T)R$ and $p \Vdash H = T$ and $\forall q \leq p (q \Vdash b : H \Rightarrow q \Vdash E[b] = R[b])$.

By IH $p \Vdash F = T$. Let $q \leq p$ and $q \Vdash a : F$. By monotonicity $q \Vdash F = H$ and by Lemma 2.22 $q \Vdash a : H$. Thus $q \Vdash E[a] = R[a]$. But $q \Vdash G[a] = E[a]$. By IH $q \Vdash G[a] = R[a]$. Thus we have $p \Vdash A = C$.

The statement follows similarly when $p \Vdash A$ holds by F_Σ .

- (F_U) Since $p \Vdash A = B$ and $p \Vdash B = C$, we have $B := U$ and $C := U$ and the statements follows. \square

Immediately from the definition we have the following

Lemma 2.26. *If $p \Vdash t : A$ then $p \Vdash t = t : A$.*

Lemma 2.27. *If $p \Vdash t = u : A$ and then $p \Vdash u = t : A$.*

Proof. Let $p \Vdash t = u : A$. We have $p \Vdash t : A$, $p \Vdash u : A$ and $p \Vdash A$. By induction on the derivation of $p \Vdash A$.

- (1) Let $p \Vdash A$ by F_N . Since $p \Vdash t = u : A$ we have a partition (Lemma 2.10) $p \triangleleft S$ where for each $q \in S$ we have $q \vdash t \rightarrow^* \bar{n} : A$ and $q \vdash u \rightarrow^* \bar{n} : A$ for some $n \in \mathbb{N}$. Hence $q \Vdash u = t : A$ for all $q \in S$. By locality $p \Vdash t = u : A$.

The statement follows similarly when $p \Vdash A$ is derived by F_{N_1} and F_{N_2} .

- (2) Let $p \Vdash A$ by F_Π and let $p \vdash A \rightarrow^* \Pi(x : F)G$. Let $q \leq p$ and $q \Vdash a : F$ we then have $q \Vdash ta = ua : G[a]$. We have $q \Vdash G[a]$ and by IH $q \Vdash ua = ta : G[a]$. Thus $p \Vdash u = t : A$.
- (3) Let $p \Vdash A$ by F_Σ and let $p \vdash A \rightarrow^* \Sigma(x : F)G$. We have $p \Vdash t.1 = u.1 : F$ and $p \Vdash t.2 = u.2 : G[t.1]$. Since $q \Vdash F$, by IH $p \Vdash u.1 = t.1 : F$. Since $p \Vdash A$ we have $p \Vdash G[t.1] = G[u.1]$. By Lemma 2.22 $p \Vdash t.2 = u.2 : G[u.1]$. Since $p \Vdash G[u.1]$, by IH $p \Vdash u.2 = t.2 : G[u.1]$. Thus $p \Vdash u = t : A$.
- (4) Let $p \Vdash A$ by F_U . The statement then follows similarly to Lemma 2.25
- (5) Let $p \Vdash A$ by F_{Loc} and let $p \vdash A \rightarrow^* \mathbb{E}[f\bar{k}], k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A$ and $p(k \mapsto 1) \Vdash A$. Since $p \Vdash t = u : A$ we have that $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$. By IH $p(k \mapsto 0) \Vdash u = t : A$ and $p(k \mapsto 1) \Vdash u = t : A$. By the definition $p \Vdash u = t : A$. \square

Lemma 2.28. *If $p \Vdash t = u : A$ and $p \Vdash u = v : A$ then $p \Vdash t = v : A$.*

Proof. Let $p \Vdash t = u : A$ and $p \Vdash u = v : A$. We have $p \Vdash A$, $p \Vdash t : A$, $p \Vdash u : A$ and $p \Vdash v : A$. By induction on the derivation of $p \Vdash A$.

(1) Let $p \Vdash A$ by $F_{\mathbb{N}}$. By Lemma 2.10 there is a partition $p \triangleleft S$ where for each $q \in S$ we have $q \vdash t \rightarrow^* \bar{n} : A$, $q \vdash u \rightarrow^* \bar{n} : A$, and $q \vdash v \rightarrow^* \bar{n} : A$. Thus $q \Vdash t = v : A$ for all $q \in S$. By locality $p \Vdash t = v : A$.

The statement follows similarly when $p \Vdash A$ by $F_{\mathbb{N}_1}$ and $F_{\mathbb{N}_2}$.

(2) Let $p \Vdash A$ by F_{Π} and let $p \vdash A \rightarrow^* \Pi(x : F)G$. Let $q \leq p$ and $q \Vdash a : F$. We have then $q \Vdash ta = ua : G[a]$ and $q \Vdash ua = va : G[a]$. By IH $q \Vdash ta = va : G[a]$. Thus $p \Vdash t = v : A$.

(3) Let $p \Vdash A$ by F_{Σ} and let $p \vdash A \rightarrow^* \Sigma(x : F)G$. Since $p \Vdash t = u : A$ we have that $p \Vdash t.1 = u.1 : F$ and $p \Vdash t.2 = u.2 : G[t.1]$. Similarly we have that $p \Vdash u.1 = v.1 : F$ and $p \Vdash u.2 = v.2 : G[u.1]$. Since $p \Vdash A$ we have that $p \Vdash G[t.1] = G[u.1]$ and by Lemma 2.22 $p \Vdash u.2 = v.2 : G[t.1]$. By IH we have $p \Vdash t.1 = v.1 : F$ and $p \Vdash t.2 = v.2 : G[t.1]$. We have then that $p \Vdash t = v : A$.

(4) Let $p \Vdash A$ by F_{\cup} . The statement then follows similarly to Lemma 2.25.

(5) Let $p \Vdash A$ by F_{Loc} and let $p \vdash A \rightarrow^* \mathbb{E}[f \bar{k}]$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A$ and $p(k \mapsto 1) \Vdash A$. We have that $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$ and $p(k \mapsto 0) \Vdash u = v : A$ and $p(k \mapsto 1) \Vdash u = v : A$. By IH $p(k \mapsto 0) \Vdash t = v : A$ and $p(k \mapsto 1) \Vdash t = v : A$. By the definition $p \Vdash t = v : A$. \square

Theorem 2.11 then follows from the above.

3. SOUNDNESS

In this section we show that the type theory described in Section 1 is sound with respect to the semantics described in Section 2. I.e. we aim to show that $p \Vdash J$ whenever $\vdash_p J$.

Lemma 3.1. *If $p \vdash A \rightarrow^* B$ and $p \Vdash B$ then $p \Vdash A$ and $p \Vdash A = B$.*

Proof. Follows from the definition by induction on the derivation of $p \Vdash B$. \square

Lemma 3.2. *Let $p \Vdash A$. If $p \vdash t \rightarrow u : A$ and $p \Vdash u : A$ then $p \Vdash t : A$ and $p \Vdash t = u : A$.*

Proof. Let $p \vdash t \rightarrow u : A$ and $p \Vdash u : A$. By induction on the derivation of $p \Vdash A$.

(1) Let $p \Vdash A$ by F_{\cup} . The statement follows similarly to Lemma 3.1.

(2) Let $p \Vdash A$ by $F_{\mathbb{N}}$. By induction on the derivation of $p \Vdash u : A$. If $p \vdash u \rightarrow^* \bar{n} : \mathbb{N}$ then $p \vdash t \rightarrow^* \bar{n} : \mathbb{N}$ and the statement follows by the definition. If $p \vdash u \rightarrow^* \mathbb{E}[f \bar{k}] : A$, $k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash u : A$ and $p(k \mapsto 1) \Vdash u : A$ then since $p(k \mapsto b) \vdash t \rightarrow u : A$, by IH $p(k \mapsto 0) \Vdash t : A$ and $p(k \mapsto 1) \Vdash t : A$ and $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$. By the definition $p \Vdash t : A$ and $p \Vdash t = u : A$.

The statement follows similarly for $F_{\mathbb{N}_1}$ and $F_{\mathbb{N}_2}$.

(3) Let $p \Vdash A$ by F_{Π} and let $p \vdash A \rightarrow^* \Pi(x : F)G$. Let $q \leq p$ and $q \Vdash a : F$. We have $q \vdash ta \rightarrow ua : G[a]$. By IH $q \Vdash ta : G[a]$ and $q \Vdash ta = ua : G[a]$. If $q \vdash a = b : F$ we similarly get $q \Vdash tb : G[b]$ and $q \Vdash tb = ub : G[b]$. Since $q \Vdash G[a] = G[b]$, by Lemma 2.22 $q \Vdash tb = ub : G[a]$. But $q \Vdash ua = ub : G[a]$. By symmetry and transitivity $q \Vdash ta = tb : G[a]$. Thus $p \Vdash t : A$ and $p \Vdash t = u : A$.

(4) Let $p \Vdash A$ by F_{Σ} and let $p \vdash A \rightarrow^* \Sigma(x : F)G$. From $p \vdash t \rightarrow u : A$ we have $\vdash_p t : A$ and we have $p \vdash t.1 \rightarrow u.1 : F$ and $p \vdash t.2 \rightarrow u.2 : G[u.1]$. By IH $p \Vdash t.1 : F$ and $p \Vdash t.1 = u.1 : F$. By IH $p \Vdash t.2 : G[u.1]$ and $p \Vdash t.2 = u.2 : G[u.1]$. But since $p \Vdash A$ and we have shown

$p \Vdash t.1 = u.1 : F$ we get $p \Vdash G[t.1] = G[u.1]$. By Lemma 2.22 $p \Vdash t.2 : G[t.1]$ and $p \Vdash t.2 = u.2 : G[t.1]$. Thus $p \Vdash t : A$ and $p \Vdash t = u : A$

- (5) Let $p \Vdash A$ by F_{Loc} . Let $p \vdash A \rightarrow^* \mathbb{E}[f\bar{k}], k \notin \text{dom}(p)$ and $p(k \mapsto 0) \Vdash A$ and $p(k \mapsto 1) \Vdash A$. Since $p \Vdash u : A$ we have $p(k \mapsto 0) \Vdash u : A$ and $p(k \mapsto 1) \Vdash u : A$. But we have $p(k \mapsto b) \vdash t \rightarrow u : A$. By IH $p(k \mapsto 0) \Vdash t : A$ and $p(k \mapsto 1) \Vdash t : A$ and $p(k \mapsto 0) \Vdash t = u : A$ and $p(k \mapsto 1) \Vdash t = u : A$. By the definition $p \Vdash t : A$ and $p \Vdash t = u : A$. \square

Corollary 3.3. *Let $p \vdash t \rightarrow^* u : A$ and $p \Vdash A$. If $p \Vdash u : A$ then $p \Vdash t : A$ and $p \Vdash t = u : A$.*

Corollary 3.4. $\Vdash f : \mathbb{N} \rightarrow \mathbb{N}_2$.

Proof. It's direct to see that $\Vdash \mathbb{N} \rightarrow \mathbb{N}_2$. For an arbitrary condition p let $p \Vdash n : \mathbb{N}$. By Lemma 2.10 we have a partition $p \triangleleft S$ where for each $q \in S$, $q \vdash n \rightarrow^* \bar{m} : \mathbb{N}$ for some $m \in \mathbb{N}$. We have thus a reduction $q \vdash f n \rightarrow^* f \bar{m} : \mathbb{N}_2$. If $m \in \text{dom}(q)$ then $q \vdash f n \rightarrow^* f \bar{m} \rightarrow q(m) : \mathbb{N}_2$ and by definition $q \Vdash f n : \mathbb{N}_2$. If $m \notin \text{dom}(q)$ then $q(m \mapsto 0) \vdash f n \rightarrow^* f \bar{m} \rightarrow 0 : \mathbb{N}_2$ and $q(m_j \mapsto 1) \vdash f n \rightarrow^* f \bar{m} \rightarrow 1 : \mathbb{N}_2$. Thus $q(m \mapsto 0) \Vdash f n : \mathbb{N}_2$ and $q(m \mapsto 1) \Vdash f n : \mathbb{N}_2$. By the definition $q \Vdash f n : \mathbb{N}_2$. We thus have that $q \Vdash f n : \mathbb{N}_2$ for all $q \in S$ and by locality $p \Vdash f n : \mathbb{N}_2$.

Let $p \Vdash a = b : \mathbb{N}$. By Lemma 2.10 there is a partition $p \triangleleft S$ where for each $q \in S$, $q \vdash a \rightarrow^* \bar{m} : \mathbb{N}$ and $q \vdash b \rightarrow^* \bar{m} : \mathbb{N}$ for some $m \in \mathbb{N}$. We then have $q \vdash f a \rightarrow^* f \bar{m} : \mathbb{N}_2$ and $q \vdash f b \rightarrow^* f \bar{m} : \mathbb{N}_2$. If $m \in \text{dom}(q)$ then $q \vdash f a \rightarrow^* q(m) : \mathbb{N}_2$ and $q \vdash f b \rightarrow^* q(m) : \mathbb{N}_2$. By Corollary 3.3, symmetry and transitivity $q \Vdash f a = f b : \mathbb{N}_2$. If on the other hand $m \notin \text{dom}(q)$ then $q(m \mapsto 0) \Vdash f a = f b : \mathbb{N}_2$ and $q(m \mapsto 1) \Vdash f a = f b : \mathbb{N}_2$. By the definition $q \Vdash f a = f b : \mathbb{N}_2$. Thus $q \Vdash f a = f b : \mathbb{N}_2$ for all $q \in S$. By locality $p \Vdash f a = f b : \mathbb{N}_2$. Hence $\Vdash f : \mathbb{N} \rightarrow \mathbb{N}_2$. \square

Lemma 3.5. *If $\vdash_p t : \neg A$ and $p \Vdash A$ then $p \Vdash t : \neg A$ iff for all $q \leq p$ there is no term u such that $q \Vdash u : A$.*

Proof. Let $p \Vdash A$ and $\vdash_p t : \neg A$. We have directly that $p \Vdash \neg A$. Assume $p \Vdash t : \neg A$. If $q \Vdash u : A$ for some $q \leq p$, then $q \Vdash t u : \mathbb{N}_0$ which is impossible. Conversely, assume it is the case that for all $q \leq p$ there is no u for which $q \Vdash u : A$. Since $r \Vdash a : A$ and $r \Vdash a = b : A$ never hold for any $r \leq p$, the statements “ $r \Vdash a : A \Rightarrow r \Vdash t a : \mathbb{N}_0$ ” and “ $r \Vdash a = b : A \Rightarrow r \Vdash t a = t b : \mathbb{N}_0$ ” hold trivially. \square

Lemma 3.6. $\Vdash w : \neg\neg(\Sigma(x : \mathbb{N})\text{IsZero}(f x))$.

Proof. By Lemma 3.5 it is enough to show that for all q there is no term u for which $q \Vdash u : \neg(\Sigma(x : \mathbb{N})\text{IsZero}(f x))$. Assume $q \Vdash u : \neg(\Sigma(x : \mathbb{N})\text{IsZero}(f x))$ for some u . Let $m \notin \text{dom}(q)$ we have then $q(m \mapsto 0) \Vdash (\bar{m}, 0) : \Sigma(x : \mathbb{N})\text{IsZero}(f x)$ thus $q(m \mapsto 0) \Vdash u(\bar{m}, 0) : \mathbb{N}_0$ which is impossible. \square

Let $\Gamma := x_1 : A_1, \dots, x_n : A_n$ and $\rho := a_1, \dots, a_n$. Let $A_i \rho := A_i[a_1/x_1, \dots, a_{i-1}/x_{i-1}]$. We write $\Delta \vdash_p \rho : \Gamma$ if $\Delta \vdash_p a_i : A_i \rho$ for all i . Letting $\sigma = b_1, \dots, b_n$, we write $\Delta \vdash_p \rho = \sigma : \Gamma$ if $\Delta \vdash_p a_i = b_i : A_i \rho$ for all i . We write $p \Vdash \rho : \Gamma$ if $p \Vdash a_i : A_i \rho$ for all i and $p \Vdash \rho = \sigma : \Gamma$ if $p \Vdash a_i = b_i : A_i \rho$ for all i .

Lemma 3.7. *If $p \Vdash \rho : \Gamma$ then $\vdash_p \rho : \Gamma$. If $p \Vdash \rho = \sigma : \Gamma$ then $\vdash_p \rho = \sigma : \Gamma$.*

Proof. Follows from the definition. \square

Definition 3.8.

- (1) We write $\Gamma \vDash_p A$ if $\Gamma \vdash_p A$ and for all $q \leq p$ whenever $q \Vdash \rho : \Gamma$ then $q \Vdash A\rho$ and whenever $q \Vdash \rho = \sigma : \Gamma$ then $q \Vdash A\rho = A\sigma$.
- (2) We write $\Gamma \vDash_p t : A$ if $\Gamma \vdash_p t : A$, $\Gamma \vDash_p A$ and for all $q \leq p$ whenever $q \Vdash \rho : \Gamma$ then $q \Vdash t\rho : A\rho$ and whenever $q \Vdash \rho = \sigma : \Gamma$ then $q \Vdash t\rho = t\sigma : A\rho$.
- (3) We write $\Gamma \vDash_p A = B$ if $\Gamma \vdash_p A = B$, $\Gamma \vDash_p A$, $\Gamma \vDash_p B$ and for all $q \leq p$ whenever $q \Vdash \rho : \Gamma$ then $q \Vdash A\rho = B\rho$.
- (4) We write $\Gamma \vDash_p t = u : A$ if $\Gamma \vdash_p t = u : A$, $\Gamma \vDash_p t : A$, $\Gamma \vDash_p u : A$ and for all $q \leq p$ whenever $q \Vdash \rho : \Gamma$ then $q \Vdash t\rho = u\rho : A\rho$.

In the following we will show that whenever we have a rule $\frac{\Gamma_1 \vdash_{p_1} J_1 \ \dots \ \Gamma_\ell \vdash_{p_\ell} J_\ell}{\Gamma \vdash_p J}$ in the type system then it holds that $\frac{\Gamma_1 \vDash_{p_1} J_1 \ \dots \ \Gamma_\ell \vDash_{p_\ell} J_\ell}{\Gamma \vDash_p J}$. Which is sufficient to show soundness.

Lemma 3.9. $\frac{\Gamma \vDash_{p_1} J \ \dots \ \Gamma \vDash_{p_n} J}{\Gamma \vDash_p J} p \triangleleft \{p_1, \dots, p_n\}$

Proof. Follows from Corollary 2.21. □

Lemma 3.10. $\frac{\Gamma \vDash_p F \quad \Gamma, x : F \vDash_p G}{\Gamma \vDash_p \Pi(x : F)G} \quad \frac{\Gamma \vDash_p F \quad \Gamma, x : F \vDash_p G}{\Gamma \vDash_p \Sigma(x : F)G}$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. Let $r \leq q$. If $r \Vdash a : F\rho$, we have $r \Vdash (\rho, a) : (\Gamma, x : F)$, thus $r \Vdash G\rho[a]$. If moreover $r \Vdash a = b : F\rho$ then $r \Vdash (\rho, a) = (\rho, b) : (\Gamma, x : F)$ and we have $r \Vdash G\rho[a] = G\rho[b]$. Thus $q \Vdash (\Pi(x : F)G)\rho$ and $q \Vdash (\Sigma(x : F)G)\rho$.

Let $q \Vdash \rho = \sigma : \Gamma$. We have that $r \Vdash F\rho = F\sigma$. If $r \Vdash a : F\rho$ then by Lemma 2.22 $r \Vdash a : F\sigma$. Thus $r \Vdash (\rho, a) = (\sigma, a) : (\Gamma, x : F)$. We have $r \Vdash G\rho[a] = G\sigma[a]$. Thus $q \Vdash (\Pi(x : F)G)\rho = (\Pi(x : F)G)\sigma$ and $q \Vdash (\Sigma(x : F)G)\rho = (\Sigma(x : F)G)\sigma$. □

Lemma 3.11. $\frac{\Gamma \vDash_p F = H \quad \Gamma, x : F \vDash_p G = E}{\Gamma \vDash_p \Pi(x : F)G = \Pi(x : H)E} \quad \frac{\Gamma \vDash_p F = H \quad \Gamma, x : F \vDash_p G = E}{\Gamma \vDash_p \Sigma(x : F)G = \Sigma(x : H)E}$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. Similarly to Lemma 3.10, we can show $q \Vdash (\Sigma(x : F)G)\rho$, $q \Vdash (\Sigma(x : H)E)\rho$, $q \Vdash (\Pi(x : F)G)\rho$, and $q \Vdash (\Pi(x : H)E)\rho$.

From $q \Vdash F\rho = H\rho$. Let $r \leq q$ and $r \Vdash a : F\rho$. We have then $r \Vdash (\rho, a) : (\Gamma, x : F)$. Thus $r \Vdash G\rho[a] = E\rho[a]$. Thus $q \Vdash (\Pi(x : F)G)\rho = (\Pi(x : H)E)\rho$ and $q \Vdash (\Sigma(x : F)G)\rho = (\Sigma(x : H)E)\rho$. □

Lemma 3.12. $\frac{\Gamma, x : F \vDash_p t : G}{\Gamma \vDash_p \lambda x.t : \Pi(x : F)G}$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. By Lemma 3.7 $\vdash_q \rho : \Gamma$. Let $r \leq q$ and $r \Vdash d : F\rho$. Since $\Gamma, x : F \vDash_p t : G$ we have that $x : F\rho \vdash_r t\rho : G\rho$. Since $\vdash_r d : F\rho$ we have $\vdash_r (\lambda x.t\rho)d = t\rho[d] : G\rho[d]$. By the reduction rules $(\lambda x.t\rho)d \rightarrow t\rho[d]$. Thus $r \Vdash (\lambda x.t\rho)d \rightarrow t\rho[d] : G\rho[d]$. But $r \Vdash (\rho, d) : (\Gamma, x : F)$, hence, $r \Vdash t\rho[d] : G\rho[d]$. By Lemma 3.2 we have that $r \Vdash (\lambda x.t\rho)d : G\rho[d]$ and $r \Vdash (\lambda x.t\rho)d = t\rho[d] : G\rho[d]$.

Let $r \Vdash e = d : F\rho$ we have similarly that $r \Vdash (\lambda x.t\rho)e = t\rho[e] : G\rho[e]$. We have also that $r \Vdash (\rho, d) = (\rho, e) : G\rho[d]$, thus $r \Vdash t\rho[d] = t\rho[e] : G\rho[d]$ and $r \Vdash G\rho[d] = G\rho[e]$. By Lemma 2.22 we have $r \Vdash (\lambda x.t\rho)e = t\rho[e] : G\rho[d]$. By symmetry and transitivity we have $r \Vdash (\lambda x.t\rho)d = (\lambda x.t\rho)e : G\rho[d]$. Thus $q \Vdash (\lambda x.t)\rho : (\Pi(x : F)G)\rho$.

Let $q \Vdash \rho = \sigma : \Gamma$. We get $q \Vdash F\rho = F\sigma$. Similarly to the above we can show $q \Vdash (\lambda x.t)\sigma : (\Pi(x:F)G)\sigma$. Let $r \leq q$ and $r \Vdash a : F\rho$. By Lemma 2.22 $r \Vdash a : F\sigma$. We then have $r \Vdash (\rho, a) = (\sigma, a) : (\Gamma, x:F)$. Thus we have $r \Vdash G\rho[a] = G\sigma[a]$. Thus $q \Vdash (\Pi(x:F)G)\rho = (\Pi(x:F)G)\sigma$ and by Lemma 2.22 $q \Vdash (\lambda x.t)\sigma : (\Pi(x:F)G)\rho$. We have $r \Vdash t\rho[a] = t\sigma[a] : G\rho[a]$. But $r \Vdash (\lambda x.t\rho)a = t\rho[a] : G\rho[a]$ and $r \Vdash (\lambda x.t\sigma)a = t\sigma[a] : G\sigma[a]$. By Lemma 2.22 $r \Vdash (\lambda x.t\sigma)a = t\sigma[a] : G\rho[a]$. By Symmetry and transitivity $r \Vdash (\lambda x.t\rho)a = (\lambda x.t\sigma)a : G\rho[a]$. Thus $q \Vdash (\lambda x.t)\rho = (\lambda x.t)\sigma : (\Pi(x:F)G)\rho$. \square

Lemma 3.13.
$$\frac{\Gamma, x:F \Vdash_p t:G \quad \Gamma \Vdash_p a:F}{\Gamma \Vdash_p (\lambda x.t)a = t[a]:G[a]}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. We have $q \Vdash a\rho : F\rho$. As in Lemma 3.12 $q \Vdash ((\lambda x.t)a)\rho \rightarrow t[a]\rho : G[a]\rho$ which by Lemma 3.2 imply that $q \Vdash ((\lambda x.t)a)\rho = t[a]\rho : G\rho[a]$. \square

Lemma 3.14.
$$\frac{\Gamma \Vdash_p g:\Pi(x:F)G \quad \Gamma \Vdash_p a:F}{\Gamma \Vdash_p ga:G[a]}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. We have $q \Vdash g\rho : (\Pi(x:F)G)\rho$ and $q \Vdash a\rho : F\rho$. By the definition $q \Vdash (ga)\rho : G[a]\rho$.

Let $q \Vdash \rho = \sigma : \Gamma$. We have then $q \Vdash g\rho = g\sigma : (\Pi(x:F)G)\rho$ and $q \Vdash a\rho = a\sigma : F\rho$. From the definition $q \Vdash g\rho a\rho = g\sigma a\rho : G[a]\rho$. From the definition $q \Vdash g\sigma a\rho = g\sigma a\sigma : G[a]\rho$. By transitivity $q \Vdash (ga)\rho = (g\sigma a)\rho : G[a]\rho$. \square

Lemma 3.15. (1)
$$\frac{\Gamma \Vdash_p g:\Pi(x:F)G \quad \Gamma \Vdash_p u = v:F}{\Gamma \Vdash_p gu = gv:G[u]} \quad (2) \frac{\Gamma \Vdash_p h = g:\Pi(x:F)G \quad \Gamma \Vdash_p u:F}{\Gamma \Vdash_p hu = gu:G[u]}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$.

(1) We have $q \Vdash g\rho : (\Pi(x:F)G)\rho$ and $q \Vdash u\rho = v\rho : F\rho$. From the definition get $q \Vdash (gu)\rho = (gv)\rho : G[u]\rho$.

(2) We have $q \Vdash h\rho = g\rho : (\Pi(x:F)G)\rho$ and $q \Vdash u\rho : F\rho$. From the definition we get $q \Vdash (hu)\rho = (gu)\rho : G[u]\rho$. \square

Lemma 3.16.
$$\frac{\Gamma \Vdash_p h:\Pi(x:F)G \quad \Gamma \Vdash_p g:\Pi(x:F)G \quad \Gamma, x:F \Vdash_p hx = gx:G[x]}{\Gamma \Vdash_p h = g:\Pi(x:F)G}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. We have $q \Vdash h\rho : (\Pi(x:F)G)\rho$ and $q \Vdash g\rho : (\Pi(x:F)G)\rho$. Let $r \leq q$ and $r \Vdash a:F\rho$. We have then that $r \Vdash (\rho, a) : \Gamma, x:F$. Thus $r \Vdash h\rho a = g\rho a : G\rho[a]$. By the definition $q \Vdash h\rho = g\rho : (\Pi(x:F)G)\rho$. \square

Lemma 3.17.
$$\frac{\Gamma, x:F \Vdash_p G \quad \Gamma \Vdash_p a:F \quad \Gamma \Vdash_p b:G[a]}{\Gamma \Vdash_p (a,b) : \Sigma(x:F)G}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. By the typing rules $\Gamma \vdash_q (a,b).1 = a:F$ and $\Gamma \vdash_q (a,b).2 = b:F[a]$. But $\vdash_q \rho : \Gamma$. By substitution we have $\vdash_q ((a,b).1)\rho = a\rho : F\rho$ and $\vdash_q ((a,b).2)\rho = b\rho : G[a]\rho$. But $((a,b).1)\rho \rightarrow_q a\rho$ and $((a,b).2)\rho \rightarrow_q b\rho$. Thus $q \Vdash ((a,b).1)\rho \rightarrow a\rho : F\rho$ and $q \Vdash ((a,b).2)\rho \rightarrow b\rho : G[a]\rho$. From the premise $q \Vdash a\rho : F\rho$ and $q \Vdash b\rho : G[a]\rho$. By Lemma 3.2 $q \Vdash ((a,b).1)\rho : F\rho$ and $q \Vdash ((a,b).2)\rho : G[a]\rho$. By Lemma 3.2 $q \Vdash ((a,b).1)\rho = a\rho : F\rho$, thus $q \Vdash (\rho, a\rho) = (\rho, ((a,b).1)\rho) : (\Gamma, x:F)$. Hence $q \Vdash G[a]\rho = G[(a,b).1]\rho$. By Lemma 2.22 $q \Vdash ((a,b).2)\rho : G[(a,b).1]\rho$. By the definition we have then that $q \Vdash (a,b)\rho : (\Sigma(x:F)G)\rho$.

Let $q \Vdash \rho = \sigma : \Gamma$. Similarly we can show $q \Vdash (a,b)\sigma : (\Sigma(x:F)G)\sigma$. We have that $q \Vdash a\rho = a\sigma : F\rho$ and $q \Vdash b\rho = b\sigma : G[a]\rho$. We have also $q \Vdash (\rho, a\rho) = (\sigma, a\sigma) : (\Gamma, x:F)$ we thus have $q \Vdash G[a]\rho = G[a]\sigma$. By Lemma 3.2 $q \Vdash ((a,b).2)\sigma = b\sigma : G[a]\sigma$. By Lemma 2.22

$q \Vdash ((a, b).2)\sigma = b\sigma : G[a]\rho$. But we also have by Lemma 3.2 that $q \Vdash ((a, b).2)\sigma = a\sigma : F\sigma$. Hence, by Lemma 2.22, we have $q \Vdash ((a, b).2)\sigma = a\sigma : F\rho$. By symmetry and transitivity we then have that $q \Vdash ((a, b).1)\rho = ((a, b).1)\sigma : F\rho$ and $q \Vdash ((a, b).2)\rho = ((a, b).2)\sigma : G[(a, b).1]\rho$. Thus we have that $q \Vdash (a, b)\rho = (a, b)\sigma : (\Sigma(x : F)G)\rho$. \square

Lemma 3.18.

$$(1) \frac{\Gamma, x : F \Vdash_p G \quad \Gamma \Vdash_p t : F \quad \Gamma \Vdash_p u : G[t]}{\Gamma \Vdash_p (t, u).1 = t : F} \quad (2) \frac{\Gamma, x : F \Vdash_p G \quad \Gamma \Vdash_p t : F \quad \Gamma \Vdash_p u : G[t]}{\Gamma \Vdash_p (t, u).2 = u : G[t]}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$.

- (1) We have $\vdash_q t\rho : F\rho$ and $\vdash_q u\rho : G[t]\rho$. By substitution we get $\vdash_q ((t, u).1)\rho = t\rho : F\rho$. But $((t, u).1)\rho \rightarrow_q t\rho$, thus $q \Vdash ((t, u).1)\rho \rightarrow_q t\rho : F\rho$. We have that $q \Vdash t\rho : F\rho$. Thus by Lemma 3.2 $q \Vdash ((t, u).1)\rho : F\rho$ and $q \Vdash ((t, u).1)\rho = t\rho : F\rho$.
- (2) Similarly we have $q \Vdash (t, u).2 \rightarrow u\rho : G[t]\rho$. Since $q \Vdash u\rho : G[t]\rho$, by Lemma 3.2, we have that $q \Vdash ((t, u).2)\rho : G[t]\rho$ and $q \Vdash ((t, u).2)\rho = u\rho : G[t]\rho$. \square

Lemma 3.19.

$$(1) \frac{\Gamma \Vdash_p t : \Sigma(x : F)G \quad \Gamma \Vdash_p t : \Sigma(x : F)G}{\Gamma \Vdash_p t.1 : F} \quad \frac{\Gamma \Vdash_p t : \Sigma(x : F)G \quad \Gamma \Vdash_p t : \Sigma(x : F)G}{\Gamma \Vdash_p t.2 : G[t.1]} \quad (2) \frac{\Gamma \Vdash_p t = u : \Sigma(x : F)G \quad \Gamma \Vdash_p t = u : \Sigma(x : F)G}{\Gamma \Vdash_p t.1 = u.1 : F} \quad \frac{\Gamma \Vdash_p t = u : \Sigma(x : F)G \quad \Gamma \Vdash_p t = u : \Sigma(x : F)G}{\Gamma \Vdash_p t.2 = u.2 : G[t.1]}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$.

- (1) We have $q \Vdash t\rho : (\Sigma(x : F)G)\rho$. By the definition we have $q \Vdash (t.1)\rho : F\rho$ and $q \Vdash (t.2)\rho : G[t.1]\rho$. Let $q \Vdash \rho = \sigma : \Gamma$. We have that $q \Vdash t\rho = t\sigma : (\Sigma(x : F)G)\rho$. By the definition $q \Vdash (t.1)\rho = (t.1)\sigma : F\rho$ and $q \Vdash (t.2)\rho = (t.2)\sigma : G[t.1]\rho$.
- (2) We have $q \Vdash t\rho = u\rho : (\Sigma(x : F)G)\rho$. By the definition $q \Vdash (t.1)\rho = (u.1)\rho : F\rho$ and $q \Vdash (t.2)\rho = (u.2)\rho : G[t.1]\rho$. \square

Lemma 3.20.

$$\frac{\Gamma \Vdash_p t : \Sigma(x : F)G \quad \Gamma \Vdash_p u : \Sigma(x : F)G \quad \Gamma \Vdash_p t.1 = u.1 : F \quad \Gamma \Vdash_p t.2 = u.2 : G[t.1]}{\Gamma \Vdash_p t = u : \Sigma(x : F)G}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. We have $q \Vdash t\rho : (\Sigma(x : F)G)\rho$ and $q \Vdash u\rho : (\Sigma(x : F)G)\rho$. We also have $q \Vdash (t.1)\rho = (u.1)\rho : F\rho$ and $q \Vdash (t.2)\rho = (u.2)\rho : G[t.1]\rho$. By the definition $q \Vdash t\rho = u\rho : (\Sigma(x : F)G)\rho$. \square

$$\mathbf{Lemma\ 3.21.} \quad (1) \frac{\Gamma \vdash_p}{\Gamma \Vdash_p \mathbb{N}} \quad (2) \frac{\Gamma \vdash_p}{\Gamma \Vdash_p 0 : \mathbb{N}} \quad (3) \frac{\Gamma \Vdash_p n : \mathbb{N}}{\Gamma \Vdash_p S n : \mathbb{N}} \quad (4) \frac{\Gamma \Vdash_p n = m : \mathbb{N}}{\Gamma \Vdash_p S n = S m : \mathbb{N}}$$

Proof. (1) and (2) follow directly from the definition while (3) and (4) follow from Lemma 2.10 and locality. \square

$$\mathbf{Lemma\ 3.22.} \quad \frac{\Gamma, x : \mathbb{N} \Vdash_p F \quad \Gamma \Vdash_p a_0 : F[0] \quad \Gamma \Vdash_p g : \Pi(x : \mathbb{N})(F[x] \rightarrow F[Sx])}{\Gamma \Vdash_p \text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g : \Pi(x : \mathbb{N})F}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. We have then that $q \Vdash \rho : \Gamma$, hence, $\vdash_q (\text{rec}_{\mathbb{N}}(\lambda x.F)\rho a_0 g)\rho : (\Pi(x : \mathbb{N})F)\rho$. Let $r \leq q$. Let $r \Vdash a : \mathbb{N}$, $r \Vdash b : \mathbb{N}$ and $r \Vdash a = b : \mathbb{N}$. By Lemma 2.10 there is a partition $r \triangleleft S$ such that for each $s \in S$, $s \Vdash a \rightarrow^* \bar{n} : \mathbb{N}$ and $s \Vdash b \rightarrow^* \bar{n} : \mathbb{N}$. In order to show that $q \Vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho : (\Pi(x : \mathbb{N})F)\rho$ we need to show that $r \Vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho a : F\rho[a]$, $r \Vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho b : F\rho[b]$, and $r \Vdash \text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho a = (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho b : F\rho[a]$. By locality it will be sufficient to show that for each $s \in$

S we have $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a : F\rho[a]$, $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b : F\rho[b]$, and $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a = (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b\rho : F\rho[a]$. We have that

$$\begin{aligned} s &\vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a \rightarrow^* (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho \bar{n} : F\rho[a] \\ s &\vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b \rightarrow^* (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho \bar{n} : F\rho[b] \end{aligned}$$

Let $\bar{n} := \mathbf{S}^k 0$. By induction on k . If $k = 0$ then

$$\begin{aligned} s &\vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a \rightarrow^* (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho 0 \rightarrow a_0\rho : F\rho[a] \\ s &\vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b \rightarrow^* (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho 0 \rightarrow a_0\rho : F\rho[b] \end{aligned}$$

By Lemma 2.22 we have then that

$$s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a = a_0\rho : F\rho[a] \quad s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b = a_0\rho : F\rho[b]$$

Since $s \Vdash a = b : \mathbf{N}$ we have $s \Vdash (\rho, a) = (\rho, b) : (\Gamma, x : \mathbf{N})$ and thus $s \Vdash F\rho[a] = F\rho[b]$. By Lemma 2.22, symmetry and transitivity $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a = (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b : F\rho[a]$.

Assume the statement holds for $k \leq \ell$. Let $\bar{n} = \mathbf{S}\bar{\ell}$. We have then

$$\begin{aligned} s &\vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a \rightarrow^* g\rho\bar{\ell}((\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho\bar{\ell}) : F\rho[\mathbf{S}\bar{\ell}] \\ s &\vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b \rightarrow^* g\rho\bar{\ell}((\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho\bar{\ell}) : F\rho[\mathbf{S}\bar{\ell}] \end{aligned}$$

By IH $s \Vdash ((\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho\bar{\ell}) : F\rho[\bar{\ell}]$. But we have $\Gamma \vDash_p g : \Pi(x : \mathbf{N})(F[x] \rightarrow F[\mathbf{S}x])$ and thus $s \Vdash (g\rho)\bar{\ell}((\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho\bar{\ell}) : F\rho[\mathbf{S}\bar{\ell}]$. By Corollary 3.3, symmetry and transitivity we get that $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a : F\rho[\mathbf{S}\bar{\ell}]$, $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b : F\rho[\mathbf{S}\bar{\ell}]$, and $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a = (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b : F\rho[\mathbf{S}\bar{\ell}]$. But $s \Vdash a = \mathbf{S}\bar{\ell} : \mathbf{N}$, thus, $s \Vdash F\rho[a] = F\rho[\mathbf{S}\bar{\ell}]$. By Lemma 2.22 we get then that $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a = (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho b : F\rho[a]$.

As indicated above, this is sufficient to show $q \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho : \Pi(x : \mathbf{N})F\rho$.

Given $q \Vdash \rho = \sigma : \Gamma$. Similarly we can show $q \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma : \Pi(x : \mathbf{N})F\rho$.

To show that $q \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho = (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma : \Pi(x : \mathbf{N})F\rho$ we need to show that whenever $r \Vdash a : F\rho$ for some $r \leq q$ we have $r \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a = (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma a : F\rho[a]$. Let $r \Vdash a : F$ for $r \leq q$. By Lemma 2.10 we have a partition $r \triangleleft S$ where for each $s \in S$ we have $s \vdash a \rightarrow^* \bar{n} : \mathbf{N}$. As above it is sufficient to show $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a = (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma a : F\rho[a]$ for all $s \in S$.

Let $\bar{n} := \mathbf{S}^k 0$. By induction on k . If $k = 0$ then as above

$$s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a = a_0\rho : F\rho[a] \quad s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma a = a_0\sigma : F\rho[b]$$

Since $r \Vdash \rho = \sigma : \Gamma$ we have $s \Vdash (\rho, a) = (\sigma, a) : (\Gamma, x : \mathbf{N})$. We have then that $s \Vdash F\rho[a] = F\rho[b]$. But we also have that $s \Vdash a_0\rho = a_0\sigma : F\rho[a]$. By Lemma 2.22, symmetry and transitivity it then follows that $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a = (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma a : F\rho[a]$.

Assume the statement holds for $k \leq \ell$. Let $\bar{n} = \mathbf{S}\bar{\ell}$. As before we have that

$$\begin{aligned} s &\vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho a \rightarrow^* g\rho\bar{\ell}((\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho\bar{\ell}) : F\rho[\mathbf{S}\bar{\ell}] \\ s &\vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma a \rightarrow^* g\sigma\bar{\ell}((\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma\bar{\ell}) : F\rho[\mathbf{S}\bar{\ell}] \end{aligned}$$

By IH $s \Vdash (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho\bar{\ell} = (\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma\bar{\ell} : F\rho[\bar{\ell}]$. But $s \Vdash g\rho = g\sigma : (\Pi(x : \mathbf{N})(F[x] \rightarrow F[\mathbf{S}x]))\rho$, thus $s \Vdash g\rho\bar{\ell}((\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\rho\bar{\ell}) = g\sigma\bar{\ell}((\text{rec}_{\mathbf{N}}(\lambda x.F) a_0 g)\sigma\bar{\ell}) : F\rho[\mathbf{S}\bar{\ell}]$

But $s \Vdash F\rho[\mathbb{S}\bar{\ell}] = F\sigma[\mathbb{S}\bar{\ell}]$ and $r_i \Vdash F\rho[a] = F\rho[\mathbb{S}\bar{\ell}]$. By Lemma 2.22, symmetry and transitivity we have then that $s \Vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho a = (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\sigma a : F\rho[a]$

Which is sufficient to show $q \Vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho = (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\sigma : \Pi(x:\mathbb{N})F\rho$ \square

Lemma 3.23.
$$\frac{\Gamma, x:\mathbb{N} \vdash F \quad \Gamma \vDash_p a_0 : F[0] \quad \Gamma \vDash_p g : \Pi(x:\mathbb{N})(F[x] \rightarrow F[\mathbb{S}x])}{\Gamma \vDash_p \text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g \mathbf{0} = a_0 : F[0]}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. We have $\vdash_q \rho : \Gamma$ and thus we get that $\vdash_q (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g \mathbf{0})\rho = a_0\rho : F\rho[0]$. But $(\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g \mathbf{0})\rho \rightarrow a_0\rho$. Thus $q \vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g \mathbf{0})\rho \rightarrow a_0\rho : F\rho[0]$. But $q \Vdash a_0\rho : F\rho[0]$. By Lemma 3.2 we have $q \Vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g \mathbf{0})\rho = a_0\rho : F\rho[0]$. \square

Lemma 3.24.
$$\frac{\Gamma, x:\mathbb{N} \vDash_p F \quad \Gamma \vDash_p a_0 : F[0] \quad \Gamma \vDash_p n : \mathbb{N} \quad \Gamma \vDash_p g : \Pi(x:\mathbb{N})(F[x] \rightarrow F[\mathbb{S}x])}{\Gamma \vDash_p \text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g (\mathbb{S}n) = g n (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g n) : F[\mathbb{S}n]}$$

Proof. Let $q \leq p$ and $q \Vdash \rho : \Gamma$. We have $q \Vdash n\rho : \mathbb{N}$. By Lemma 2.10 there is a partition $q \triangleleft S$ such that for each $s \in S$ there is $m \in \mathbb{N}$ and $s \vdash n\rho \rightarrow^* \bar{m} : \mathbb{N}$. Thus $s \vdash \mathbb{S}n\rho \rightarrow^* \mathbb{S}\bar{m} : \mathbb{N}$. We have then that

$$\begin{aligned} s \vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g (\mathbb{S}n))\rho &\rightarrow^* (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho (\mathbb{S}\bar{m}) \\ &\rightarrow^* g\rho \bar{m} ((\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho \bar{m}) : F\rho[\mathbb{S}\bar{m}] \end{aligned}$$

But $s \vdash (g n (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g n))\rho \rightarrow^* g\rho \bar{m} ((\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g)\rho \bar{m}) : F\rho[\mathbb{S}\bar{m}]$. By Corollary 3.3, symmetry and transitivity $s \Vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g (\mathbb{S}n))\rho = (g n (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g n))\rho : F\rho[\mathbb{S}\bar{m}]$.

Since $s \vdash n\rho = \bar{m} : \mathbb{N}$ we have that $s \Vdash F\rho[\mathbb{S}\bar{m}] = F\rho[\mathbb{S}n\rho]$. By Lemma 2.22 we thus have that $s \Vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g (\mathbb{S}n))\rho = (g n (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g n))\rho : F[\mathbb{S}n]\rho$.

By locality $q \Vdash (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g (\mathbb{S}n))\rho = (g n (\text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g n))\rho : F[\mathbb{S}n]\rho$ \square

Lemma 3.25.
$$\frac{\Gamma, x:\mathbb{N} \vDash_p F = G \quad \Gamma \vDash_p a_0 = b_0 : F[0] \quad \Gamma \vDash_p g = h : \Pi(x:\mathbb{N})(F[x] \rightarrow F[\mathbb{S}x])}{\Gamma \vDash_p \text{rec}_{\mathbb{N}}(\lambda x.F) a_0 g = \text{rec}_{\mathbb{N}}(\lambda x.G) b_0 h : \Pi(x:\mathbb{N})F}$$

Proof. The proof follows by an argument similar to that used to prove Lemma 3.22. \square

For the congruence rules, soundness follows from Theorem 2.11. Soundness for rules of \mathbb{N}_0 , \mathbb{N}_1 and \mathbb{N}_2 follow similarly to those of \mathbb{N} . Soundness for the rules of \mathbb{U} follows similarly to soundness of typing rules. We have then the following corollary:

Corollary 3.26 (Soundness). *If $\Gamma \vdash_p J$ then $\Gamma \vDash_p J$*

Theorem 3.27 (Fundamental Theorem). *If $\vdash_p J$ then $p \Vdash J$.*

Proof. Follows from Corollary 3.26. \square

4. MARKOV'S PRINCIPLE

Now we have enough machinery to show the independence of MP from type theory. The idea is that if a judgment J is derivable in type theory (i.e. $\vdash J$) then it is derivable in the forcing extension (i.e. $\vdash_{\emptyset} J$) and by Theorem 3.27 it holds in the interpretation (i.e. $\Vdash J$). It thus suffices to show that there no t such that $\Vdash t : \text{MP}$ to establish the independence of MP from type theory. First we recall the formulation of MP.

$$\text{MP} := \Pi(h:\mathbb{N} \rightarrow \mathbb{N}_2)[\neg\neg(\Sigma(x:\mathbb{N}) \text{lsZero}(hx)) \rightarrow \Sigma(x:\mathbb{N}) \text{lsZero}(hx)]$$

where $\text{lsZero}:\mathbb{N}_2 \rightarrow \mathbb{U}$ is given by $\text{lsZero} := \lambda y.\text{rec}_{\mathbb{N}_2}(\lambda x.\mathbb{U}) \mathbb{N}_1 \mathbb{N}_0 y$.

Lemma 4.1. *There is no term t such that $\Vdash t : \Sigma(x : \mathbb{N}) \text{lsZero}(f x)$.*

Proof. Assume $\Vdash t : \Sigma(x : \mathbb{N}) \text{lsZero}(f x)$ for some t . We then have $\Vdash t.1 : \mathbb{N}$ and $\Vdash t.2 : \text{lsZero}(f t.1)$. By Lemma 2.10 one has a partition $\emptyset \triangleleft S$ where for each $q \in S$, $q \vdash t.1 \rightarrow^* \bar{m} : \mathbb{N}$. Hence $q \vdash \text{lsZero}(f t.1) \rightarrow^* \text{lsZero}(f \bar{m})$ and by Lemma 3.1 $q \Vdash \text{lsZero}(f t.1) = \text{lsZero}(f \bar{m})$. But, by definition, the partition S must contain a condition, say r , such that $r(k) = 1$ whenever $k \in \text{dom}(r)$ (this holds vacuously for $\emptyset \triangleleft \{\emptyset\}$). Let $r \vdash t.1 \rightarrow^* \bar{n} : \mathbb{N}$. Assume $n \in \text{dom}(r)$, then $r \vdash \text{lsZero}(f t.1) \rightarrow^* \text{lsZero}(f \bar{n}) \rightarrow^* \mathbb{N}_0$. By monotonicity, from $\Vdash t.2 : \text{lsZero}(f t.1)$ we get $r \Vdash t.2 : \text{lsZero}(f t.1)$. But $r \vdash \text{lsZero}(f t.1) \rightarrow^* \mathbb{N}_0$ thus $r \Vdash \text{lsZero}(f t.1) = \mathbb{N}_0$. Hence, by Lemma 2.22, $r \Vdash t.2 : \mathbb{N}_0$ which is impossible, thus contradicting our assumption. If on the other hand $n \notin \text{dom}(r)$ then, since $r \triangleleft \{r(n \mapsto 0), r(n \mapsto 1)\}$, we can apply the above argument with $r(n \mapsto 1)$ instead of r . \square

Lemma 4.2. *There is no term t such that $\Vdash t : \text{MP}$.*

Proof. Assume $\Vdash t : \text{MP}$ for some t . From the definition, whenever $\Vdash g : \mathbb{N} \rightarrow \mathbb{N}_2$ we have $\Vdash t g : \neg(\Sigma(x : \mathbb{N}) \text{lsZero}(g x)) \rightarrow \Sigma(x : \mathbb{N}) \text{lsZero}(g x)$. Since by Corollary 3.4, $\Vdash f : \mathbb{N} \rightarrow \mathbb{N}_2$ we have $\Vdash t f : \neg(\Sigma(x : \mathbb{N}) \text{lsZero}(f x)) \rightarrow \Sigma(x : \mathbb{N}) \text{lsZero}(f x)$. Since by Lemma 3.6 $\Vdash w : \neg(\Sigma(x : \mathbb{N}) \text{lsZero}(f x))$ we have $\Vdash (t f) w : \Sigma(x : \mathbb{N}) \text{lsZero}(f x)$ which is impossible by Lemma 4.1. \square

From Theorem 3.27, Lemma 4.2, and Lemma 1.3 we can then conclude:

Theorem 1.1. *There is no term t such that $\text{MLTT} \vdash t : \text{MP}$.*

4.1. Many Cohen reals. We extend the type system in Section 1 further by adding a generic point f_q for each condition q . The introduction and conversion rules for f_q are given by:

$$\frac{\Gamma \vdash_p}{\Gamma \vdash_p f_q : \mathbb{N} \rightarrow \mathbb{N}_2} \quad \frac{\Gamma \vdash_p}{\Gamma \vdash_p f_q \bar{n} = 1} \quad n \in \text{dom}(q) \quad \frac{\Gamma \vdash_p}{\Gamma \vdash_p f_q \bar{n} = p(n)} \quad n \notin \text{dom}(q), n \in \text{dom}(p)$$

With the reduction rules

$$\frac{n \in \text{dom}(q)}{f_q \bar{n} \rightarrow 1} \quad \frac{n \notin \text{dom}(q), n \in \text{dom}(p)}{f_q \bar{n} \rightarrow_p p(n)}$$

We observe that with these added rules the reduction relation is still monotone. For each f_q we add a term:

$$\frac{\Gamma \vdash_p}{\Gamma \vdash_p w_q : \neg(\Sigma(x : \mathbb{N}) \text{lsZero}(f_q x))}$$

Finally we add a term mw witnessing the negation of MP

$$\frac{\Gamma \vdash_p}{\Gamma \vdash_p \text{mw} : \neg \text{MP}}$$

By analogy to Corollary 3.4 we have

Lemma 4.3. $\Vdash f_q : \mathbb{N} \rightarrow \mathbb{N}_2$ for all q .

Lemma 4.4. $\Vdash w_q : \neg(\Sigma(x : \mathbb{N}) \text{lsZero}(f_q x))$ for all q .

Proof. Assume $p \Vdash t : \neg(\Sigma(x : \mathbb{N}) \text{lsZero}(f_q x))$ for some p and t . Let $m \notin \text{dom}(q) \cup \text{dom}(p)$, we have $p(m \mapsto 0) \Vdash f_q \bar{m} = 0$. Thus $p(m \mapsto 0) \Vdash (\bar{m}, 0) : \Sigma(x : \mathbb{N}) \text{lsZero}(f_q x)$ and $p(m \mapsto 0) \Vdash t(\bar{m}, 0) : \mathbb{N}_0$ which is impossible. \square

Lemma 4.5. *There is no term t for which $q \Vdash t : \Sigma(x : \mathbb{N}) \text{IsZero}(f_q x)$.*

Proof. Assume $q \Vdash t : \Sigma(x : \mathbb{N}) \text{IsZero}(f_q x)$ for some t . We then have $q \Vdash t.1 : \mathbb{N}$ and $q \Vdash t.2 : \text{IsZero}(f_q t.1)$. By Lemma 2.10 one has a partition $q \triangleleft \{q_1, \dots, q_n\}$ where for each i , $t.1 \rightarrow_{q_i}^* \bar{m}_i$ for some $\bar{m}_i \in \mathbb{N}$. Hence $q_i \Vdash \text{IsZero}(f_q t.1) \rightarrow^* \text{IsZero}(f_q \bar{m}_i)$. But any partition of q contain a condition, say q_j , where $q_j(k) = 1$ whenever $k \notin \text{dom}(q)$ and $k \in \text{dom}(q_j)$. Assume $m_j \in \text{dom}(q_j)$. If $m_j \in \text{dom}(q)$ then $q_j \Vdash f_q m_j \rightarrow 1 : \mathbb{N}_2$ and if $m_j \notin \text{dom}(q)$ then $q_j \Vdash f_q \bar{m}_j \rightarrow q_j(k) := 1 : \mathbb{N}_2$. Thus $q_j \Vdash \text{IsZero}(f_q t.1) \rightarrow^* \mathbb{N}_0$ and by Lemma 3.1 $q_j \Vdash \text{IsZero}(f t.1) = \mathbb{N}_0$. From $\Vdash t.2 : \text{IsZero}(f t.1)$ by monotonicity and Lemma 2.22 we have $q_j \Vdash t.2 : \mathbb{N}_0$ which is impossible. If on the other hand $m_j \notin \text{dom}(q_j)$ then since $q_j \triangleleft \{q_j(m_j \mapsto 0), q_j(m_j \mapsto 1)\}$ we can apply the above argument with $q_j(m_j \mapsto 1)$ instead of q_j . \square

Lemma 4.6. $\Vdash \text{mw} : \neg \text{MP}$

Proof. Assume $p \Vdash t : \text{MP}$ for some p and t . Thus whenever $q \leq p$ and $q \Vdash u : \mathbb{N} \rightarrow \mathbb{N}_2$ then $q \Vdash t u : \neg(\Sigma(x : \mathbb{N}) \text{IsZero}(u x)) \rightarrow (\Sigma(x : \mathbb{N}) \text{IsZero}(u x))$. But we have $q \Vdash f_q : \mathbb{N} \rightarrow \mathbb{N}_2$ by Lemma 4.3. Hence $q \Vdash t f_q : \neg(\Sigma(x : \mathbb{N}) \text{IsZero}(f_q x)) \rightarrow (\Sigma(x : \mathbb{N}) \text{IsZero}(f_q x))$. But $q \Vdash w_q : \neg(\Sigma(x : \mathbb{N}) \text{IsZero}(f_q x))$ by Lemma 4.4. Thus $q \Vdash (t f_q) w_q : \Sigma(x : \mathbb{N}) \text{IsZero}(f_q x)$ which is impossible by Lemma 4.5. \square

We have then that this extension is sound with respect to the interpretation. Hence we have shown the following statement.

Theorem 4.7. *There is a consistent extension of MLTT where $\neg \text{MP}$ is derivable.*

Recall that $\text{dne} := \Pi(A : \mathbb{U})(\neg\neg A \rightarrow A)$. We have then a term $\vdash t : \text{dne} \rightarrow \text{MP}$. Thus in this extension we have a term $\vdash_{\emptyset} \lambda x. \text{mw}(t x) : \neg \text{dne}$.

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