

A SURVEY ON DIFFERENCE HIERARCHIES OF REGULAR LANGUAGES

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ABSTRACT. Difference hierarchies were originally introduced by Hausdorff and they play an important role in descriptive set theory. In this survey paper, we study difference hierarchies of regular languages. The first sections describe standard techniques on difference hierarchies, mostly due to Hausdorff. We illustrate these techniques by giving decidability results on the difference hierarchies based on shuffle ideals, strongly cyclic regular languages and the polynomial closure of group languages.

Dedicated to the memory of Zoltán Ésik.

1. INTRODUCTION

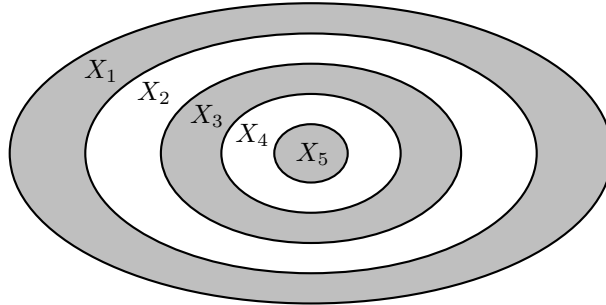
Consider a set E and a set \mathcal{F} of subsets of E containing the empty set. The general pattern of a difference hierarchy is better explained in a picture. Saturn’s rings-style Figure 1 represents a decreasing sequence

$$X_1 \supseteq X_2 \supseteq X_3 \supseteq X_4 \supseteq X_5$$

of elements of \mathcal{F} . The grey part of the picture corresponds to the set $(X_1 - X_2) + (X_3 - X_4) + X_5$, a typical element of the fifth level of the difference hierarchy defined by \mathcal{F} . Similarly, the n -th level of the difference hierarchy defined by \mathcal{F} is obtained by considering length- n decreasing nested sequences of sets.

Key words and phrases: Difference hierarchy, regular language, syntactic monoid.

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Figure 1: Five subsets of E .

Difference hierarchies were originally introduced by Hausdorff [12, 13, 14]. They play an important role in descriptive set theory [28, Section 11] and also yield a hierarchy on complexity classes known as the Boolean hierarchy [15, Section 3], [30, Section 2], [3], [2, Section 3]. Difference hierarchies were also used in the study of ω -regular languages [4, 6, 8, 7, 9, 29].

The aim of this paper is to survey difference hierarchies of regular languages. Decidability questions for difference hierarchies over regular languages were studied in [10] and more recently by Glasser, Schmitz and Selivanov in [11]. The latter article is the reference paper on this topic and contains an extensive bibliography, to which we refer the interested reader. However, paper [11] focuses on forbidden patterns in automata, a rather different perspective than ours.

We first present some general results on difference hierarchies and their connection with closure operators. The results on approximation of Section 5, first presented in [5], lead in some cases to convenient algorithms to compute chain hierarchies.

Next we turn to algebraic methods. Indeed, a great deal of results on regular languages are obtained through an algebraic approach. Typically, combinatorial properties of regular languages — being star-free, piecewise testable, locally testable, etc. — translate directly to algebraic properties of the syntactic monoid of the language (see [18] for a survey). It is therefore natural to expect a similar algebraic approach when dealing with difference hierarchies. However, things are not that simple. First, one needs to work with *ordered* monoids, which are more appropriate for classes of regular languages not closed under complement. Secondly, although Proposition 7.3 yields a purely algebraic characterization of the difference hierarchy, it does not lead to decidability results, except for some special cases. Two such cases are presented at the end of the paper. The first one studies the difference hierarchy of the polynomial closure of a lattice of regular languages. The main result, Corollary 8.6, which appears to be new, states that the difference hierarchy induced by the polynomial of group languages is decidable. The second case, taken from [5], deals with cyclic and strongly cyclic regular languages.

Our paper is organised as follows. Prerequisites are presented in Section 2. Section 3 covers the results of Hausdorff on difference hierarchies and Section 4 is a brief summary on closure operators. The results on approximation form the core of Section 5. Decidability questions on regular languages are introduced in Section 6. Section 7 on chains is inspired by results of descriptive set theory. Two results that are not addressed in [11] are presented in Sections 8 and 9. The final Section 10 opens up some perspectives.

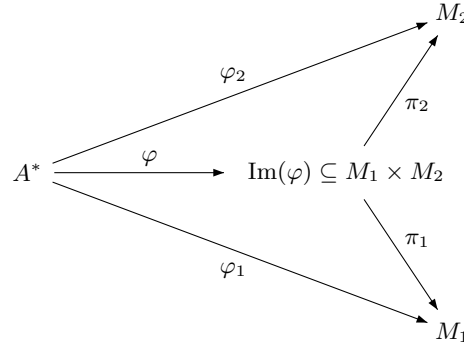
2. PREREQUISITES

In this section, we briefly recall the following notions: upper sets, ordered monoids, stamps and syntactic objects.

Let E be a preordered set. An *upper set* of E is a subset U of E such that the conditions $x \in U$ and $x \leq y$ imply $y \in U$. An *ordered monoid* is a monoid M equipped with a partial order \leq compatible with the product on M : for all $x, y, z \in M$, if $x \leq y$ then $zx \leq zy$ and $xz \leq yz$.

A *stamp* is a surjective monoid morphism $\varphi : A^* \rightarrow M$ from a finitely generated free monoid A^* onto a finite monoid M . If M is an ordered monoid, φ is called an *ordered stamp*.

The *restricted direct product* of two stamps $\varphi_1 : A^* \rightarrow M_1$ and $\varphi_2 : A^* \rightarrow M_2$ is the stamp φ with domain A^* defined by $\varphi(a) = (\varphi_1(a), \varphi_2(a))$. The image of φ is an [ordered] submonoid of the [ordered] monoid $M_1 \times M_2$.



Stamps and ordered stamps are used to recognise languages. A language L of A^* is *recognised* by a stamp $\varphi : A^* \rightarrow M$ if there exists a subset P of M such that $L = \varphi^{-1}(P)$. It is *recognised* by an *ordered stamp* $\varphi : A^* \rightarrow M$ if there exists an upper set U of M such that $L = \varphi^{-1}(U)$.

The syntactic preorder of a language was first introduced by Schützenberger in [26, p. 10]. Let L be a language of A^* . The *syntactic preorder* of L is the relation \leq_L defined on A^* by $u \leq_L v$ if and only if, for every $x, y \in A^*$,

$$xuy \in L \implies xvy \in L.$$

The associated equivalence relation \sim_L , defined by $u \sim_L v$ if $u \leq_L v$ and $v \leq_L u$, is the *syntactic congruence* of L and the quotient monoid $M(L) = A^*/\sim_L$ is the *syntactic monoid* of L . The natural morphism $\eta : A^* \rightarrow A^*/\sim_L$ is the *syntactic stamp* of L . The *syntactic image* of L is the set $P = \eta(L)$.

The *syntactic order* \leq_P is defined on $M(L)$ as follows: $u \leq_P v$ if and only if for all $x, y \in M(L)$,

$$xuy \in P \implies xvy \in P$$

The partial order \leq_P is stable and the resulting ordered monoid $(M(L), \leq_P)$ is called the *syntactic ordered monoid* of L . Note that P is now an upper set of $(M(L), \leq_P)$ and η becomes an ordered stamp, called the syntactic ordered stamp of L .

3. DIFFERENCE HIERARCHIES

Let E be a set. In this article, a *lattice* is simply a collection of subsets of E containing \emptyset and E and closed under taking finite unions and finite intersections. A *lattice* closed under complement is a *Boolean algebra*. Throughout this paper, we adopt Hausdorff's convention

to denote union additively, set difference by a minus sign and intersection as a product. We also sometimes denote L^c the complement of a subset L of a set E .

Let \mathcal{F} be a set of subsets of E containing the empty set. We set $\mathcal{B}_0(\mathcal{F}) = \{\emptyset\}$ and, for each integer $n \geq 1$, we let $\mathcal{B}_n(\mathcal{F})$ denote the class of all sets of the form

$$X = X_1 - X_2 + \cdots \pm X_n \quad (3.1)$$

where the sets X_i are in \mathcal{F} and satisfy $X_1 \supseteq X_2 \supseteq X_3 \supseteq \cdots \supseteq X_n$. By convention, the expression on the right hand side of (3.1) should be evaluated from left to right, but given the conditions on the X_i 's, it can also be evaluated as

$$(X_1 - X_2) + (X_3 - X_4) + (X_5 - X_6) + \cdots \quad (3.2)$$

Since the empty set belongs to \mathcal{F} , one has $\mathcal{B}_n(\mathcal{F}) \subseteq \mathcal{B}_{n+1}(\mathcal{F})$ for all $n \geq 0$ and the classes $\mathcal{B}_n(\mathcal{F})$ define a hierarchy within the Boolean closure of \mathcal{F} . Moreover, the following result, due to Hausdorff [13], holds:

Theorem 3.1. *Let \mathcal{F} be a lattice of subsets of E . The union of the classes $\mathcal{B}_n(\mathcal{F})$ for $n \geq 0$ is the Boolean closure of \mathcal{F} .*

Proof. Let $\mathcal{B}(\mathcal{F}) = \cup_{n \geq 1} \mathcal{B}_n(\mathcal{F})$. By construction, every element of $\mathcal{B}_n(\mathcal{F})$ is a Boolean combination of members of \mathcal{F} and thus $\mathcal{B}(\mathcal{F})$ is contained in the Boolean closure of \mathcal{F} . Moreover $\mathcal{B}_1(\mathcal{F}) = \mathcal{F}$ and thus $\mathcal{F} \subseteq \mathcal{B}(\mathcal{F})$. It is therefore enough to prove that $\mathcal{B}(\mathcal{F})$ is closed under complement and finite intersection. If $X = X_1 - X_2 + \cdots \pm X_n$, one has

$$E - X = E - X_1 + X_2 - \cdots \mp X_n$$

and thus $X \in \mathcal{B}(\mathcal{F})$ implies $E - X \in \mathcal{B}(\mathcal{F})$. Thus $\mathcal{B}(\mathcal{F})$ is closed under complement.

Let $X = X_1 - X_2 + \cdots \pm X_n$ and $Y = Y_1 - Y_2 + \cdots \pm Y_m$ be two elements of $\mathcal{B}(\mathcal{F})$. Let

$$Z = Z_1 - Z_2 + \cdots \pm Z_{n+m-1}$$

with

$$Z_k = \sum_{\substack{i+j=k+1 \\ i \text{ and } j \text{ not both even}}} X_i Y_j$$

Therefore

$$\begin{aligned} Z_1 &= X_1 Y_1, \\ Z_2 &= X_1 Y_2 + X_2 Y_1, \\ Z_3 &= X_1 Y_3 + X_3 Y_1, \\ Z_4 &= X_1 Y_4 + X_2 Y_3 + X_3 Y_2 + X_4 Y_1, \\ &\vdots \\ Z_{n+m-1} &= \begin{cases} X_n Y_m & \text{if } m \text{ and } n \text{ are not both even} \\ \emptyset & \text{otherwise} \end{cases} \end{aligned}$$

We claim that $Z = XY$. To prove the claim, consider for each set $X = X_1 - X_2 + \cdots \pm X_n$ associated with the decreasing sequence X_1, \dots, X_n of subsets of E , the function μ_X defined on E by

$$\mu_X(x) = \max \{i \geq 1 \mid x \in X_i\}$$

with the convention that $\mu_X(x) = 0$ if $x \in E - X_1$. Then $x \in X$ if and only if $\mu_X(x)$ is odd. We now evaluate $\mu_Z(x)$ as a function of $i = \mu_X(x)$ and $j = \mu_Y(x)$. We first observe

that if $k \geq i + j$, then $x \notin Z_k$. Next, if i and j are not both even, then $x \in X_i Y_j$ and $X_i Y_j \subseteq Z_{i+j-1}$, whence $\mu_Z(x) = i + j - 1$. Finally, if i and j are both even, then $x \notin Z_{i+j-1}$ and thus $\mu_Z(x)$ is either equal to 0 or to $i + j - 2$. Summarizing the different cases, we observe that $\mu_X(x)$ and $\mu_Y(x)$ are both odd if and only if $\mu_Z(x)$ is odd, which proves the claim. It follows that $\mathcal{B}(\mathcal{F})$ is closed under intersection. \square

An equivalent definition of $\mathcal{B}_n(\mathcal{F})$ was given by Hausdorff [14]. Let $X \Delta Y$ denote the symmetric difference of two subsets X and Y of E .

Proposition 3.2. *For every $n \geq 0$, $\mathcal{B}_n(\mathcal{F}) = \{X_1 \Delta X_2 \Delta \cdots \Delta X_n \mid X_i \in \mathcal{F}\}$.*

Proof. Indeed, if $X = X_1 - X_2 + \cdots \pm X_n$ with $X_1 \supseteq X_2 \supseteq X_3 \supseteq \cdots \supseteq X_n$, then $X = X_1 \Delta X_2 \Delta \cdots \Delta X_n$. In the opposite direction, if $X = X_1 \Delta X_2 \Delta \cdots \Delta X_n$, then $X = Z_1 - Z_2 + \cdots \pm Z_n$ where $Z_k = \sum_{i_1, \dots, i_k \text{ distincts}} X_{i_1} \cdots X_{i_k}$. \square

4. CLOSURE OPERATORS

We review in this section the definition and the basic properties of closure operators.

Let E be a set. A map $X \rightarrow \overline{X}$ from $\mathcal{P}(E)$ to itself is a *closure operator* if it is *extensive*, *idempotent* and *isotone*, that is, if the following properties hold for all $X, Y \subseteq E$:

- (1) $X \subseteq \overline{X}$ (extensive)
- (2) $\overline{\overline{X}} = \overline{X}$ (idempotent)
- (3) $X \subseteq Y$ implies $\overline{X} \subseteq \overline{Y}$ (isotone)

A set $F \subseteq E$ is *closed* if $\overline{F} = F$. If F is closed, and if $X \subseteq F$, then $\overline{X} \subseteq \overline{F} = F$. It follows that \overline{X} is the least closed set containing X . This justifies the terminology ‘‘closure’’. Actually, closure operators can be characterised by their closed sets.

Proposition 4.1. *A set of closed subsets for some closure operator on E is closed under (possibly infinite) intersection. Moreover, any set of subsets of E closed under (possibly infinite) intersection is the set of closed sets for some closure operator.*

Proof. Let $X \rightarrow \overline{X}$ be a closure operator and let $(F_i)_{i \in I}$ be a family of closed subsets of E . Since a closure is isotone, $\overline{\bigcap_{i \in I} F_i} \subseteq \overline{F_i} = F_i$. It follows that $\overline{\bigcap_{i \in I} F_i} \subseteq \bigcap_{i \in I} F_i$ and thus $\bigcap_{i \in I} F_i$ is closed.

Given a set \mathcal{F} of subsets of E closed under intersection, denote by \overline{X} the intersection of all elements of \mathcal{F} containing X . Then the map $X \rightarrow \overline{X}$ is a closure operator for which \mathcal{F} is the set of closed sets. \square

In particular, $\overline{\overline{X} \cap \overline{Y}} \subseteq \overline{X} \cap \overline{Y}$, but the inclusion may be strict.

Example 4.2. The trivial closure is the application defined by

$$\overline{X} = \begin{cases} \emptyset & \text{if } X = \emptyset \\ E & \text{otherwise} \end{cases}$$

For this closure, the only closed sets are the empty set and E .

Example 4.3. If E is a topological space, the closure in the topological sense is a closure operator.

Example 4.4. The convex hull is a closure operator. However, it is not induced by any topology, since the union of two convex sets is not necessarily convex.

The *intersection* of two closure operators $X \rightarrow \overline{X}^1$ and $X \rightarrow \overline{X}^2$ is the function $X \rightarrow \overline{X}^3$ defined by $\overline{X}^3 = \overline{X}^1 \cap \overline{X}^2$.

Proposition 4.5. *The intersection of two closure operators is a closure operator.*

Proof. Let $\overline{}^3$ be the intersection of $\overline{}^1$ and $\overline{}^2$. First, since $X \subseteq \overline{X}^1$ and $X \subseteq \overline{X}^2$, one has $X \subseteq \overline{X}^3 = \overline{X}^1 \cap \overline{X}^2$. In particular, $\overline{X}^3 \subseteq \overline{\overline{X}^3}^3$. Secondly, since $\overline{X}^1 \cap \overline{X}^2 \subseteq \overline{X}^1$, $\overline{\overline{X}^1 \cap \overline{X}^2}^1 \subseteq \overline{\overline{X}^1}^1 = \overline{X}^1$. Similarly, $\overline{\overline{X}^1 \cap \overline{X}^2}^2 \subseteq \overline{X}^2$. It follows that

$$\overline{\overline{X}^3}^3 = \overline{\overline{\overline{X}^1 \cap \overline{X}^2}^1 \cap \overline{\overline{X}^1 \cap \overline{X}^2}^2}^3 \subseteq \overline{\overline{X}^1 \cap \overline{X}^2}^3 = \overline{X}^3$$

and hence $\overline{X}^3 = \overline{\overline{X}^3}^3$. Finally, if $X \subseteq Y$, then $\overline{X}^1 \subseteq \overline{Y}^1$ and $\overline{X}^2 \subseteq \overline{Y}^2$, and therefore $\overline{X}^3 \subseteq \overline{Y}^3$. \square

Let us conclude this section by giving a few examples of closure operators occurring in the theory of formal languages.

Example 4.6. Iteration. The map $L \rightarrow L^*$ is a closure operator. Similarly, the map $L \rightarrow L^+$, where L^+ denotes the subsemigroup generated by L , is a closure operator.

Example 4.7. Shuffle ideal. The *shuffle product* (or simply *shuffle*) of two languages L_1 and L_2 over A is the language

$$L_1 \sqcup L_2 = \{w \in A^* \mid w = u_1 v_1 \cdots u_n v_n \text{ for some words } u_1, \dots, u_n, v_1, \dots, v_n \text{ of } A^* \\ \text{such that } u_1 \cdots u_n \in L_1 \text{ and } v_1 \cdots v_n \in L_2\}.$$

The shuffle product defines a commutative and associative operation over the set of languages over A . Given a language L , the language $L \sqcup A^*$ is called the *shuffle ideal* generated by L and it is easy to see that the map $L \rightarrow L \sqcup A^*$ is a closure operator.

This closure operator can be extended to infinite words in two ways: the *finite and infinite shuffle ideals* generated by an ω -language X are respectively:

$$X \sqcup A^* = \{y_0 x_1 y_1 \cdots x_n y_n x \mid y_0, \dots, y_n \in A^* \text{ and } x_1 \cdots x_n x \in X\} \\ X \sqcup A^\omega = \{y_0 x_1 y_1 x_2 \cdots \mid y_0, \dots, y_n, \cdots \in A^* \text{ and } x_1 x_2 \cdots \in X\}$$

The maps $X \rightarrow X \sqcup A^*$ and $X \rightarrow X \sqcup A^\omega$ are both closure operators.

Example 4.8. Ultimate closure. The *ultimate closure* of a language X of infinite words is defined by:

$$\text{Ult}(X) = \{ux \mid u \in A^* \text{ and } vx \in X \text{ for some } v \in A^*\}$$

The map $X \rightarrow \text{Ult}(X)$ is a closure operator.

5. APPROXIMATION

In this section, we consider a set \mathcal{F} of closed sets of E containing the empty set. It follows that the corresponding closure operator satisfies the condition $\overline{\emptyset} = \emptyset$. We first define the notion of an *approximation* of a set by a chain of closed sets. Then the existence of a best approximation will be established. In this section, L is a subset of E .

Definition 5.1. A chain $F_1 \supseteq F_2 \supseteq \cdots \supseteq F_n$ of closed sets is an *n-approximation* of L if the following inclusions hold for all k such that $2k + 1 \leq n$:

$$\begin{aligned} F_1 - F_2 \subseteq F_1 - F_2 + F_3 - F_4 \subseteq \cdots \subseteq F_1 - F_2 + \cdots + F_{2k-1} - F_{2k} \subseteq \cdots \\ \subseteq L \subseteq \cdots \subseteq F_1 - F_2 + F_3 - \cdots + F_{2k+1} \subseteq \cdots \subseteq F_1 - F_2 + F_3 \subseteq F_1 \end{aligned}$$

There is a natural order among the n -approximations of a given set L . An n -approximation $F_1 \supseteq F_2 \supseteq \cdots \supseteq F_n$ of L is said to be *better* than an n -approximation $F'_1 \supseteq F'_2 \supseteq \cdots \supseteq F'_n$ if, for all k such that $2k + 1 \leq n$,

$$F_1 - F_2 + F_3 - \cdots + F_{2k+1} \subseteq F'_1 - F'_2 + F'_3 - \cdots + F'_{2k+1}$$

and

$$F'_1 - F'_2 + \cdots + F'_{2k-1} - F'_{2k} \subseteq F_1 - F_2 + \cdots + F_{2k-1} - F_{2k}$$

We will need the following elementary lemma:

Lemma 5.2. *Let X, Y and Z be subsets of E .*

- (1) *The conditions $X - Y \subseteq Z$ and $X - Z \subseteq Y$ are equivalent.*
- (2) *The conditions $Z \subseteq X + Y$ and $X^c \cap Z \subseteq Y$ are equivalent.*
- (3) *If $Y \subseteq X$ and $X - Y \subseteq Z$, then $X - Z = Y - Z$ and $X + Z = Y + Z$.*

The description of the best approximation of L requires the introduction of two auxiliary functions. For every subset X of E , set

$$f(X) = \overline{X - L} \quad \text{and} \quad g(X) = \overline{X \cap L} \tag{5.1}$$

The key properties of these functions are formulated in the following lemma.

Lemma 5.3. *The following properties hold for all subsets X and Y of E :*

- (1) *$X - f(X) \subseteq L$ and $L \subseteq X + g(X^c)$,*
- (2) *if $X \supseteq Y \supseteq L$, then $f(X) \supseteq f(Y)$ and $X - f(X) \subseteq Y - f(Y) \subseteq L$,*
- (3) *if $X \subseteq Y \subseteq L$, then $g(X) \subseteq g(Y)$ and $L \subseteq Y + g(Y^c) \subseteq X + g(X^c)$.*

Proof. Let X and Y be subsets of E .

(1) follows from a simple computation:

$$\begin{aligned} X - f(X) &= X - \overline{X - L} \subseteq X - (X - L) = X \cap L \subseteq L \\ X + g(X^c) &= X + \overline{X^c \cap L} \supseteq (X \cap L) + (X^c \cap L) = L. \end{aligned}$$

(2) Suppose that $X \supseteq Y \supseteq L$. Then $X - L \supseteq Y - L$ and thus $\overline{X - L} \supseteq \overline{Y - L}$, that is, $f(X) \supseteq f(Y)$. Furthermore, $X - Y \subseteq X - L \subseteq \overline{X - L} = f(X)$. Applying part (3) of Lemma 5.2 with $Z = f(X)$, one gets $X - f(X) = Y - f(X)$, whence $X - f(X) \subseteq Y - f(Y)$ since $f(X) \supseteq f(Y)$ by the first part of (2).

(3) Suppose that $X \subseteq Y \subseteq L$. Then $X \cap L \subseteq Y \cap L$ and thus $g(X) \subseteq g(Y)$. Furthermore, $Y - X = X^c \cap Y \subseteq X^c \cap L \subseteq \overline{X^c \cap L} = g(X^c)$. Applying part (3) of Lemma 5.2 with

$Z = g(X^c)$, one gets $Y + g(X^c) = X + g(X^c)$, whence $Y + g(Y^c) \subseteq X + g(X^c)$ since $g(Y^c) \subseteq g(X^c)$ by the first part of (3). \square

Lemma 5.4. *Let $F_1 \supseteq F_2 \supseteq \dots \supseteq F_n$ be an n -approximation of L and, for $1 \leq k \leq n$, let $S_k = F_1 - F_2 + \dots \pm F_k$. Then, for $1 \leq k \leq n$,*

$$\begin{cases} f(S_k) = f(F_k) & \text{if } k \text{ is odd} \\ g(S_k^c) = g(F_k) & \text{if } k \text{ is even} \end{cases} \quad (5.2)$$

Proof. If $k = 1$, then $S_1 = F_1$ and the result is trivial. Suppose that $k > 1$. If k is odd, $S_{k-1} \subseteq L$ and thus $S_k - L = (S_{k-1} + F_k) - L = F_k - L$. It follows that $f(S_k) = f(F_k)$. If k is even, $L \subseteq S_{k-1}$ and thus $S_k^c \cap L = (S_{k-1}^c + F_k) \cap L = F_k \cap L$. Therefore $g(S_k^c) = g(F_k)$. \square

Define a sequence $(L_n)_{n \geq 0}$ of subsets of E by $L_0 = E$ and, for all $n \geq 0$,

$$L_{n+1} = \begin{cases} f(L_n) & \text{if } n \text{ is odd} \\ g(L_n) & \text{if } n \text{ is even} \end{cases} \quad (5.3)$$

The next theorem expresses the fact that the sequence $(L_n)_{n \geq 0}$ is the best approximation of L as a Boolean combination of closed sets. In particular, if $L_n = \emptyset$ for some $n > 0$, then $L \in \mathcal{B}_{n-1}(\mathcal{F})$.

Theorem 5.5. *Let L be a subset of E . For every $n > 0$, the sequence $(L_k)_{1 \leq k \leq n}$ is the best n -approximation of L .*

Proof. We first show that the sequence $(L_k)_{1 \leq k \leq n}$ is an n -approximation of L . First, every L_k is closed by construction. We show that $L_{k+1} \subseteq L_k$ by induction on k . This is true for $k = 0$ since $L_0 = E$. Now, if k is even, $L_{k+1} = \overline{L_k} \cap \overline{L} \subseteq \overline{L_k} = L_k$ and if k is odd, $L_{k+1} = \overline{L_k - L} \subseteq \overline{L_k} = L_k$.

Set, for $k > 0$, $S_k = L_1 - L_2 + \dots \pm L_k$. By part (1) of Lemma 5.3, the relations $L_{2k-1} - L_{2k} = L_{2k-1} - f(L_{2k-1}) \subseteq L$ hold for every $k > 0$, and similarly, $L_{2k} - L_{2k+1} = L_{2k} - g(L_{2k}) \subseteq L^c$. It follows that $S_{2k} \subseteq L$. Furthermore $S_{2k+1}^c = (L_0 - L_1) + (L_2 - L_3) + \dots + (L_{2k} - L_{2k+1}) \subseteq L^c$ and thus $L \subseteq S_{2k+1}$.

We now show that the sequence $(L_k)_{1 \leq k \leq n}$ is the best approximation of L . Let $(L'_k)_{1 \leq k \leq n}$ be another n -approximation of L . Set, for $k > 0$, $S'_k = L'_1 - L'_2 + \dots \pm L'_k$. Then, by definition, $L \subseteq L'_1$ and thus

$$S_1 = L_1 = \overline{L} \subseteq \overline{L'_1} = L'_1 = S'_1.$$

Let $k > 0$. Suppose by induction that $S_{2k-1} \subseteq S'_{2k-1}$. We show successively that $S_{2k} \subseteq S'_{2k}$ and $S_{2k+1} \subseteq S'_{2k+1}$.

By definition of an approximation, $S'_{2k} = S'_{2k-1} - L'_{2k} \subseteq L$, and thus $S'_{2k-1} - L \subseteq L'_{2k}$ by part (1) of Lemma 5.2. It follows that $f(S'_{2k-1}) = \overline{S'_{2k-1} - L} \subseteq \overline{L'_{2k}} = L'_{2k}$. Now, since $S'_{2k-1} \supseteq S_{2k-1} \supseteq L$, one can apply part (2) of Lemma 5.3 to get

$$S'_{2k} = S'_{2k-1} - L'_{2k} \subseteq S'_{2k-1} - f(S'_{2k-1}) \subseteq S_{2k-1} - f(S_{2k-1}).$$

Moreover since $f(S_{2k-1}) = f(L_{2k-1}) = L_{2k}$ by Lemma 5.4, one gets

$$S'_{2k} \subseteq S_{2k-1} - f(S_{2k-1}) = S_{2k-1} - L_{2k} = S_{2k}.$$

Similarly, $L \subseteq S'_{2k+1} = S'_{2k} + L'_{2k+1}$ and hence $(S'_{2k})^c \cap L \subseteq L'_{2k+1}$ by part (2) of Lemma 5.2. It follows that $g((S'_{2k})^c) = \overline{(S'_{2k})^c \cap L} \subseteq \overline{L'_{2k+1}} = L'_{2k+1}$. Now, since $S'_{2k} \subseteq S_{2k} \subseteq L$, one

can apply part (3) of Lemma 5.3 to get

$$S_{2k} + g(S_{2k}^c) \subseteq S'_{2k} + g((S'_{2k})^c) \subseteq S'_{2k} + L'_{2k+1} = S'_{2k+1}.$$

Moreover since the equalities $g(S_{2k}^c) = g(L_{2k}) = L_{2k+1}$ hold by Lemma 5.4, one gets

$$S_{2k+1} = S_{2k} + L_{2k+1} = S_{2k} + g(S_{2k}^c) \subseteq S'_{2k+1}.$$

which concludes the proof. \square

When \mathcal{F} is a set of subsets of E closed under arbitrary intersection, Theorem 5.5 provides a characterization of the classes $\mathcal{B}_n(\mathcal{F})$.

Corollary 5.6. *Let L be a subset of E and let \mathcal{F} be a set of subsets of E closed under (possibly infinite) intersection and containing the empty set. Let $(L_k)_{1 \leq k \leq n}$ be the best n -approximation of L with respect to \mathcal{F} . Then $L \in \mathcal{B}_{n-1}(\mathcal{F})$ if and only if $L_n = \emptyset$ and in this case*

$$L = L_1 - L_2 + \cdots \pm L_{n-1} \quad (5.4)$$

Proof. If $L \in \mathcal{B}_{n-1}(\mathcal{F})$, then $L = F_1 - F_2 + \cdots \pm F_{n-1}$ with $F_1, \dots, F_{n-1} \in \mathcal{F}$. Let $F_n = \emptyset$. Then the sequence $(F_k)_{1 \leq k \leq n}$ is an n -approximation of L . Since $(L_k)_{1 \leq k \leq n}$ is the best n -approximation of L , one has $L = L_1 - L_2 + \cdots \pm L_{n-1}$. Thus, with the notation of Lemma 5.4,

$$\begin{cases} f(L_{n-1}) = f(L) = \emptyset & \text{if } n-1 \text{ is odd} \\ g(L_{n-1}) = g(L^c) = \emptyset & \text{if } n-1 \text{ is even} \end{cases} \quad (5.5)$$

Therefore, $L_n = \emptyset$ by (5.3).

Conversely, suppose that $L_n = \emptyset$. If $n = 2k$, then

$$(L_1 - L_2) + \cdots + (L_{2k-1} - L_{2k}) \subseteq L \subseteq (L_1 - L_2) + \cdots + (L_{2k-3} - L_{2k-2}) + L_{2k-1}$$

If $n = 2k + 1$, then

$$(L_1 - L_2) + \cdots + (L_{2k-1} - L_{2k}) \subseteq L \subseteq (L_1 - L_2) + \cdots + (L_{2k-1} - L_{2k}) + L_{2k+1}$$

In both cases, one gets $L = L_1 - L_2 + \cdots \pm L_{n-1}$ and thus $L \in \mathcal{B}_{n-1}(\mathcal{F})$. \square

Let us illustrate this corollary by a concrete example.

Example 5.7. Let $A = \{a, b, c\}$ and let \mathcal{L} be the lattice of shuffle ideals. If L is the language $\{1, a, b, c, ab, bc, abc\}$, a straightforward computation gives

$$L_0 = A^*$$

$$L_1 = g(L_0) = A^* \sqcup (L_0 \cap L) = A^* \sqcup L = A^*$$

$$L_2 = f(L_1) = A^* \sqcup (L_1 - L) = A^* \sqcup \{aa, ac, ba, bb, ca, cb, cc\} = A^* - \{1, a, b, c, ab, bc\}$$

$$L_3 = g(L_2) = A^* \sqcup (L_2 \cap L) = A^* \sqcup abc$$

$$L_4 = f(L_3) = A^* \sqcup (L_3 - L) = A^* \sqcup ((A^* \sqcup abc) - abc)$$

$$= A^* \sqcup \{aabc, abac, abca, babc, abbc, abcb, cabc, acbc, abcc\}$$

$$L_5 = g(L_4) = A^* \sqcup (L_4 \cap L) = \emptyset$$

It follows that $L = L_1 - L_2 + L_3 - L_4$ and $L \in \mathcal{B}_4(\mathcal{L})$, but $L \notin \mathcal{B}_3(\mathcal{L})$.

It is also possible to use the approximation algorithm for a set \mathcal{L} of subsets of E closed under (possibly infinite) union and containing the set E . In this case, the set

$$\mathcal{L}^c = \{L^c \mid L \in \mathcal{L}\}$$

is closed under (possibly infinite) intersection and contains the empty set. Consequently, the approximation algorithm can be applied to \mathcal{L}^c but it describes the difference hierarchy $\mathcal{B}_n(\mathcal{L}^c)$. To recover the difference hierarchy $\mathcal{B}_n(\mathcal{L})$, the following algorithm can be used. First compute the best \mathcal{L}^c -approximation of even length of L and the best \mathcal{L}^c -approximation of odd length of L^c , say

$$L = L_1^c - L_2^c + \cdots \pm L_n^c \quad (5.6)$$

$$L^c = F_1^c - F_2^c + \cdots \pm F_m^c \quad (5.7)$$

with n even, m odd, $L_i, F_i \in \mathcal{L}$ and L_n and F_m possibly empty to fill the parity requirements. Now L admits the following \mathcal{L} -decompositions, where L_1 and F_1 are possibly empty (and consequently deleted):

$$L = L_n - L_{n-1} + \cdots \pm L_1 \quad (5.8)$$

$$= F_m - F_{m-1} + \cdots \pm F_1 \quad (5.9)$$

It remains to take the shortest of the two expressions to get the best \mathcal{L} -approximation of L .

6. DECIDABILITY QUESTIONS ON REGULAR LANGUAGES

Given a lattice of regular languages \mathcal{L} , four decidability questions arise:

Question 6.1. Is the membership problem for \mathcal{L} decidable?

Question 6.2. Is the membership problem for $\mathcal{B}(\mathcal{L})$ decidable?

Question 6.3. For a given positive integer n , is the membership problem for $\mathcal{B}_n(\mathcal{L})$ decidable?

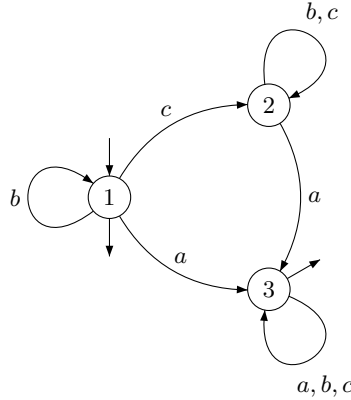
Question 6.4. Is the hierarchy $\mathcal{B}_n(\mathcal{L})$ decidable?

In other words, given a regular language L , Question 6.1 asks to decide whether $L \in \mathcal{L}$, Question 6.2 whether $L \in \mathcal{B}(\mathcal{L})$ and Question 6.3 whether $L \in \mathcal{B}_n(\mathcal{L})$. Question 6.4 asks whether one can effectively compute the smallest n such that $L \in \mathcal{B}_n(\mathcal{L})$, if it exists. Note that if Questions 6.2 and 6.3 are decidable, then so is Question 6.4. Indeed, given a language L , one first decides whether L belongs to $\mathcal{B}(\mathcal{L})$ by Question 6.2. If the answer is positive, this ensures that L belongs to $\mathcal{B}_n(\mathcal{L})$ for some n and Question 6.3 allows one to find the smallest such n .

If the lattice \mathcal{L} is finite, it is easy to solve the four questions in a positive way. In some cases, a simple application of Corollary 5.6 suffices to solve Question 6.3 immediately. One just needs to find the appropriate closure operator and to provide algorithms to compute the functions $f(X)$ and $g(X)$ defined by (5.1).

Example 6.5. Let \mathcal{L} be the lattice generated by the languages of the form B^* , where $B \subseteq A$. Then both \mathcal{L} and $\mathcal{B}(\mathcal{L})$ are finite. It is known that a regular language belongs to \mathcal{L} if and only if its syntactic ordered monoid is idempotent and commutative and satisfies the inequation $1 \leq x$ for all x [20]. It belongs to $\mathcal{B}(\mathcal{L})$ if and only if its syntactic monoid is idempotent and commutative.

Finally, one can define a closure operator by setting $\overline{L} = B^*$, where B is the set of letters occurring in some word of L . For instance, let $L = (\{a, b, c\}^* - \{b, c\}^*) + (\{a, b\}^* - a^*) + 1$. This language belongs to $\mathcal{B}(\mathcal{L})$ and its minimal automaton is represented below:



Applying the approximation algorithm of Section 5, one gets $L_0 = \{a, b, c\}^*$, $L_1 = \{b, c\}^*$, $L_2 = b^*$ and $L_3 = \emptyset$ and thus $L = \{a, b, c\}^* - \{b, c\}^* + b^*$ is the best 3-approximation of L .

If the lattice is infinite, our four questions become usually much harder, but can still be solved in some particular cases. We will discuss this in Sections 8 and 9, but first present a powerful tool introduced in [5], chains in ordered monoids.

7. CHAINS AND DIFFERENCE HIERARCHIES

Chains can be defined on any ordered set. We first give their definition, then establish a connection with difference hierarchies.

Definition 7.1. Let (E, \leq) be a partially ordered set and let X be a subset of E . A *chain* of E is a strictly increasing sequence

$$x_0 < x_1 < \dots < x_{m-1}$$

of elements of E . It is called an X -*chain* if x_0 is in X and the x_i 's are alternatively elements of X and of its complement X^c . The integer m is called the *length* of the chain. We let $m(X)$ denote the maximal length of an X -chain.

There is a subtle connection between chains and difference hierarchies of regular languages. Let M be a finite ordered monoid and let $\varphi : A^* \rightarrow M$ be a surjective monoid morphism. Let

$$\mathcal{L} = \{\varphi^{-1}(U) \mid U \text{ is an upper set of } M\}$$

By definition, every language of \mathcal{L} is recognised by the ordered monoid M .

Proposition 7.2. *If there exists a subset P of M such that $L = \varphi^{-1}(P)$ and $m(P) \leq n$, then L belongs to $\mathcal{B}_n(\mathcal{L})$.*

Before starting the proof, let us clarify a delicate point. The condition $L = \varphi^{-1}(P)$ means that L is recognised by the *monoid* M . It does not mean that L is recognised by the *ordered monoid* M , a property which would require P to be an upper set.

Proof. For each $s \in M$, let $m(P, s)$ be the maximal length of a P -chain ending with s . Finally, let, for each $k > 0$,

$$U_k = \{s \in M \mid m(P, s) \geq k\}$$

We claim that U_k is an upper set of M . Indeed, if $s \in U_k$, there exists a P -chain $x_0 < x_1 < \dots < x_{r-1} = s$ of length $r \geq k$. Let t be an element of M such that $s \leq t$. If s and t are not simultaneously in P , then $x_0 < x_1 < \dots < x_{r-1} < t$ is a P -chain of length $r + 1 \geq k$. Otherwise, $x_0 < x_1 < \dots < x_{r-2} < t$ is a P -chain of length $r \geq k$. Thus $m(P, t) \geq k$, and $t \in U_k$, proving the claim.

We now show that

$$P = U_1 - U_2 + U_3 - U_4 \dots \pm U_n \quad (7.1)$$

First observe that $s \in P$ if and only if $m(P, s)$ is odd. Since $m(P) \leq n$, one has $m(P, s) \leq n$ for every $s \in M$ and thus $U_{n+1} = \emptyset$. Formula (7.1) follows, since for each $r \geq 0$,

$$\{s \in M \mid m(P, s) = r\} = U_r - U_{r+1}.$$

Let, for $1 \leq i \leq n$, $L_i = \varphi^{-1}(U_i)$. Since U_i is an upper set, each L_i belongs to \mathcal{L} . Moreover, one gets from (7.1) the formula

$$L = L_1 - L_2 + L_3 \dots \pm L_n \quad (7.2)$$

which shows that $L \in \mathcal{B}_n(\mathcal{L})$. □

We now establish a partial converse to Proposition 7.2. A *lattice of regular languages* is a set \mathcal{L} of regular languages of A^* containing \emptyset and A^* and closed under finite union and finite intersection.

Proposition 7.3. *Let \mathcal{L} be a lattice of regular languages. If a language L belongs to $\mathcal{B}_n(\mathcal{L})$, then there exist an ordered stamp $\eta : A^* \rightarrow M$ and a subset P of M satisfying the following conditions:*

- (1) η is a restricted product of syntactic ordered stamps of members of \mathcal{L} ,
- (2) $L = \eta^{-1}(P)$,
- (3) $m(P) \leq n$.

Proof. If $L \in \mathcal{B}_n(\mathcal{L})$, then

$$L = L_1 - L_2 + L_3 \dots \pm L_n$$

with $L_1 \supseteq L_2 \supseteq \dots \supseteq L_n$ and $L_i \in \mathcal{L}$. Let $\eta_i : A^* \rightarrow (M_i, \leq_i)$ be the syntactic morphism of L_i and let $P_i = \eta_i(L_i)$. Then each P_i is an upper set of M_i and $L_i = \eta_i^{-1}(P_i)$. Let $\eta : A^* \rightarrow M$ be the restricted product of the stamps η_i . Condition (1) is satisfied by construction.

Observe that if $\eta(u) = (s_1, \dots, s_n)$ is an element of M , the condition $s_{i+1} \in P_{i+1}$ is equivalent to $u \in L_{i+1}$, and since L_{i+1} is a subset of L_i , this condition also implies $u \in L_i$ and $s_i \in P_i$. Consequently, for each element $s = (s_1, \dots, s_n)$ of M , there exists a unique $k \in \{0, \dots, n\}$ such that

$$s_1 \in P_1, \dots, s_k \in P_k, s_{k+1} \notin P_{k+1}, \dots, s_n \notin P_n$$

This unique k is called the *cut* of s . Setting

$$P = \{s \in M \mid \text{the cut of } s \text{ is odd}\}$$

one gets, with the convention $L_{n+1} = \emptyset$ for n odd,

$$\eta^{-1}(P) = \bigcup_{k \text{ odd}} \left((L_1 \cap \dots \cap L_k) - L_{k+1} \right) = \bigcup_{k \text{ odd}} (L_k - L_{k+1}) = L \quad (7.3)$$

which proves (2).

Let now $x_0 < x_1 < \dots < x_{m-1}$ be a P -chain. Let, for $0 \leq i \leq m-1$, $x_i = (s_{i,1}, \dots, s_{i,n})$ and let k_i be the cut of x_i . We claim that $k_{i+1} > k_i$. Indeed, since $x_i < x_{i+1}$, $s_{i,k_i} \leq_i s_{i+1,k_i}$ and since P_i is an upper set, $s_{i,k_i} \in P_i$ implies $s_{i+1,k_i} \in P_{i+1}$, which proves that $k_{i+1} \geq k_i$. But since x_i and x_{i+1} are not simultaneously in P , their cuts must be different, which proves the claim. Since $x_0 \in P$, the cut of x_0 is odd, and in particular, non-zero. It follows that $0 < k_0 < k_1 < \dots < k_{m-1}$ and since the cuts are numbers between 0 and n , $m \leq n$, which proves (3). \square

It is tempting to try to improve Proposition 7.3 by taking for M the syntactic morphism of L and for φ the syntactic morphism of L . However, Example 5.7 ruins this hope. Indeed, let $F = \{1, a, b, c, ab, bc, abc\}$ be the set of factors of the word abc . Then the syntactic monoid of L can be defined as the set $F \cup \{0\}$ equipped with the product defined by

$$xy = \begin{cases} xy & \text{if } x, y \text{ and } xy \text{ are all in } F \\ 0 & \text{otherwise} \end{cases}$$

Now the syntactic image of L is equal to F . It follows that $M - F = \{0\}$ and thus, whatever order is taken on M , the length of a chain is bounded by 3. Nevertheless, if \mathcal{L} is the lattice of shuffle ideals, then L does not belong to $\mathcal{B}_3(\mathcal{L})$.

Therefore, if L is a regular language, the maximal length of an L -chain cannot be in general computed in the syntactic monoid of L . It follows that decidability questions on $\mathcal{B}_n(\mathcal{L})$, as presented in Section 6 below, cannot in general be solved just by inspecting the syntactic monoid. An exceptional case where the syntactic monoid suffices is presented in the next section.

8. THE DIFFERENCE HIERARCHY OF THE POLYNOMIAL CLOSURE OF A LATTICE

A language L of A^* is a *marked product* of the languages L_0, L_1, \dots, L_n if

$$L = L_0 a_1 L_1 \cdots a_n L_n$$

for some letters a_1, \dots, a_n of A . Given a set \mathcal{L} of languages, the *polynomial closure* of \mathcal{L} is the set of languages that are finite unions of marked products of languages of \mathcal{L} . The *polynomial closure* of \mathcal{L} is denoted $\text{Pol } \mathcal{L}$ and the Boolean closure of $\text{Pol } \mathcal{L}$ is denoted $\mathcal{B}\text{Pol } \mathcal{L}$. Finally, let $\text{co-Pol } \mathcal{L}$ denote the set of complements of languages in $\text{Pol } \mathcal{L}$. In this section, we are interested in the difference hierarchy induced by $\text{Pol } \mathcal{L}$. We consider several examples.

8.1. Shuffle ideals. If $\mathcal{L} = \{\emptyset, A^*\}$, then $\text{Pol } \mathcal{L}$ is exactly the set of shuffle ideals considered in Examples 4.7 and 6.5 and $\mathcal{B}\text{Pol } \mathcal{L}$ is the class of *piecewise testable languages*. The following easy result was mentioned in [20].

Proposition 8.1. *A language is a shuffle ideal if and only if its syntactic ordered monoid M satisfies the inequation $1 \leq x$ for all $x \in M$.*

The syntactic characterization of piecewise testable languages follows from a much deeper result of Simon [27].

Theorem 8.2. *A language is piecewise testable if and only if its syntactic monoid is \mathcal{J} -trivial.*

Note that the closed sets of the closure operator $X \rightarrow X \sqcup A^*$ of Example 4.7 are exactly the shuffle ideals. It follows that for the lattice \mathcal{L} of shuffle ideals, the four questions mentioned earlier have a positive answer. More precisely, the decidability of the membership problem for \mathcal{L} and for $\mathcal{B}(\mathcal{L})$ follows from Proposition 8.1 and Theorem 8.2, respectively. The decidability of Question 6.3 (and hence of Question 6.4) follows from the approximation algorithm. See Example 5.7.

8.2. Group languages. Recall that a *group language* is a language whose syntactic monoid is a group, or, equivalently, is recognized by a finite deterministic automaton in which each letter defines a permutation of the set of states. According to the definition of a polynomial closure, a *polynomial of group languages* is a finite union of languages of the form $L_0 a_1 L_1 \cdots a_k L_k$ where a_1, \dots, a_k are letters and L_0, \dots, L_k are group languages.

Let $d_{\mathbf{G}}$ be the metric on A^* defined as follows:

$$\begin{aligned} r_{\mathbf{G}}(u, v) &= \min \{|M| \mid M \text{ is a finite group that separates } u \text{ and } v\} \\ d_{\mathbf{G}}(u, v) &= 2^{-r_{\mathbf{G}}(u, v)} \end{aligned}$$

It is known that $d_{\mathbf{G}}$ defines the so-called *pro-group topology* on A^* . It is also known that the closure of a regular language for $d_{\mathbf{G}}$ is again regular and can be effectively computed. This result was actually proved in two steps: it was first reduced to a group-theoretic conjecture in [22] and this conjecture became a theorem in [25].

Let \mathcal{G} be the set of group languages on A^* and let $\text{Pol } \mathcal{G}$ be the polynomial closure of \mathcal{G} . We also let $\text{co-Pol } \mathcal{G}$ denote the set of complements of languages of $\text{Pol } \mathcal{G}$. The following characterization of $\text{co-Pol } \mathcal{G}$ was given in [17].

Theorem 8.3. *Let L be a regular language and let M be its syntactic ordered monoid. The following conditions are equivalent:*

- (1) $L \in \text{co-Pol } \mathcal{G}$,
- (2) L is closed in the pro-group topology on A^* ,
- (3) for all $x \in M$, $x^\omega \leq 1$.

Theorem 8.3 shows that $\text{co-Pol } \mathcal{G}$, and hence $\text{Pol } \mathcal{G}$, is decidable. The corresponding result for $\mathcal{B}\text{Pol } \mathcal{G}$ has a long story, related in detail in [19], where several other characterizations can be found.

Theorem 8.4. *Let L be a regular language and let M be its syntactic monoid. The following conditions are equivalent:*

- (1) $L \in \mathcal{B}\text{Pol } \mathcal{G}$,
- (2) the submonoid generated by the idempotents of M is \mathcal{J} -trivial,
- (3) for all idempotents e, f of M , the condition $efe = e$ implies $ef = e = fe$.

We now study the difference hierarchy based on $\text{co-Pol } \mathcal{G}$. Let \mathcal{F} be the set of closed subsets for the pro-group topology.

Proposition 8.5. *For each $n \geq 0$, a regular language belongs to $\mathcal{B}_n(\text{co-Pol } \mathcal{G})$ if and only if it belongs to $\mathcal{B}_n(\mathcal{F})$.*

Proof. Theorem 8.3 shows that co-Pol \mathcal{G} is a subset of \mathcal{F} . It follows that any language of $\mathcal{B}_n(\text{co-Pol } \mathcal{G})$ belongs to $\mathcal{B}_n(\mathcal{F})$.

Let now L be a regular language of $\mathcal{B}_n(\mathcal{F})$ and let $(L_k)_{1 \leq k \leq n}$ be the best n -approximation of L with respect to \mathcal{F} . Corollary 5.6 shows that $L \in \mathcal{B}_n(\mathcal{F})$ if and only if $L_{n+1} = \emptyset$. Moreover, in this case $L = L_1 - L_2 + \dots \pm L_n$. According to the algorithm described at the end of Section 5, the best n -approximation of L is obtained by alternating the two operations

$$f(X) = \overline{X - L} \quad \text{and} \quad g(X) = \overline{X \cap L}$$

Now, as we have seen, the closure of a regular language for $d_{\mathbf{G}}$ is regular. It follows that if X is regular, then both $f(X)$ and $g(X)$ are regular and closed. By Theorem 8.3, they both belong to co-Pol \mathcal{G} . It follows that each L_k belongs to co-Pol \mathcal{G} and thus $L \in \mathcal{B}_n(\text{co-Pol } \mathcal{G})$. \square

This leads to the following corollary:

Corollary 8.6. *The difference hierarchy $\mathcal{B}_n(\text{co-Pol } \mathcal{G})$ is decidable.*

Proof. Let L be a regular language. Theorem 8.4 shows that one can effectively decide whether $L \in \mathcal{B}_n(\text{co-Pol } \mathcal{G})$. If this is the case, it remains to find the minimal n such that $L \in \mathcal{B}_n(\mathcal{F})$. But Proposition 8.5 shows that L belongs to $\mathcal{B}_n(\text{co-Pol } \mathcal{G})$ if and only if it belongs to $\mathcal{B}_n(\mathcal{F})$. Moreover, since the closure of a regular language can be effectively computed, the best n -approximation of L with respect to \mathcal{F} can be effectively computed. Now, Corollary 5.6 gives an algorithm to decide whether $L \in \mathcal{B}_n(\mathcal{F})$. \square

9. CYCLIC AND STRONGLY CYCLIC REGULAR LANGUAGES

Cyclic and strongly cyclic regular languages are two classes of regular languages related to symbolic dynamic and first studied in [1]. It was shown in [5] that an appropriate notion of chains suffices to characterise the difference hierarchy based on the class of strongly cyclic regular languages. This contrasts with Section 7, in which the general results on chain did not lead to a full characterization of difference hierarchies.

Let $\mathcal{A} = (Q, A, \cdot)$ be a finite (possibly incomplete) deterministic automaton. A word u stabilises a subset P of Q if $P \cdot u = P$. Given a subset P of Q , let $\text{Stab}(P)$ be the set of all words that stabilise P . The language $\text{Stab}(\mathcal{A})$ that stabilises \mathcal{A} is by definition the set of all words which stabilise at least one nonempty subset of Q .

Definition 9.1. A language is *strongly cyclic* if it stabilises some finite deterministic automaton.

Example 9.2. If \mathcal{A} is the automaton represented in Figure 2, then

$$\text{Stab}(\{1\}) = (b + aa)^*, \quad \text{Stab}(\{2\}) = (ab^*a)^*, \quad \text{Stab}(\{1, 2\}) = a^*$$

and $\text{Stab}(\mathcal{A}) = (b + aa)^* + (ab^*a)^* + a^*$.

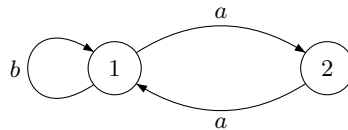


Figure 2: The automaton \mathcal{A} .

One can show that the set of strongly cyclic languages of A^* forms a lattice of languages but is not closed under quotients. For instance, as shown in Example 9.2, the language $L = (b + aa)^* + (ab^*a)^* + a^*$ is strongly cyclic, but Corollary 9.9 will show that its quotient $b^{-1}L = (b + aa)^*$ is not strongly cyclic, since $aa \in (b + aa)^*$ but $a \notin (b + aa)^*$.

We will also need the following characterization [1, Proposition 7]:

Proposition 9.3. *Let $\mathcal{A} = (Q, A, E)$ be a deterministic automaton. A word u belongs to $\text{Stab}(\mathcal{A})$ if and only if there is some state q of \mathcal{A} such that for every integer n , the transition $q \cdot u^n$ exists.*

Strongly cyclic languages admit the following syntactic characterization [1, Theorem 8]. As usual, s^ω denotes the idempotent power of s , which exists and is unique in any finite monoid.

Proposition 9.4. *Let L be a non-full regular language. The following conditions are equivalent:*

- (1) L is strongly cyclic,
- (2) there is a morphism φ from A^* onto a finite monoid M with zero such that

$$L = \varphi^{-1}(\{s \in M \mid s^\omega \neq 0\}),$$

- (3) the syntactic monoid M of L has a zero and the syntactic image of L is the set of all elements $s \in M$ such that $s^\omega \neq 0$.

Proposition 9.4 leads to a simple syntactic characterization of strongly cyclic languages. Recall that a language of A^* is *nondense* if there exists a word $u \in A^*$ such that $L \cap A^*uA^* = \emptyset$.

Proposition 9.5. *Let L be a regular language, let M be its syntactic monoid and let P be its syntactic image. Then L is strongly cyclic if and only if it satisfies the following conditions, for all $u, x, v \in M$:*

- (S₁) $ux^\omega v \in P$ implies $x^\omega \in P$,
- (S₂) $x^\omega \in P$ if and only if $x \in P$.

Furthermore, if these conditions are satisfied and if L is not the full language, then L is nondense.

Proof. Let L be a strongly cyclic language, let M be its syntactic monoid and let P be its syntactic image. If L is the full language, then the conditions (S₁) and (S₂) are trivially satisfied. If L is not the full language, then Proposition 9.4 shows that M has a zero and that $P = \{s \in M \mid s^\omega \neq 0\}$. Observing that $x^\omega = (x^\omega)^\omega$, one gets

$$x \in P \iff x^\omega \neq 0 \iff (x^\omega)^\omega \neq 0 \iff x^\omega \in P$$

which proves (S₂). Similarly, one gets

$$ux^\omega v \in P \iff (ux^\omega v)^\omega \neq 0 \implies x^\omega \neq 0 \iff x \in P$$

which proves (S₁).

Conversely, suppose that L satisfies (S₁) and (S₂). If L is full, then L is strongly cyclic. Otherwise, let $z \notin P$. Then $z^\omega \notin P$ by (S₁) and $uz^\omega v \notin P$ for all $u, v \in M$ by (S₂). This means that z is a zero of M and that $0 \notin P$. By Proposition 9.4, it remains to prove that $x \in P$ if and only if $x^\omega \neq 0$. First, if $x \in P$, then $x^\omega \in P$ by (S₂) and since $0 \notin P$, one has $x^\omega \neq 0$. Conversely, if $x^\omega \neq 0$, then $ux^\omega v \in P$ for some $u, v \in M$, since x^ω is not equivalent to 0 in the syntactic congruence of P . It follows that $x^\omega \in P$ by (S₁) and $x \in P$ by (S₂). \square

We turn now to cyclic languages.

Definition 9.6. A subset of a monoid is said to be *cyclic* if it is closed under conjugation, power and root. That is, a subset P of a monoid M is cyclic if it satisfies the following conditions, for all $u, v \in M$ and $n > 0$:

- (C₁) $u^n \in P$ if and only if $u \in P$,
- (C₂) $uv \in P$ if and only if $vu \in P$.

This definition applies in particular to the case of a language of A^* .

Example 9.7. If $A = \{a, b\}$, the language b^* and its complement A^*aA^* are cyclic.

One can show that regular cyclic languages are closed under inverses of morphisms and under Boolean operations but not under quotients. For instance, the language $L = \{abc, bca, cab\}$ is cyclic, but its quotient $a^{-1}L = \{bc\}$ is not cyclic. Thus regular cyclic languages do not form a variety of languages. However, they admit the following straightforward characterization in terms of monoids.

Proposition 9.8. *Let L be a regular language of A^* , let φ be a surjective morphism from A^* to a finite monoid M recognising L and let $P = \varphi(L)$. Then L is cyclic if and only if P is cyclic.*

Corollary 9.9. *Every strongly cyclic language is cyclic.*

Proof. Let L be a strongly cyclic language, let M be its syntactic monoid and let P be its syntactic image. By Proposition 9.5, P satisfies (S₁) and (S₂). It suffices now to prove that it satisfies (C₂). The sequence of implications

$$\begin{aligned} xy \in P &\stackrel{(S_2)}{\iff} (xy)^\omega \in P \iff (xy)^\omega (xy)^\omega \in P \iff (xy)^{\omega-1}xy(xy)^{\omega-1}xy \in P \\ &\iff ((xy)^{\omega-1}x)(yx)^\omega y \in P \stackrel{(S_1)}{\implies} (yx)^\omega \in P \stackrel{(S_2)}{\iff} yx \in P. \end{aligned}$$

shows that $xy \in P$ implies $yx \in P$ and the opposite implication follows by symmetry. \square

Another result is worth mentioning: for any regular cyclic language, there is a least strongly cyclic language containing it [5, Theorem 2].

Proposition 9.10. *Let L be a regular cyclic language of A^* , let $\eta : A^* \rightarrow M$ be its syntactic stamp and let $P = \eta(L)$. Then M has a zero and the language*

$$\bar{L} = \begin{cases} \eta^{-1}(\{s \mid s^\omega \neq 0\}) & \text{if } 0 \notin P, \\ A^* & \text{otherwise.} \end{cases}$$

is the least strongly cyclic language containing L .

Proof. If $0 \notin P$, then the language \bar{L} is strongly cyclic by Proposition 9.4. Moreover, since L is cyclic, P is cyclic by Proposition 9.8. It follows that if $s \in P$, then $s^\omega \in P$ and in particular $s^\omega \neq 0$. Consequently, \bar{L} contains L .

It remains to prove that \bar{L} is the least strongly cyclic language containing L . Let X be a strongly cyclic language containing L and let u be a word of \bar{L} . Let $\mathcal{A} = (Q, A, E)$ be a deterministic automaton such that $X = \text{Stab}(\mathcal{A})$. Setting $s = \eta(u)$, one has $s^\omega \neq 0$ by definition of \bar{L} . Consequently, $\eta(s)^n \neq 0$ for every integer n and there are two words x_n and y_n such that $x_n u^n y_n$ belongs to L . By Proposition 9.3, there is a state q_n of \mathcal{A} such

that the transition $q_n \cdot x_n u^n y_n$ is defined. The transition $(q_n \cdot x_n) \cdot u^n$ is thus defined for every n and by Proposition 9.3 again, the word u belongs to X . Thus $\bar{L} \subseteq X$ as required.

Suppose now that $0 \in P$ and let z be a word of L such that $\eta(z) = 0$. Let X be a strongly cyclic language containing L . If X is not full, then X is nondense by Proposition 9.5 and there exists a word $u \in A^*$ such that $A^*uA^* \cap X = \emptyset$. Since X contains L , one also gets $A^*uA^* \cap L = \emptyset$ and in particular $zu \notin L$. But this yields a contradiction, since $\eta(zu) = \eta(z)\eta(u) = 0 \in P$ and thus $zu \in \eta^{-1}(P) = L$. Thus the only strongly cyclic language containing L is A^* . \square

Given a finite monoid M , the Green's preorder relation $\leq_{\mathcal{J}}$ defined on M by

$s \leq_{\mathcal{J}} t$ if and only if $s \in MtM$, or equivalently, if there exists $u, v \in M$ such that $s = utv$ is a preorder on M . The associated equivalence relation \mathcal{J} is defined by

$$s \mathcal{J} t \text{ if } s \leq_{\mathcal{J}} t \text{ and } t \leq_{\mathcal{J}} s, \text{ or equivalently, if } MsM = MtM.$$

Corollary 9.11. *Let L be a regular cyclic language of A^* , let $\eta : A^* \rightarrow M$ be its syntactic stamp and let $P = \eta(L)$. Then L is strongly cyclic if and only if for all idempotents e, f of M , the conditions $e \in P$ and $e \leq_{\mathcal{J}} f$ imply $f \in P$.*

Proof. Suppose that L is strongly cyclic and let e, f be two idempotents of M such that $e \in P$ and $e \leq_{\mathcal{J}} f$. Let $u, v \in M$ be such that $e = ufv$. Since $f^\omega = f$, one gets $uf^\omega v \in P$ and thus $f \in P$ by Condition (S₁) of Proposition 9.5.

In the opposite direction, suppose that for all idempotents e, f of M , the conditions $e \in P$ and $e \leq_{\mathcal{J}} f$ imply $f \in P$. Since L is cyclic, it satisfies (C₁) and hence (S₂). We claim that it also satisfies (S₁). Indeed, $ux^\omega v \in P$ implies $(ux^\omega v)^\omega \in P$ by (S₂). Furthermore, since $(ux^\omega v)^\omega \leq_{\mathcal{J}} x^\omega$, one also has $x^\omega \in P$, and finally $x \in P$ by (S₂), which proves the claim. \square

The precise connection between cyclic and strongly cyclic languages was given in [1].

Theorem 9.12. *A regular language is cyclic if and only if it is a Boolean combination of regular strongly cyclic languages.*

Theorem 9.12 motivates a detailed study of the difference hierarchy of the class \mathcal{S} of strongly cyclic languages. This study relies on a careful analysis of the chains on the set of idempotents of a finite monoid, pre-ordered by the relation $\leq_{\mathcal{J}}$.

Definition 9.13. A *P-chain of idempotents* is a sequence $(e_0, e_1, \dots, e_{m-1})$ of idempotents of M such that

$$e_0 \leq_{\mathcal{J}} e_1 \leq_{\mathcal{J}} \dots \leq_{\mathcal{J}} e_{m-1}$$

$e_0 \in P$ and, for $0 < i < m$, $e_i \in P$ if and only if $e_{i-1} \notin P$. The integer m is the length of the P -chain of idempotents.

We let $\ell(M, P)$ denote the maximal length of a P -chain of idempotents of M . We consider in particular the case where $\varphi : A^* \rightarrow M$ is a stamp recognising a regular language L of A^* and $P = \varphi(L)$. The next theorem shows that in this case, $\ell(M, P)$ does not depend on the choice of the stamp recognising L , but only depends on L .

Theorem 9.14. *Let L be a regular language. Let $\varphi : A^* \rightarrow M$ and $\psi : A^* \rightarrow N$ be two stamps recognising L . If $P = \varphi(L)$ and $Q = \psi(L)$, then $\ell(M, P) = \ell(N, Q)$.*

Proof. It is sufficient to prove the result when φ is the syntactic stamp of L . Since the morphism ψ is surjective, M is a quotient of N and there is a surjective morphism $\pi : N \rightarrow M$ such that $\pi \circ \psi = \varphi$. It follows that

$$\pi(Q) = P \text{ and } \pi^{-1}(P) = Q. \quad (9.1)$$

We show that to any P -chain of idempotents in N , one can associate a Q -chain of idempotents of the same length in M and vice-versa.

Let (e_0, \dots, e_{m-1}) be a Q -chain of idempotents in N and let $f_i = \pi(e_i)$ for $0 \leq i \leq m-1$. Since every monoid morphism preserves $\leq_{\mathcal{J}}$, the relations (9.1) show that (f_0, \dots, f_{m-1}) is a P -chain of idempotents in M .

Let now (f_0, \dots, f_{m-1}) be a P -chain of idempotents in M . Since $f_{i-1} \leq_{\mathcal{J}} f_i$, there exist for $1 \leq i \leq m-1$ elements u_i, v_i of M such that $u_i f_i v_i = f_{i-1}$. Let us choose an idempotent e_{m-1} such that $\pi(e_{m-1}) = f_{m-1}$ and some elements s_i and t_i of N such that $\pi(s_i) = u_i$ and $\pi(t_i) = v_i$. We now define a sequence of idempotents (e_0, \dots, e_{m-1}) of N by setting

$$e_{m-2} = (s_{m-1} e_{m-1} t_{m-1})^\omega \quad e_{m-3} = (s_{m-2} e_{m-2} t_{m-2})^\omega \quad \cdots \quad e_0 = (s_1 e_1 t_1)^\omega$$

By construction, $e_0 \leq_{\mathcal{J}} \cdots \leq_{\mathcal{J}} e_{m-1}$ and a straightforward induction shows that $\pi(e_i) = f_i$ for $0 \leq i \leq m-1$. Moreover the equalities (9.1) show that $e_i \in Q$ if and only if $f_i \in P$. It follows that (e_0, \dots, e_{m-1}) is a Q -chain of idempotents of N and thus $\ell(M, P) = \ell(N, Q)$. \square

Since the integers $\ell(M, P)$ only depend on L and not on the choice of the recognising monoid, let us define $\ell(L)$ as $\ell(M, P)$ where $M [P]$ is the syntactic monoid [image] of L . Note that by Corollary 9.11, a cyclic language L is strongly cyclic if and only if $\ell(L) = 1$. This is a special case of the following stronger result [5, Theorem 4].

Theorem 9.15. *Let L be a regular cyclic language. Then $L \in \mathcal{B}_n(\mathcal{S})$ if and only if $\ell(L) \leq n$.*

We first prove the following lemma which states that the function ℓ is subadditive with respect to the symmetric difference.

Lemma 9.16. *If X and Y are regular languages, then $\ell(X \triangle Y) \leq \ell(X) + \ell(Y)$.*

Proof. Suppose that the languages X and Y are respectively recognised by the stamps $\varphi : A^* \rightarrow M$ and $\psi : A^* \rightarrow N$. Let P and Q be the images of X and Y in M and N , so that $X = \varphi^{-1}(P)$ and $Y = \psi^{-1}(Q)$. The language $X \triangle Y$ is recognised by the restricted product of the stamps φ and ψ , say $\gamma : A^* \rightarrow R$, and the image of $X \triangle Y$ in R is

$$T = R \cap \left(P \times (N - Q) + (M - P) \times Q \right).$$

Let $((e_0, f_0), \dots, (e_{m-1}, f_{m-1}))$ be a T -chain of idempotents in R . Let us consider the set I (resp. J) of integers i for which exactly one of the idempotents e_{i-1} or e_i (resp. f_{i-1} or f_i) belongs to P (resp. Q). Formally, we define the sets of integers I and J to be

$$\begin{aligned} I &= \{1 \leq i \leq m-1 \mid e_{i-1} \in P \iff e_i \notin P\} \\ J &= \{1 \leq i \leq m-1 \mid f_{i-1} \in Q \iff f_i \notin Q\} \end{aligned}$$

Since the sequence $((e_0, f_0), \dots, (e_{m-1}, f_{m-1}))$ is a T -chain in R , one has $e_0 \leq_{\mathcal{J}} \dots \leq_{\mathcal{J}} e_{m-1}$ and $f_0 \leq_{\mathcal{J}} \dots \leq_{\mathcal{J}} f_{m-1}$. Moreover, every integer i between 1 and $m-1$ belongs to exactly one of the sets I or J . Otherwise, the idempotents (e_{i-1}, f_{i-1}) and (e_i, f_i) of R would be either both in T or both out of T . Let $I = \{i_1, \dots, i_p\}$ and $J = \{j_1, \dots, j_q\}$ with $i_1 < \dots < i_p$ and $j_1 < \dots < j_q$. Then $p + q = m - 1$.

Since $(e_0, f_0) \in T$, the conditions $e_0 \in P$ and $f_0 \notin Q$ are equivalent. By symmetry, suppose that $e_0 \in P$. Then $f_0 \notin Q$ and thus $f_1 \in Q$. Furthermore, the definitions of I and J give

$$\begin{aligned} e_0 \in P, \quad e_1 \in P, \quad \dots \quad e_{i_1-1} \in P, \quad e_{i_1} \notin P, \quad \dots \quad e_{i_2-1} \notin P, \quad e_{i_2} \in P, \quad \dots \\ f_0 \notin P, \quad f_1 \notin P, \quad \dots \quad f_{j_1-1} \notin P, \quad f_{j_1} \in P, \quad \dots \quad f_{j_2-1} \in P, \quad f_{j_2} \notin P, \quad \dots \end{aligned}$$

Then the sequence $(e_0, e_{i_1}, \dots, e_{i_p})$ is a P -chain of idempotents in M and $(f_{j_1}, \dots, f_{j_q})$ is a Q -chain of idempotents in N . Therefore $p+1 \leq \ell(X)$, $q \leq \ell(Y)$ and $m = p+1+q \leq \ell(X) + \ell(Y)$. Thus $\ell(X \triangle Y) \leq \ell(X) + \ell(Y)$. \square

We can now complete the proof of Theorem 9.15.

Proof. Let $\eta : A^* \rightarrow M$ be the syntactic stamp of L and let $P = \eta(L)$. Let also $E(M)$ be the set of idempotents of M . If $L \in \mathcal{B}_n(\mathcal{F})$, then $L = L_1 \triangle \dots \triangle L_n$ for some strongly cyclic languages L_i . By Corollary 9.11, one has $\ell(L_i) = 1$ for $1 \leq i \leq n$ and thus $\ell(L) \leq n$ by Lemma 9.16.

Suppose now that $\ell(L) \leq n$. For each idempotent e of M , let $\ell(e)$ denote the maximal length of a P -chain of idempotents ending with e . Then $\ell(e) \leq \ell(L)$ by definition. For each $i > 0$, let

$$P_i = \{s \in M \mid \ell(s^\omega) \geq i\} \quad \text{and} \quad L_i = \eta^{-1}(P_i)$$

Let $e, f \in E(M)$. Since every idempotent e satisfies $e^\omega = e$, the conditions $e \in P_i$ and $e \leq_{\mathcal{J}} f$ imply $f \in P_i$. It follows by Corollary 9.11 that the languages L_i are strongly cyclic. We claim that

$$P = P_1 - P_2 + P_3 - P_4 \dots \pm P_m \tag{9.2}$$

First observe that since L is cyclic, an element s of M belongs to P if and only if s^ω belongs to P . Moreover, $s^\omega \in P$ if and only if $\ell(s^\omega)$ is odd. Since $\ell(P) \leq n$, one has $\ell(s^\omega) \leq n$ for every $s \in M$ and thus $P_{n+1} = \emptyset$. Formula (9.2) follows, since for each $r \geq 0$,

$$\{s \in M \mid \ell(s^\omega) = r\} = P_r - P_{r+1}.$$

Moreover, one gets from (9.2) the formula

$$L = L_1 - L_2 + L_3 \dots \pm L_n \tag{9.3}$$

which completes the proof of the theorem. \square

Theorem 9.15 can be used to give an another proof of Theorem 9.12. To get this result, we must prove that any cyclic language belongs to the class $\mathcal{B}_n(\mathcal{S})$ for some integer n . By Theorem 9.15, it suffices to prove that the length of the P -chains of idempotents in a monoid recognising L is bounded. This is a consequence of the following proposition [5, Proposition 5].

Proposition 9.17. *Let L be a regular cyclic language. Let $\varphi : A^* \rightarrow M$ be a stamp recognising L and let $P = \varphi(L)$. Then the length of any P -chain of idempotents is bounded by the \mathcal{J} -depth of M .*

Proof. Let (e_0, \dots, e_{n-1}) be a P -chain of idempotents in M . Then by definition

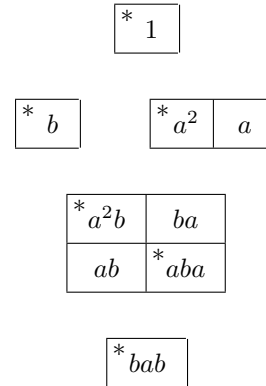
$$e_0 \leq_{\mathcal{J}} \dots \leq_{\mathcal{J}} e_{n-1}.$$

Moreover, if $e_{i-1} \mathcal{J} e_i$, then by [16, Proposition 1.12], the idempotents e_{i-1} and e_i are conjugate. That is, there exist two elements x and y of M such that $xy = e_{i-1}$ and $yx = e_i$. Since L is cyclic, P is also cyclic by Proposition 9.8 and (C_2) implies that $e_{i-1} \in P$ if and

only if $e_i \in P$, which contradicts the definition of a P -chain of idempotents. It follows that the sequence (e_0, \dots, e_{n-1}) is a strict $<_{\mathcal{J}}$ -chain and hence its length is bounded by the \mathcal{J} -depth of M . \square

Example 9.18. Let L be the cyclic language $(b + aa)^* + (ab^*a)^* + a^* - b^* + 1$. Its syntactic monoid is the monoid with zero presented by the relations $bb = b$, $a^3 = a$, $baa = a^2b$, $a^2ba = ba$, $bab = 0$. Its transition table and its \mathcal{J} -class structure are represented below. The syntactic image of L is $P = \{1, a, a^2, aba, a^2b\}$ and $(aba, b, 1)$ is a maximal P -chain of idempotents.

		1	2	3	4	5	6	7	8
*	1	1	2	3	4	5	6	7	8
	a	3	4	5	2	3	8	2	6
*	b	7	0	8	4	4	0	7	8
*	a^2	5	2	3	4	5	6	4	8
	ab	8	4	4	0	8	8	0	0
	ba	2	0	6	2	2	0	2	6
*	a^2b	4	0	8	4	4	0	4	8
*	aba	6	2	2	0	6	6	0	0
*	bab	0	0	0	0	0	0	0	0



10. CONCLUSION

Difference hierarchies of regular languages form an appealing measure of complexity. They can be studied from the viewpoint of descriptive set theory and automata theory [11] or from an algebraic perspective, as presented in this paper. It would be interesting to compare these two approaches.

The results proposed by Glasser, Schmitz and Selivanov [11], together with our new result on group languages, give hope that more decidability results might be obtained in a near future. In particular, the recent progress on concatenation hierarchies [21, 23, 24], might lead to new decidability results for the difference hierarchies induced by the lower levels of the Straubing-Thérien hierarchy.

Let us conclude with an open problem:

Question 10.1. Does there exist a lattice of regular languages \mathcal{L} and an integer n such that the membership problems for \mathcal{L} and for $\mathcal{B}(\mathcal{L})$ are decidable, but is undecidable for $\mathcal{B}_n(\mathcal{L})$?

If the answer to Question 10.1 is positive, a more precise question can be raised:

Question 10.2. For each integer n , does there exist a lattice of regular languages \mathcal{L} such that the membership problems for \mathcal{L} , $\mathcal{B}(\mathcal{L})$ and $\mathcal{B}_n(\mathcal{L})$ are decidable, but the membership problem for $\mathcal{B}_{n+1}(\mathcal{L})$ is undecidable?

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